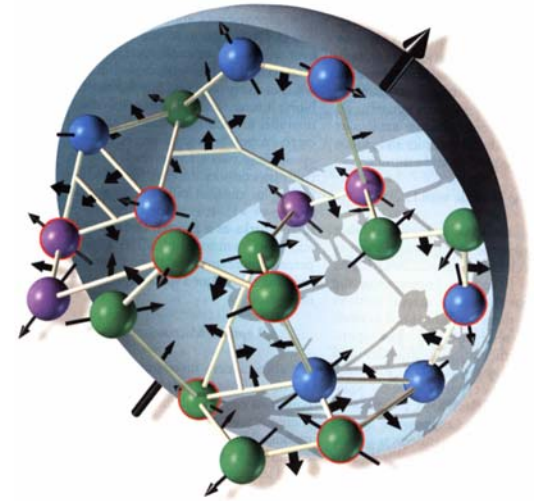
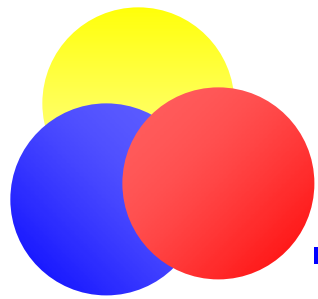


Theoretical and experimental challenges of SIDIS



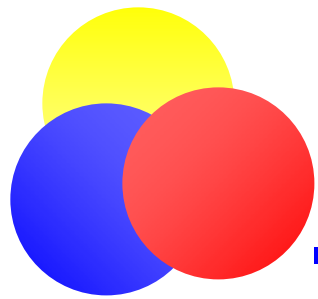
Piet Mulders





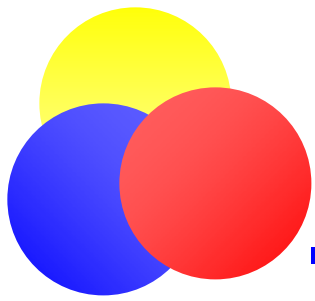
DIS

- ❑ Hard scattering processes to access partons (quarks and gluons): high energy end of duality regime
- ❑ Measure longitudinal (lightcone) momentum fraction x of struck quark and quark densities $f_1^{H \rightarrow q}(x)$
- ❑ Proton and neutron (possibly nuclei) for (limited) flavor sensitivity ($q = u, d$)
- ❑ Polarization to access longitudinal polarization $g_1^q(x) = \Delta q(x)$
- ❑ In principle unlimited access to higher twist contributions

