

**Basics of Generalized Parton Distribution
Theory and Phenomenology**

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Recollections from 1996

Gauge invariant decomposition of nucleon spin and its spin - off.

Xiang-Dong Ji (MIT, LNS & Washington U., Seattle) .

MIT-CTP-2517, Mar 1996. 8pp.

Published in **Phys.Rev.Lett.**78:610-613,1997

e-Print Archive: **hep-ph/9603249**

Motivation: Understanding Spin Content of Proton

“The angular momentum operator in QCD ...

$$J^i = \frac{1}{2} \epsilon^{ijk} \int d^3x M^{0jk} ,$$

where M^{0ij} is the angular momentum density

$$M^{\alpha\mu\nu} = T^{\alpha\nu} x^\mu - T^{\alpha\mu} x^\nu .$$

...energy-momentum tensor $T^{\mu\nu} = T_q^{\mu\nu} + T_g^{\mu\nu}$

... form factors of quark and gluon energy-momentum tensors

$$\langle P' | T_{q,g}^{\mu\nu} | P \rangle = \bar{U}(P') \left[A_{q,g}(\Delta^2) \gamma^{(\mu} \bar{P}^{\nu)} + B_{q,g}(\Delta^2) \bar{P}^{(\mu} i\sigma^{\nu)\alpha} \Delta_\alpha / 2M \right. \\ \left. + C_{q,g}(\Delta^2) (\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2) / M + \bar{C}_{q,g}(\Delta^2) g^{\mu\nu} M \right] U(P)$$

where $\bar{P}^\mu = (P^\mu + P^{\mu'})/2$, $\Delta^\mu = P^{\mu'} - P^\mu$

Spin content: $J_{q,g} = \frac{1}{2} [A_{q,g}(0) + B_{q,g}(0)]$.

... one has to measure *B*-form factor, which is analogous to the Pauli form factor for the vector current

... no fundamental probe that couples to quark and gluon energy-momentum tensors

... measure off-forward matrix element of $T J_\alpha(\xi) J_\beta(0)$ extrapolating the form factors to the forward limit.

The natural process to do this is Compton scattering

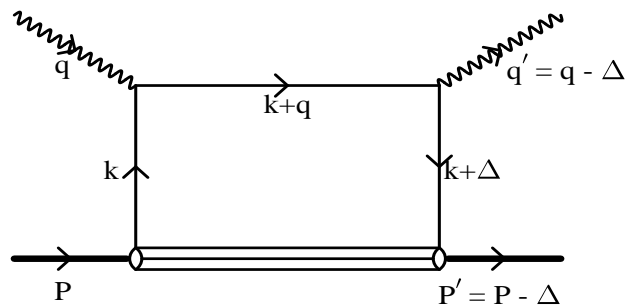
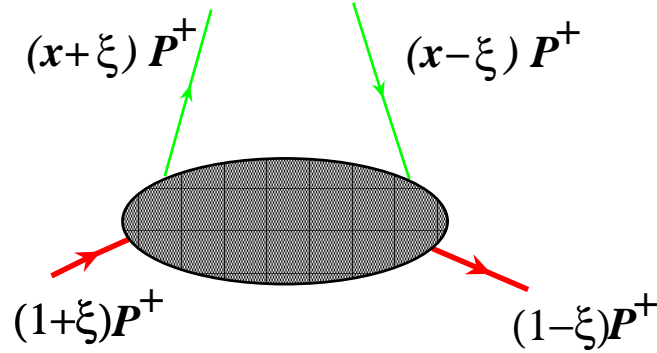


FIG. 1. Dominant scattering process in deeply-virtual Compton scattering

H , \tilde{H} , E and \tilde{E} are new, off-forward, twist-two
parton distributions

$$\int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}(-\lambda n/2) \gamma^\mu \psi(\lambda n/2) | P \rangle$$

$$= H(x, \Delta^2, \Delta \cdot n) \bar{U}(P') \gamma^\mu U(P) + E(x, \Delta^2, \Delta \cdot n) \bar{U}(P') \frac{i\sigma^{\mu\nu} \Delta_\nu}{2M} U(P) + \dots$$



$$\int \frac{d\lambda}{2\pi} e^{i\lambda x} \langle P' | \bar{\psi}(-\lambda n/2) \gamma^\mu \gamma_5 \psi(\lambda n/2) | P \rangle$$

$$= \tilde{H}(x, \Delta^2, \Delta \cdot n) \bar{U}(P') \gamma^\mu \gamma_5 U(P) + \tilde{E}(x, \Delta^2, \Delta \cdot n) \bar{U}(P') \frac{\gamma_5 \Delta^\mu}{2M} U(P) + \dots$$

$$\Delta \cdot n = -2\xi P \cdot n$$

OFPDS ... have the characters of both ordinary parton distributions and nucleon form factors.

$$H(x, 0, 0) = f_1(x), \quad \tilde{H}(x, 0, 0) = g_1(x)$$

where $f_1(x)$ and $g_1(x)$ are quark and quark helicity distributions.

... first moment of the new distributions ... sum rules,

$$\int dx H(x, \Delta^2, \Delta \cdot n) = F_1(\Delta^2)$$

$$\int dx E(x, \Delta^2, \Delta \cdot n) = F_2(\Delta^2)$$

$$\int dx \tilde{H}(x, \Delta^2, \Delta \cdot n) = G_A(\Delta^2)$$

$$\int dx \tilde{E}(x, \Delta^2, \Delta \cdot n) = G_P(\Delta^2)$$

The most interesting sum rule relevant to the nucleon spin is

$$\int dx x [H(x, \Delta^2, \Delta \cdot n) + E(x, \Delta^2, \Delta \cdot n)] = A_q(\Delta^2) + B_q(\Delta^2)$$

$C_q(\Delta^2)$ contamination, drops out.

Extrapolating the sum rule to $\Delta^2 = 0$, the total quark (and hence quark orbital) contribution to the nucleon spin is obtained.

... practical aspects of the experiment ... E and H can be measured either in unpolarized scattering, or in electron single-spin asymmetry through interference with the Bethe-Heitler amplitude, or in polarized electron scattering on a transversely polarized target.

... cross section is measurable, but statistics would be a challenging requirement.”

One month later:

Scaling limit of deeply virtual Compton scattering.

A.V. Radyushkin (Old Dominion U. & Jefferson Lab). CEBAF-TH-96-05, Apr 1996. 10pp.

Published in **Phys.Lett.B380:417-425,1996**

e-Print Archive: **hep-ph/9604317**

... as emphasized by Ji, DVCS amplitude has scaling behavior in the region of small t and fixed x_{Bj} which makes it a very interesting object on its own ground.

... alternative pQCD formalism for analysis of DVCS amplitude

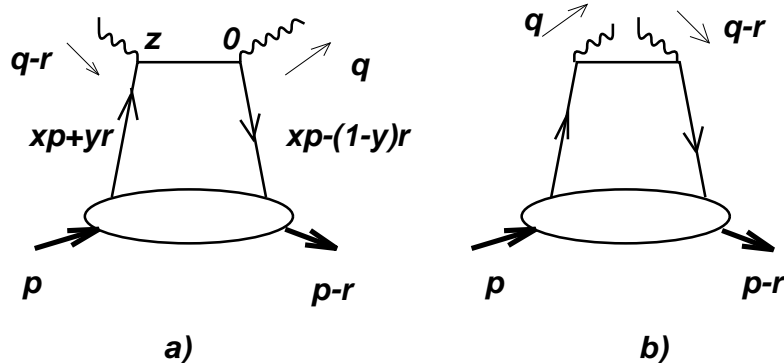
... main point is that ... one should treat

initial momentum p and momentum transfer r

on equal footing by introducing double distributions $F(x, y)$,

which specify the fractions of p and r , *resp.*,

carried by the constituents ...



Handbag diagrams contributing into the DVCS amplitude.
The lower blob corresponds to double quark distributions.

These distributions have **hybrid properties**:

they look like **distribution functions** with respect to x
and like **distribution amplitudes** with respect to y .

... momenta p and r are **proportional** to each other $r = \zeta p, \dots$
(but) ... specify momentum flow in **two different** channels

spectral constraints $x \geq 0, y \geq 0, x + y \leq 1$... positive fractions

... $x + \zeta y$ for active quark and $\bar{x} - \zeta y$ for spectators ... fraction

... by quark going out ... $x - \bar{y}\zeta$... both positive and negative ...

reduction formulas for double distributions $F(x, y)$

$$\int_0^{1-x} F_a(x, y) dy = f_a(x)$$

DVCS amplitude:

$$T_V^a(\zeta) = \int_0^1 dx \int_0^{1-x} \left(\frac{1}{x - \zeta \bar{y} + i\epsilon} + \frac{1}{x + \zeta y} \right) F_a(x, y) dy$$

(bar convention: $\bar{x} \equiv 1 - x, \bar{y} \equiv 1 - y$, etc.) Imaginary part:

$$\frac{1}{\pi} \text{Im} T_V^a(\zeta) = \frac{1}{\zeta} \int_0^\zeta F_a(x, 1 - x/\zeta) dx = \int_0^1 F_a(\bar{y}\zeta, y) dy \equiv \Phi_a(\zeta)$$

y -integral ... is different from that in reduction formula

$$f_a(\zeta) = \int_0^{1-\zeta} F_a(\zeta, y) dy \neq \Phi_a(\zeta)$$

Evolution equation

$$\left(\mu \frac{\partial}{\partial \mu} + \beta(g) \frac{\partial}{\partial g} \right) F(x, y; \mu) = \int_0^1 d\xi \int_0^1 R(x, y; \xi, \eta; g) F(\xi, \eta; \mu) d\eta.$$

Since the integration over y converts $F(x, y)$ into the parton distribution function $f(x)$, whose evolution is governed by

$$\left(\mu \frac{\partial}{\partial \mu} + \beta(g) \frac{\partial}{\partial g} \right) f(x; \mu) = \int_x^1 \frac{d\xi}{\xi} P(x/\xi; g) f(\xi; \mu) d\xi,$$

the kernel $R(x, y; \xi, \eta; g)$ must have the property

$$\int_0^{1-x} R(x, y; \xi, \eta; g) dy = \frac{1}{\xi} P(x/\xi).$$

For a similar reason, integrating $R(x, y; \xi, \eta; g)$ over x one should get the Brodsky-Lepage kernel:

$$\int_0^{1-y} R(x, y; \xi, \eta; g) dx = V(y, \eta; g).$$

To solve the evolution equation, I propose to combine the standard methods used to find solutions of the underlying DGLAP and Brodsky-Lepage evolution equations

n th x -moment of $F(x, y; \mu)$

$$F_n(y; \mu) = \int_0^1 x^n F(x, y; \mu) dx$$

the general solution of the evolution equation

$$F_n(y; \mu) = (y\bar{y})^{n+1} \sum_{k=0}^{\infty} A_{nk} C_k^{n+3/2} (y - \bar{y}) [\log(\mu/\Lambda)]^{-\gamma_k^{(n)}/\beta_0},$$

where $\beta_0 = 11 - \frac{2}{3}N_f$ is the lowest coefficient of the QCD

β -function and the anomalous dimensions $\gamma_k^{(n)}$... coincide with the standard non-singlet anomalous dimensions γ_N : $\gamma_k^{(n)} = \gamma_{n+k+1}$