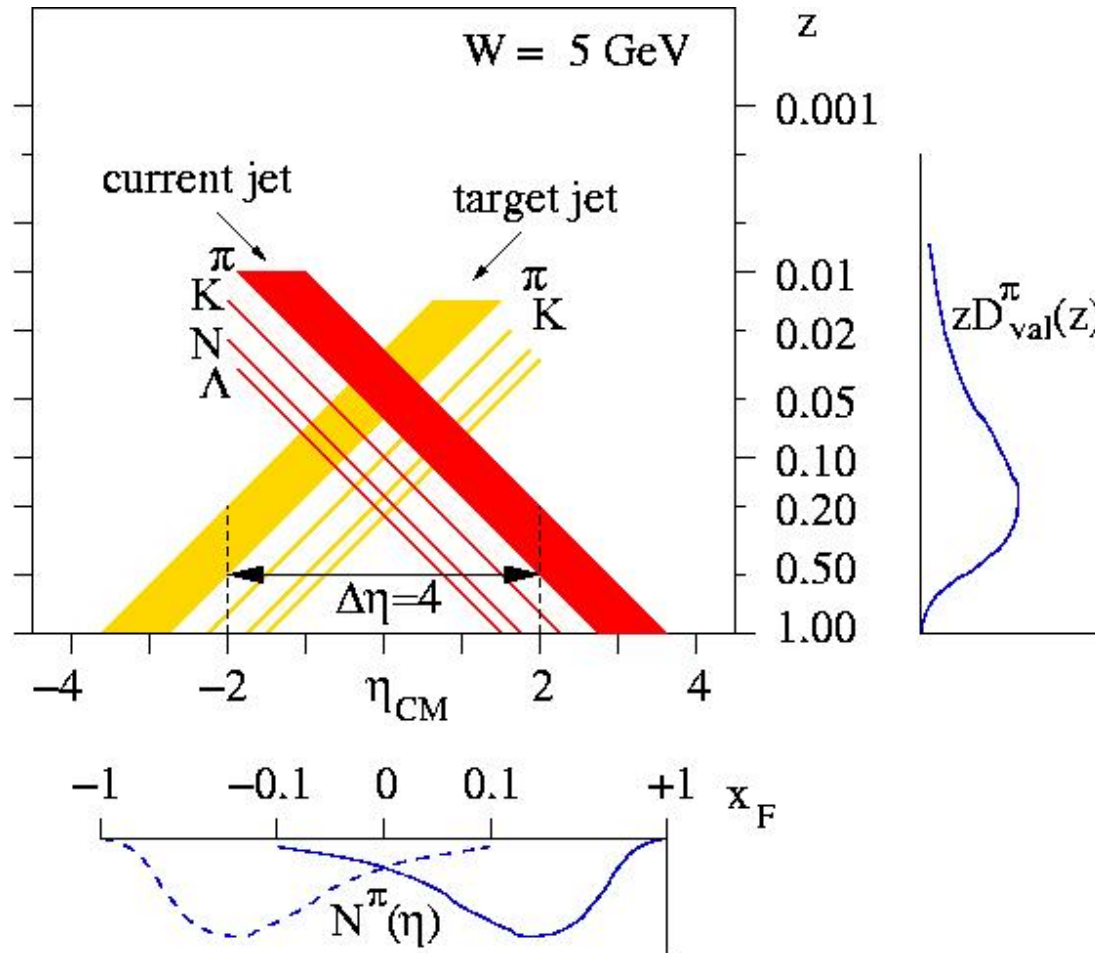


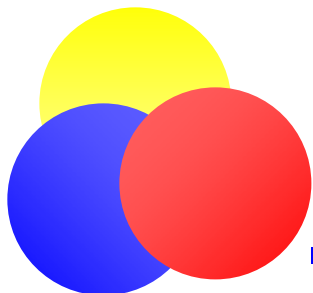
SIDIS

- ❑ Hard scattering processes to access partons (quarks and gluons): high energy end of duality regime
- ❑ Measure longitudinal (lightcone) momentum fraction x of struck quark and quark densities $f_1^{H \rightarrow q}(x)$ multiplied with decay densities $D_1^{q \rightarrow h}(z)$
- ❑ Proton and neutron (possibly nuclei) for (limited) flavor sensitivity and selection of specific hadrons (π , K , ...) for further flavor selectivity.
- ❑ Polarization to access longitudinal and transverse polarization, polarimetry for ρ or Λ -production
- ❑ Acces to transverse momenta of partons: $p = xP + p_T$
- ❑ Limited access to higher twist contributions!

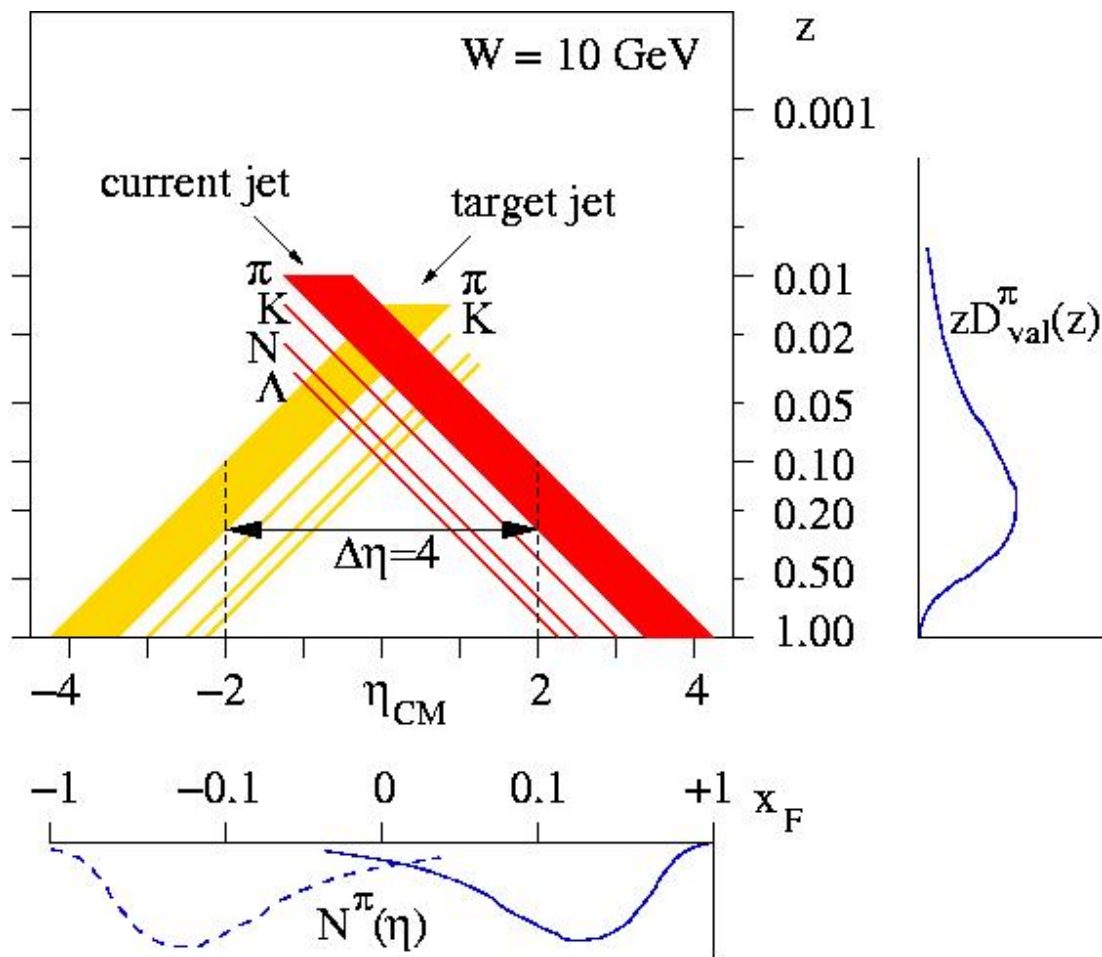


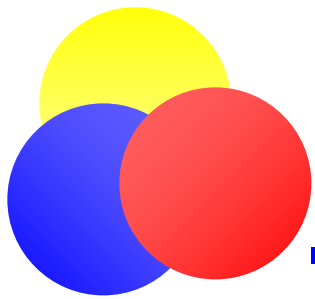
Kinematical flexibility



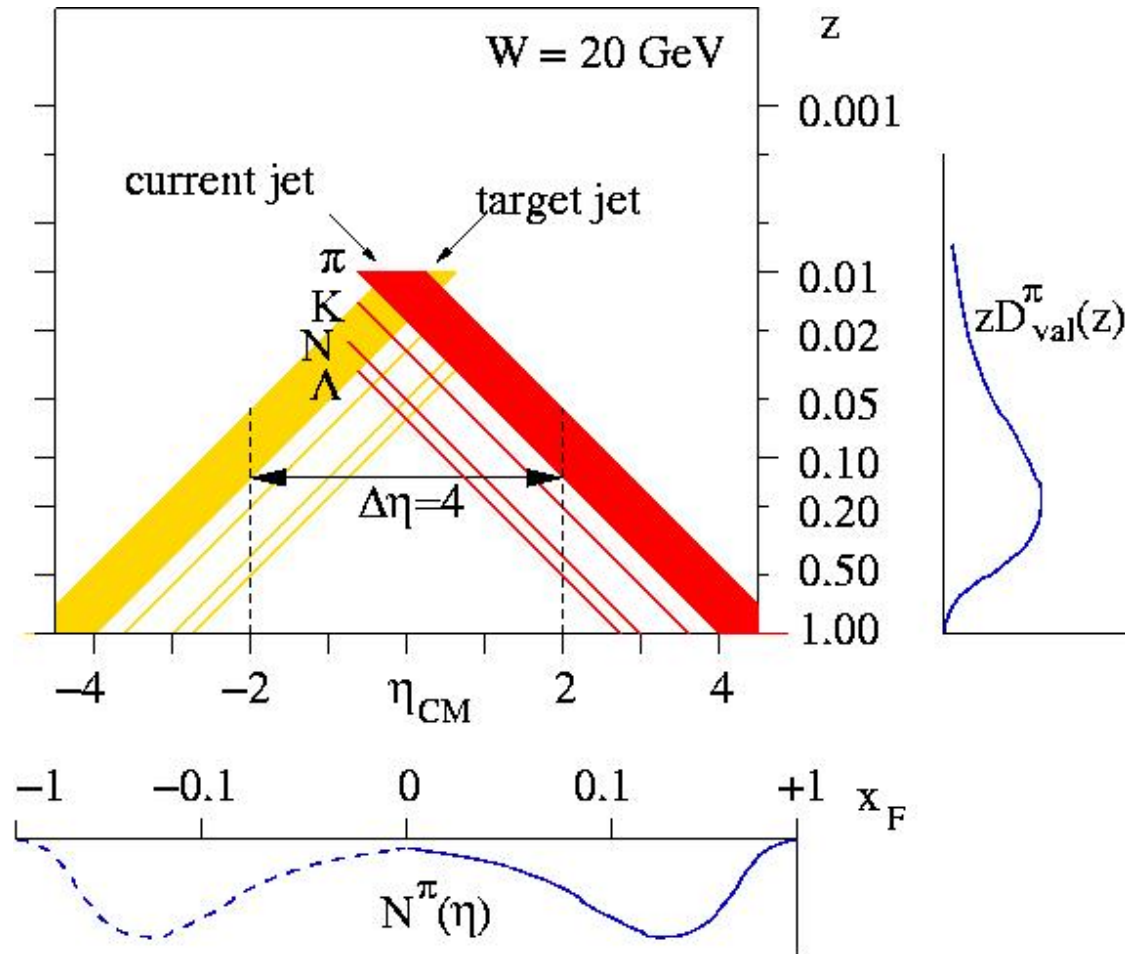