...in the formal $\mu \rightarrow \infty$ limit,

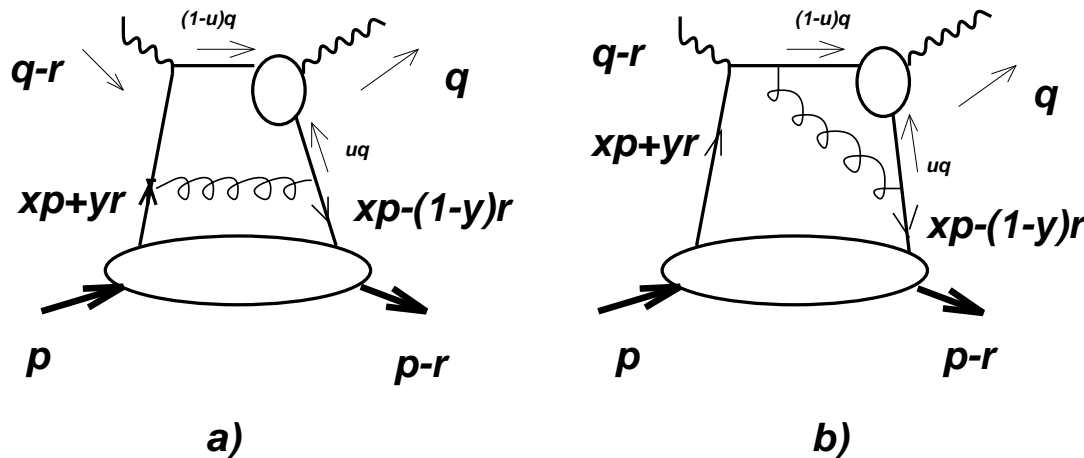
$$F(x, y; \mu \rightarrow \infty) \sim \delta(x)y\bar{y},$$

i.e., in each of its variables, the limiting function $F(x, y; \mu \rightarrow \infty)$ acquires the characteristic **asymptotic form** dictated by the nature of the variable:

$\delta(x)$ is specific for the **distribution functions**, while the **$y\bar{y}$ -form** is the asymptotic shape for the lowest-twist two-body **distribution amplitudes**.

Infrared sensitivity and hard gluon exchange corrections.

...one of the photons in the DVCS amplitude is **real** ... possibility of a **long-distance** propagation in the q -channel ... means that ... one should describe/parameterize it by introducing the **distribution amplitude for the real photon**



Simplest hard gluon exchange contributions to the DVCS amplitude. The upper blob corresponds to the photon distribution amplitude $\varphi_\gamma(u)$ and the lower one to double quark distributions $F(x, y), G(x, y)$.

By simple counting of propagators,

...contribution ... behaves like α_s/Q^2 in the large- Q^2 limit.

Furthermore, due to the EM current conservation, ... for a real photon, $q^2 = 0$ and $(q\epsilon) = 0$...

the relevant contribution vanishes.

Hence, the hard gluon exchange corrections to the DVCS amplitude are rather **strongly suppressed**"

More detailed analysis was given by

Ji, Osborne (1998),

Collins, Freund (1998),

A.R. (1997)

...worth studying the possibility of observing the first **signatures of the scaling** behavior of DVCS at CEBAF, especially at **upgraded energies**

In a forthcoming paper, I discuss the **gluonic** double distributions $F_g(x, y)$ which play a crucial role in the perturbative QCD approach to **hard diffractive electroproduction** processes like $\gamma^* p \rightarrow p' \rho$.

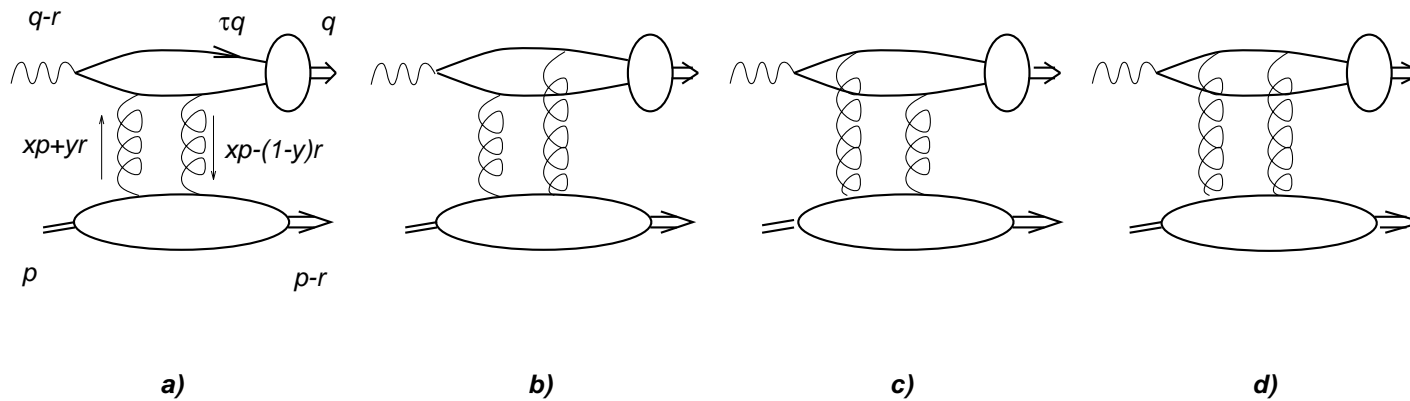
One more month later:

Asymmetric Gluon Distributions and Hard Diffractive Electroproduction

A.V. Radyushkin (Old Dominion U. & Jefferson Lab). CEBAF-TH-96-06, May 1996. 11pp.

Published in *Phys.Lett.B* **385:333-342,1996**

e-Print Archive: [hep-ph/9605431](https://arxiv.org/abs/hep-ph/9605431)



Diagrams contributing to hard diffractive electroproduction of vector mesons.

“The usual gluon distribution function $x f_g(x)$ corresponds to the limit $r = 0$. Hence, ... reduction formula:

$$\int_0^{1-x} F_g(x, y) dy = x f_g(x)$$

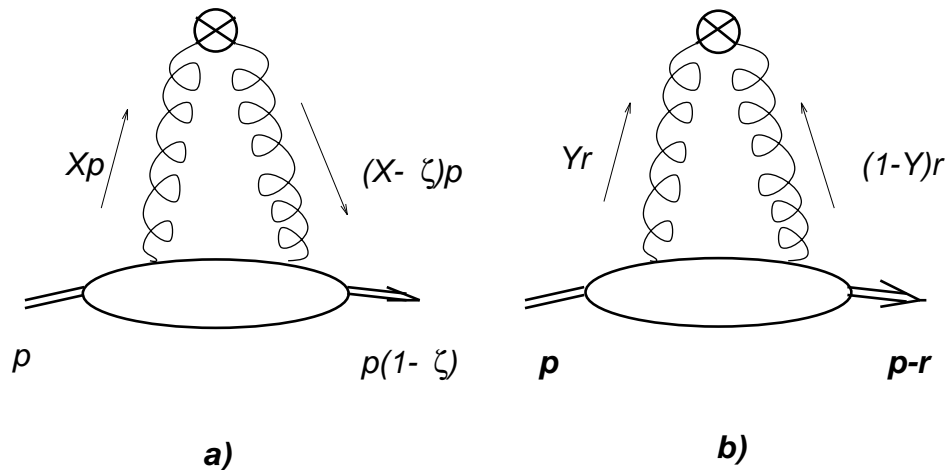
Asymmetric distribution functions^a

Since $r = \zeta p$, the variable y appears only in combinations $x + y\zeta \equiv X$ and $x - \bar{y}\zeta \equiv X - \zeta$, where X and $(X - \zeta)$ are the total fractions of the initial hadron momentum p carried by the gluons integrate double distribution $F(X - y\zeta, y)$ over y to get

$$\mathcal{F}_\zeta(X) = \int_0^{\min\{X/\zeta, \bar{X}/\bar{\zeta}\}} F(X - y\zeta, y) dy,$$

variable X satisfies a natural constraint $0 \leq X \leq 1$

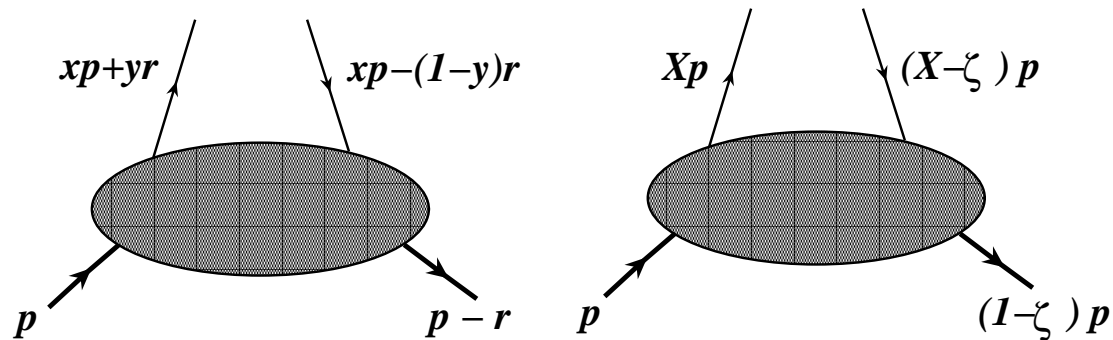
^aNow called GPDs



In the region $X > \zeta$... function $\mathcal{F}_\zeta^g(X)$... describes a gluon with a positive fraction Xp ... coming back with a changed (but still positive) fraction $(X - \zeta)p$

Another region is $X < \zeta$, in which the “returning” gluon has negative fraction $(X - \zeta)$... more appropriate to treat it as a gluon going out of the hadron ... gluons carry now positive fractions $Y\zeta p \equiv Yr$ and $(1 - Y)r \equiv \bar{Y}r$ of the momentum transfer r ... like a distribution amplitude $\Psi_\zeta(Y)$ for a two-gluon state with the total momentum $r = \zeta p$

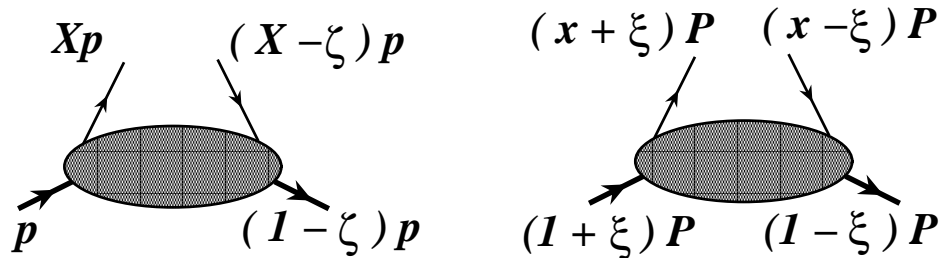
Conclusions. ... one can describe asymmetric matrix element $\langle \bar{\zeta} p | G \dots G | p \rangle$ either by the universal double distribution $F_g(x, y)$ or by the asymmetric distribution function^a $\mathcal{F}_\zeta(X)$ which explicitly depends on the momentum asymmetry parameter^b ζ and specifies the total fractions X and $X - \zeta$ of the original hadron momentum p carried by the gluons