Kinematical flexibility



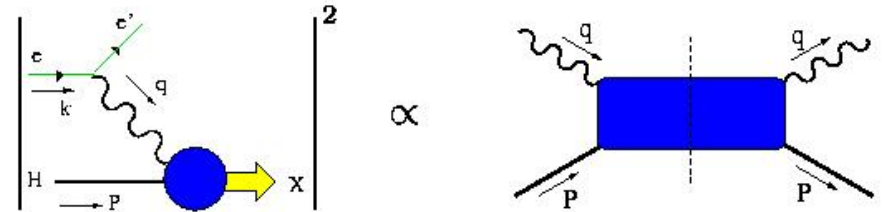


Kinematical flexibility

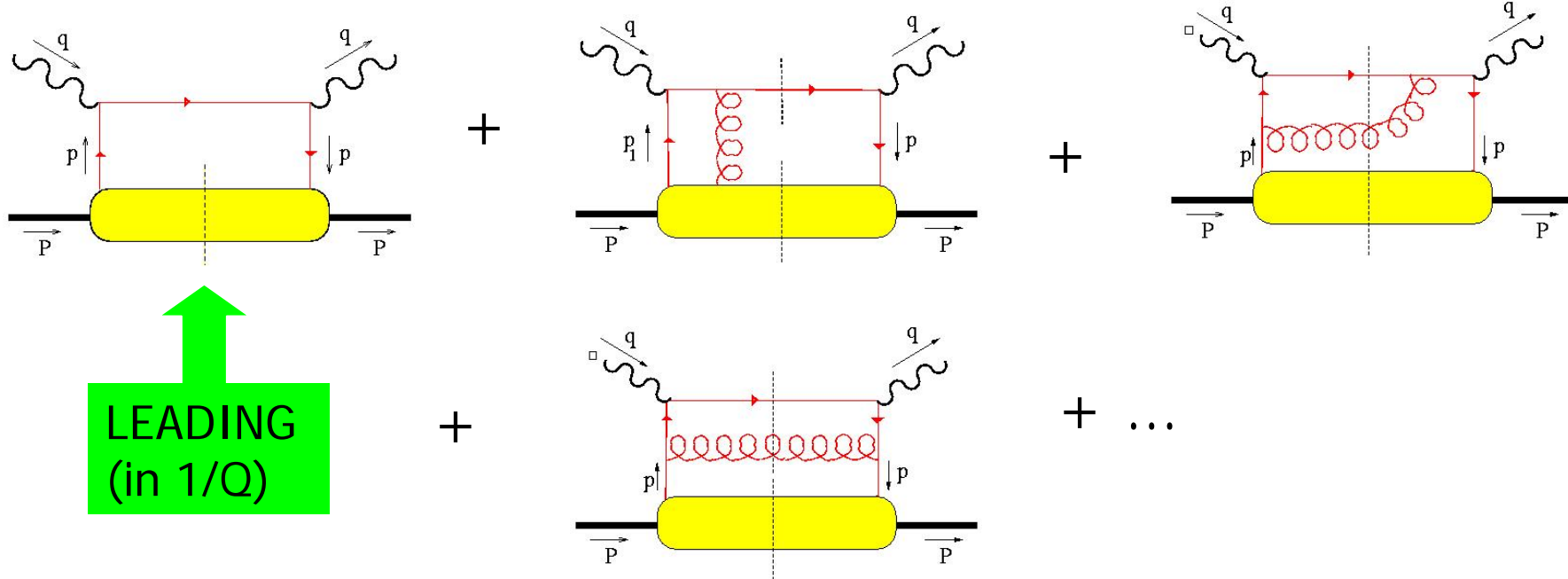