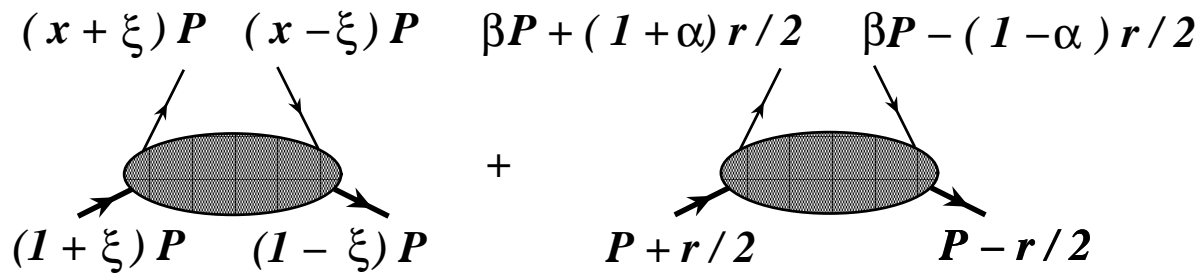
Comparison of DDs and NFPDs

^aGPD

^bskewness



Comparison of NFPDs and OFPDs



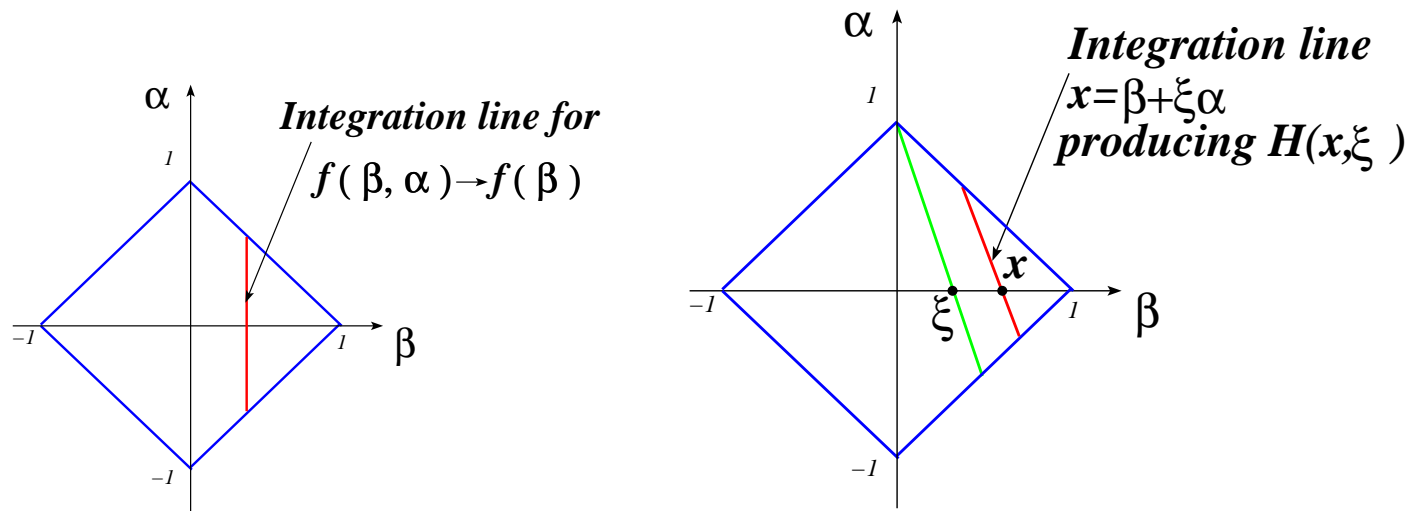
Comparison of OFPDs and symmetric DDs

$$r = 2\xi p \Rightarrow x = \beta + \xi\alpha$$

forward limit $\xi = 0, t = 0$ corresponds to $x = \beta$

\Rightarrow relation between DDs and usual parton densities

$$\int_{-1+|\beta|}^{1-|\beta|} f_a(\beta, \alpha; t = 0) d\alpha = f_a(\beta) .$$



Scanning pattern for DD \rightarrow SPD conversion

“Munich” symmetry:

$$f_a(\beta, \alpha; t) = f_a(\beta, -\alpha; t)$$

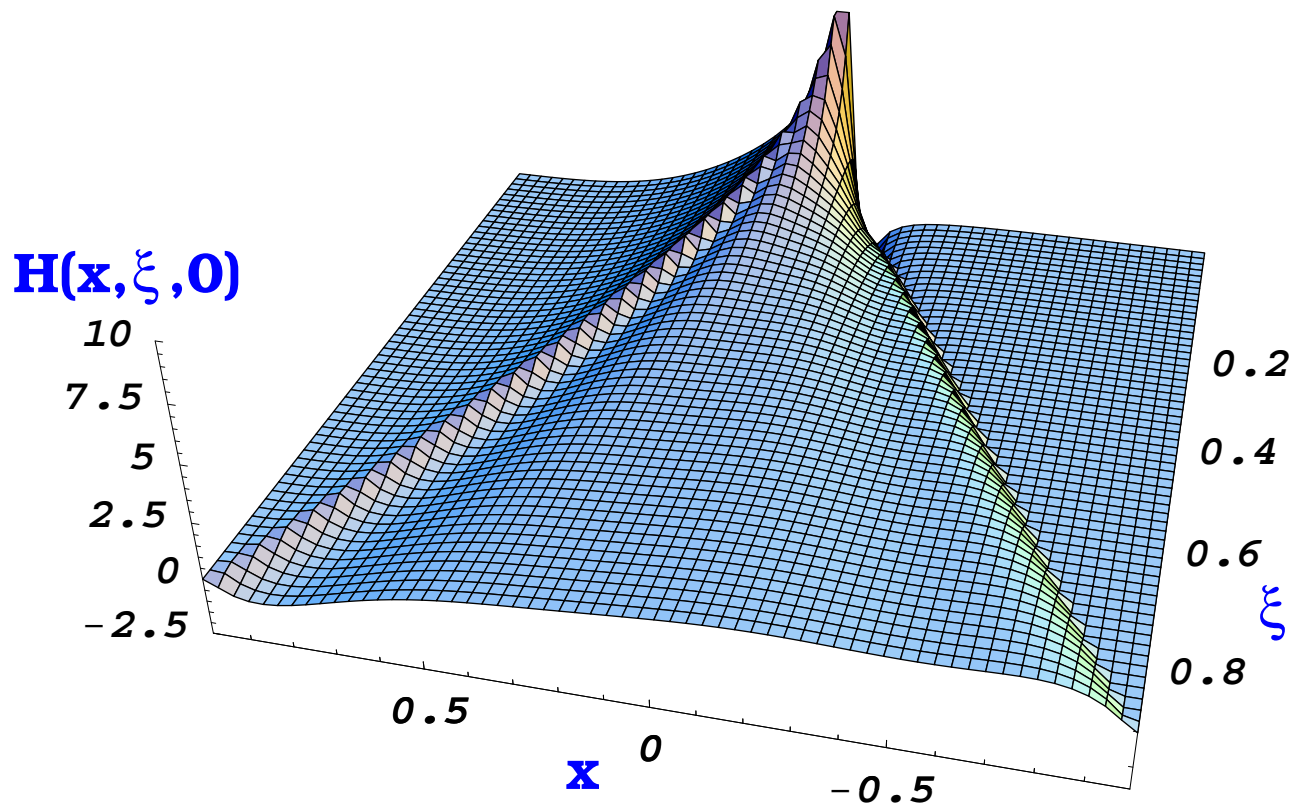
Factorized model for DDs:

(\sim usual parton density in β -direction) \otimes

(\sim distribution amplitude in α -direction)

E.g.,

$$f_a(\beta, \alpha; t = 0) = f_a(\beta) \times \frac{3[(1 - |\beta|)^2 - \alpha^2]}{(1 - |\beta|)^3}$$



Factorized **DD + D-term** model (M. Vanderhaeghen).

Five years before 1996:

Wave Functions, Evolution Equations and Evolution Kernels from Light Ray Operators of QCD.
Dieter Mueller, D. Robaschik, B. Geyer (Leipzig U.), F.M. Dittes (Rossendorf,
Forschungszentrum), J. Horejsi (Charles U.) **NTZ-6-91**, Dec 1998. 42pp.
Published in Fortsch.Phys.42:101,1994
e-Print Archive: hep-ph/9812448

Nonforward one-particle matrix elements ... lead to new
distribution amplitudes ... depend ... on **two scaling variables**

They are applied for description of exclusive **virtual Compton scattering** in the Bjorken region near forward direction ...

... evolution equations for these distribution amplitudes are derived

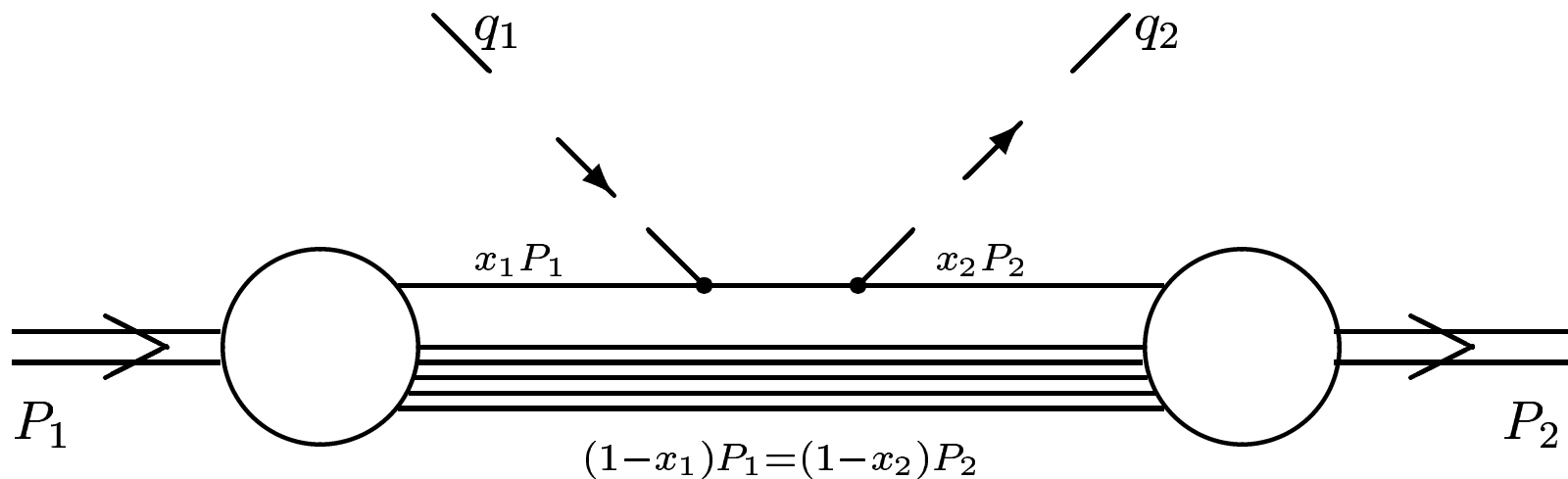
... evolution kernels **follow from anomalous dimensions** ...

Relations between different evolution kernels (especially the **Altarelli-Parisi** and the **Brodsky-Lepage**) kernels are derived ...

Paper discusses DDs, GPDs, spectral properties, etc.

Variables x_1, x_2 later appeared in discussing positivity constraints

$$x_1 = \frac{x + \xi}{1 + \xi}, \quad x_2 = \frac{x - \xi}{1 - \xi}$$



Leading contribution for the virtual Compton scattering in the Bjorken region

GPDs for large t

Nonforward parton densities and soft mechanism for form-factors and wide angle Compton scattering in QCD.