(calculation of) cross section in DIS

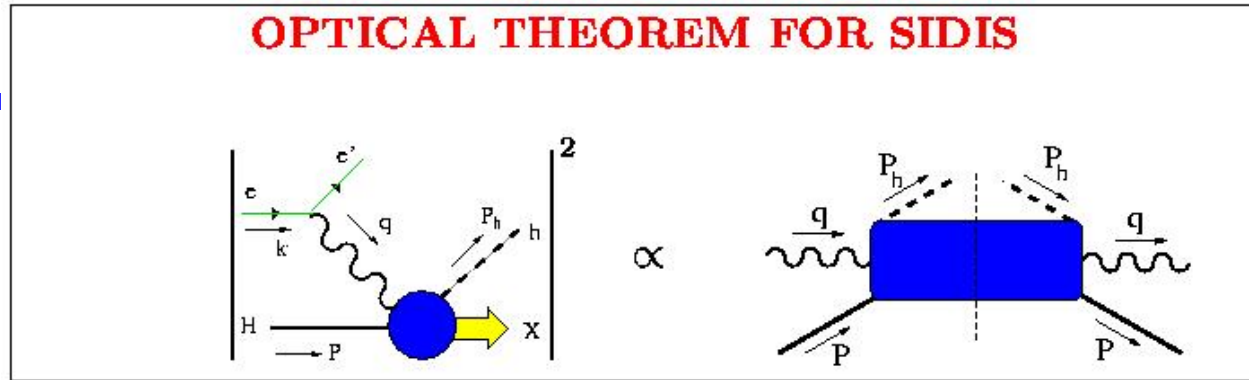
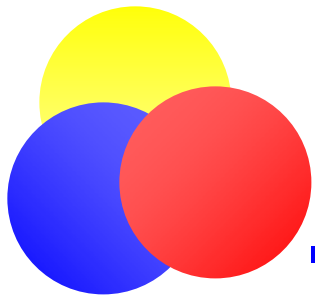
OPTICAL THEOREM FOR DIS