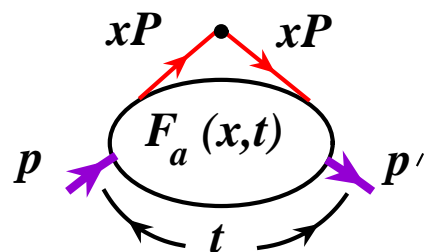
A.V. Radyushkin (Old Dominion U. & Jefferson Lab)

JLAB-THY-98-10, Mar 1998. 16pp.

Published in Phys.Rev.D58:114008,1998

e-Print Archive: hep-ph/9803316

Form factors in terms of $\xi = 0$ parton densities



$$P = (p + p') / 2$$

$$F_{1a}(t) = \int_0^1 F_{1a}(x, t) dx$$

flavor components of form factors

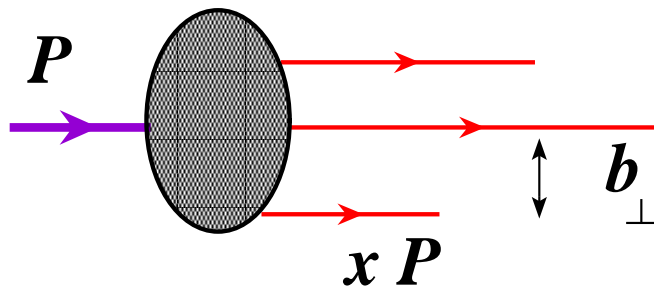
$$F_{1a}(x, t) \equiv e_a [\mathcal{F}_a(x, t) - \mathcal{F}_{\bar{a}}(x, t)]$$

Forward limit $t = 0$: $\mathcal{F}_{a(\bar{a})}(x, t = 0) = f_{a(\bar{a})}(x)$

Nonforward parton densities can be treated as Fourier transforms of the impact parameter b_{\perp} distributions $f_1(x, b_{\perp})$

$$\mathcal{F}(x, t) = \int f(x, b_{\perp}) e^{i(r_{\perp} b_{\perp})} d^2 b_{\perp}$$

$(t = -r_{\perp}^2)$ describing the variation of parton densities in the transverse plane



Interplay between x and t dependences: simplest factorized ansatz

$$\mathcal{F}_a(x, t) = f_a(x)F_1(t)$$

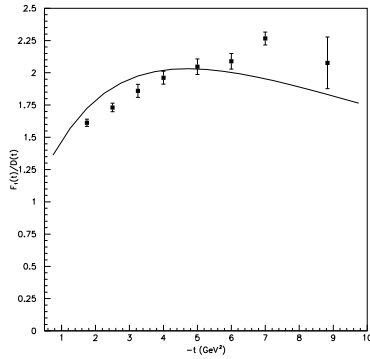
Reality may be more complicated: LC wave functions with Gaussian k_\perp dependence

$$\Psi(x_i, k_{i\perp}) \sim \exp \left[-\frac{1}{\lambda^2} \sum_i k_{i\perp}^2 / x_i \right]$$

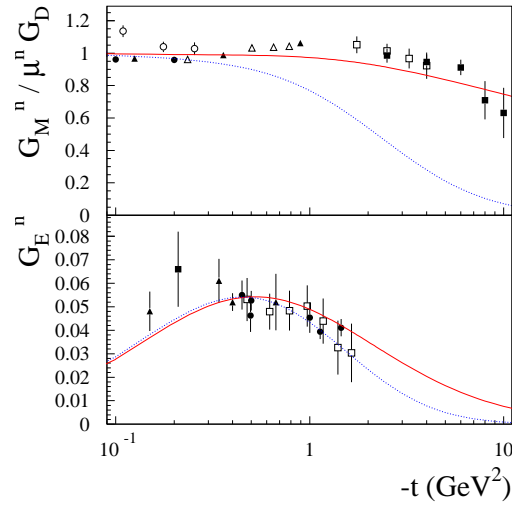
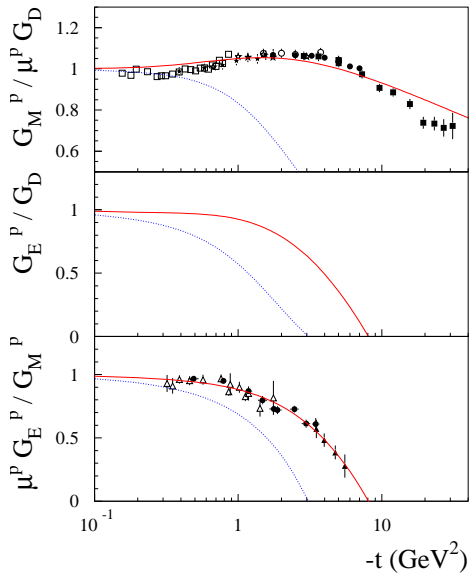
suggests

$$\mathcal{F}_a(x, t) = f_a(x)e^{\bar{x}t/2x\lambda^2}$$

λ^2 is adjusted to provide $\langle k_\perp^2 \rangle \approx (300\text{MeV})^2$



Proton $F_1(t)/F_{\text{dipole}}(t)$
form factor in Gaussian model



Proton & neutron form
factors in Regge-type

$$\mathcal{F}_a(x, t) = f_a(x)x^{-t\alpha'}$$

and

$$\mathcal{F}_a(x, t) = f_a(x)x^{-t\alpha'(1-x)}$$

models

	M_2^q (MRST2002)	$2 J^q$ (R2 model)	$2 J^q$ (lattice)
u	0.40	0.63	0.734 ± 0.135
d	0.22	-0.06	-0.085 ± 0.088
s	0.03	0.03	
$u + d + s$	0.65	0.60	0.65 ± 0.16

Estimate of $2 J^q$ (second column) for different quark flavors at scale $\mu^2 = 1 \text{ GeV}^2$ in modified Regge model. Using MRST2002 for forward parton distributions, yields total quark momentum contributions M_2^q (first column). Third column shows the quenched lattice QCD results of [?], extrapolated to the physical pion mass, for $2 J^u$ and $2 J^d$