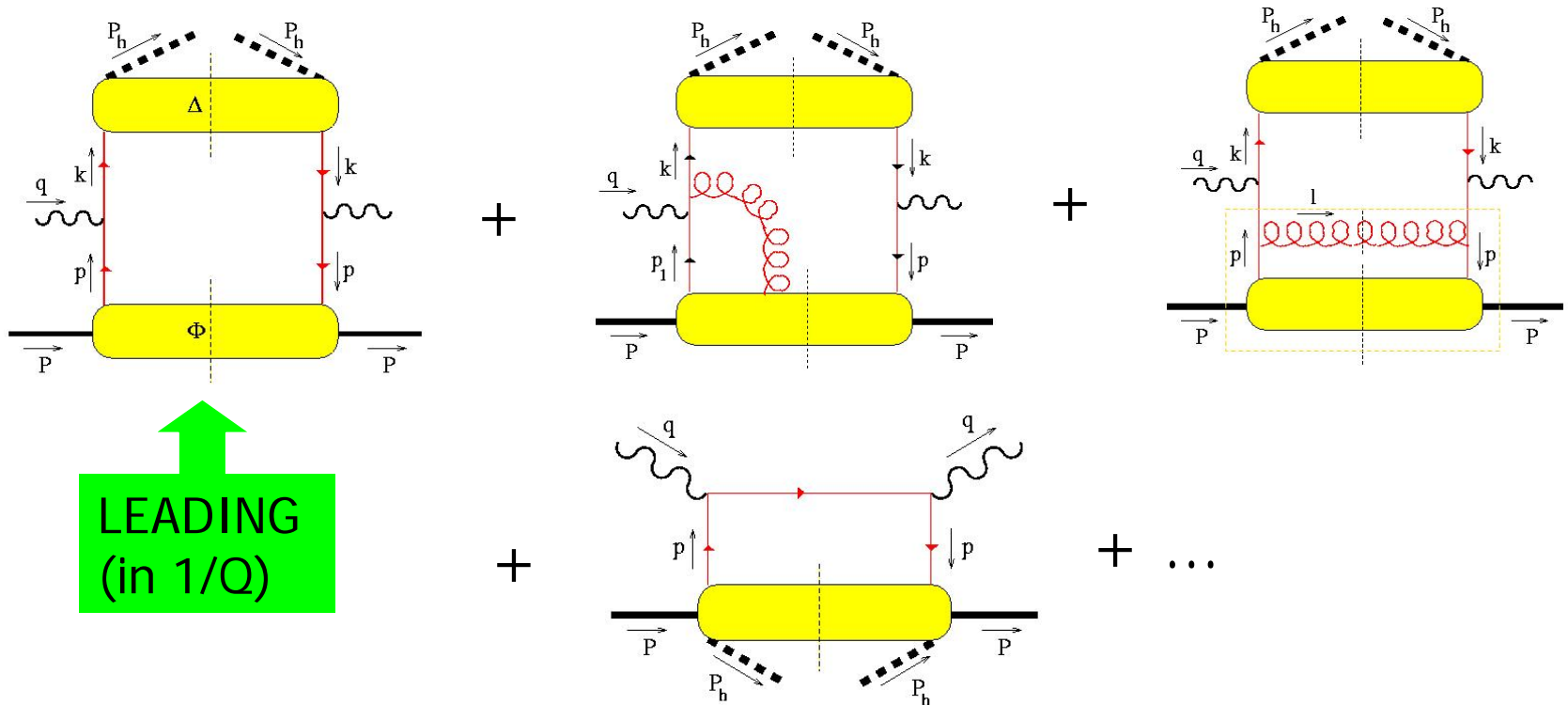
Full calculation



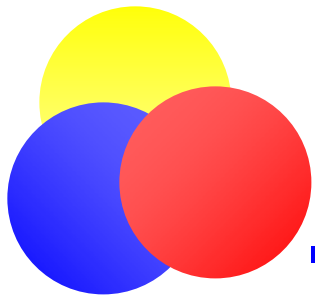
(calculation of) cross section in SIDIS



Full calculation

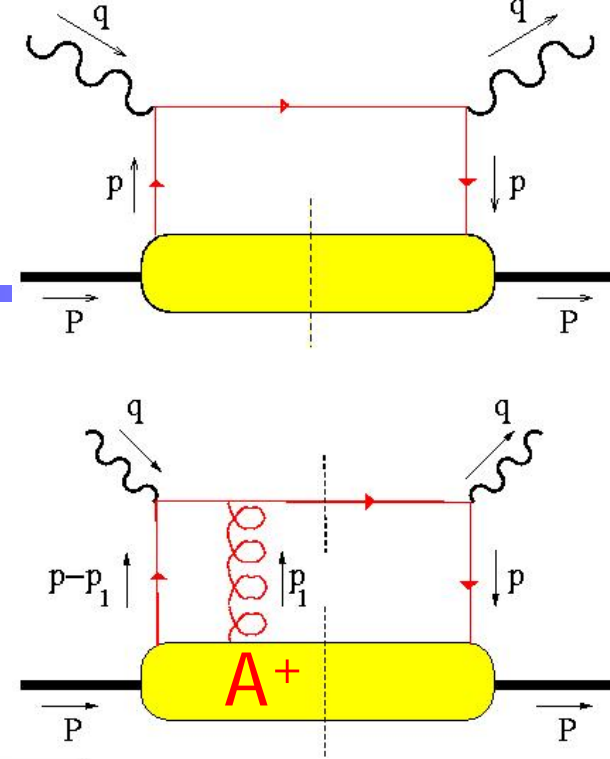


LEADING
(in $1/Q$)

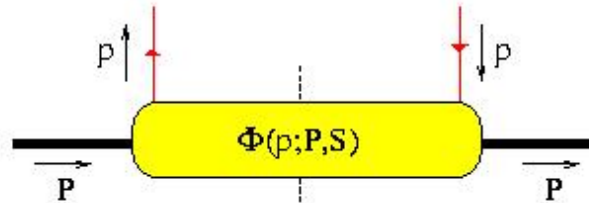


Soft part in (SI)DIS

- In limit of large Q^2 the result of 'handbag diagram' survives
- ... + contributions from A^+ gluons ensuring color gauge invariance



SOFT PARTS IN DIS



Ellis, Furmanski, Petronzio
Efremov, Radyushkin

A^+ gluons
→ gauge link

$$p^+ / P^+$$



$$\Phi_{ij}(x) = \int dp^- d^2 p_T \Phi(p, P, S)$$

$$= \int \frac{d\xi^-}{2\pi} e^{ip \cdot \xi} \langle P, S | \bar{\psi}_j(0) \mathcal{U}(0, \xi) \psi_i(\xi) | P, S \rangle \Big|_{\xi^+ = \xi_T = 0}$$


Parametrization of lightcone correlator

DISTRIBUTION FUNCTIONS

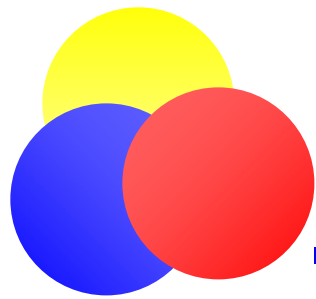
Parameterization of p_T -integrated soft part including subleading order and including T-odd parts for a spin 1/2 hadron:

leading part

$$\begin{aligned}