Nucleon form-factors from generalized parton distributions. M. Guidal (Orsay, IPN) , M.V. Polyakov (St. Petersburg, INP & Liege U.) , A.V. Radyushkin (Old Dominion U. & Jefferson Lab), M. Vanderhaeghen (Jefferson Lab & William-Mary Coll.) JLAB-THY-04-286, Oct 2004. 18pp. Published in Phys.Rev.D72:054013,2005 e-Print Archive: hep-ph/0410251

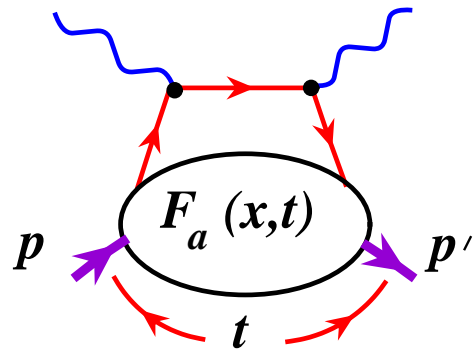
Application to wide-angle Compton scattering

Handbag term is \approx given by

$$\left[\sum_a e_a^2 R_V^a(t) \right]^2 \frac{d\sigma}{dt} \Big|_{KN}$$

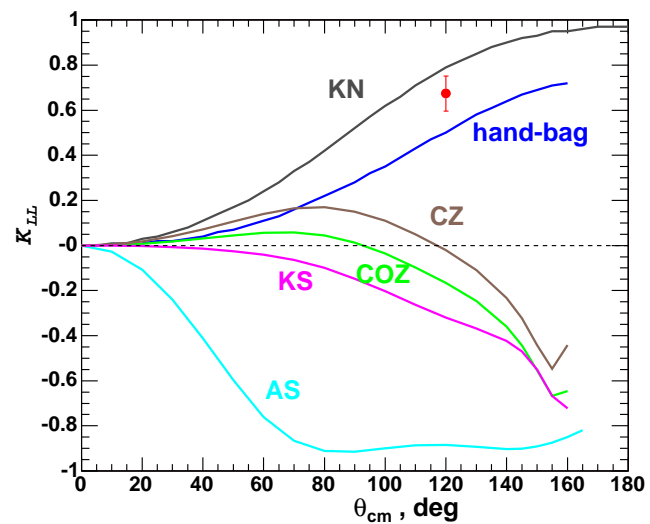
New form factors

$$R_V^a(t) = \int_0^1 \frac{\mathcal{F}^a(x, t)}{x} dx$$

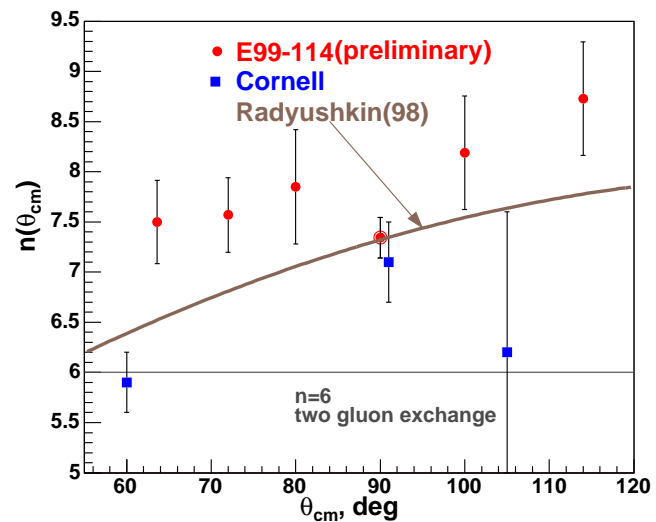


NB: $R_V^a(t)$ is obtained from the same NPD as for FF

Polarization transfer coefficient K_{LL}



Cross section scaling parameter



Comparison of JLab data with theoretical predictions

Polarization transfer in proton Compton scattering at high momentum transfer.

By Jefferson Lab Hall A Collaboration (D.J. Hamilton et al.) JLAB-PHY-04-280, Oct 2004. 4pp.

Published in Phys.Rev.Lett.94:242001,2005 e-Print Archive: nucl-ex/0410001

Major theoretical reviews on GPDs during 10 years since 1996

OFF FORWARD PARTON DISTRIBUTIONS.

Xiang-Dong Ji (Maryland U.) UMD-PP-98-092, DOE-ER-40762-144, Feb 1998. 29pp.

Published in J.Phys.G24:1181-1205,1998

e-Print Archive: hep-ph/9807358

HARD EXCLUSIVE REACTIONS AND THE STRUCTURE OF HADRONS.

K. Goeke (Ruhr U., Bochum), Maxim V. Polyakov (Ruhr U., Bochum & St. Petersburg, INP), M. Vanderhaeghen (Mainz U., Inst. Kernphys.) Jun 2001. 114pp.

Published in Prog.Part.Nucl.Phys.47:401-515,2001

e-Print Archive: hep-ph/0106012

GENERALIZED PARTON DISTRIBUTIONS.

M. Diehl (DESY) DESY-THESIS-2003-018, Jul 2003. 226pp.

Published in Phys.Rept.388:41-277,2003

e-Print Archive: hep-ph/0307382

UNRAVELING HADRON STRUCTURE WITH GENERALIZED PARTON DISTRIBUTIONS.

A.V. Belitsky (Arizona State U.), A.V. Radyushkin (Old Dominion U. & Jefferson Lab)

JLAB-THY-04-34, Apr 2005. 370pp.

Published in Phys.Rept.418:1-387,2005

e-Print Archive: hep-ph/0504030

Something has been done. But more work needed!