 \Phi(x) = & \frac{1}{2} \left\{ f_1(x) \not{v}_+ + S_L g_1(x) \gamma_5 \not{v}_+ + h_1(x) \frac{[\not{S}_T, \not{v}_+] \gamma_5}{2} \right\} \\
 & + \frac{M}{2P^+} \left\{ e(x) + g_T(x) \gamma_5 \not{S}_T + S_L h_L(x) \frac{[\not{v}_+, \not{v}_-] \gamma_5}{2} \right\} \\
 & - \frac{M}{2P^+} \left\{ \cancel{f_T(x)} \epsilon_T^{\rho\sigma} S_{T\rho} \gamma_\sigma - i S_L \cancel{e_L(x)} \gamma_5 + \cancel{h(x)} \frac{[\not{v}_+, \not{v}_-]}{2} \right\}
 \end{aligned}$$

 T-odd

- M/P⁺ parts appear as M/Q terms in cross section
- T-reversal applies to $\Phi(x)$ → no T-odd functions



Basis of partons

TWO 'SPIN' STATES FOR (GOOD) QUARK FIELDS

chiral eigenstates:

$$\psi_{R/L} \equiv \frac{1}{2}(1 \pm \gamma_5)\psi : \quad |R\rangle \quad \text{and} \quad |L\rangle$$

or

transverse spin eigenstates:

$$\psi_{\uparrow/\downarrow} \equiv \frac{1}{2}(1 \pm \gamma^\alpha \gamma_5)\psi : \quad |\uparrow\rangle \quad \text{and} \quad |\downarrow\rangle$$

Note: $[\mathcal{P}_{R/L}, \mathcal{P}_+] = [\mathcal{P}_{\uparrow/\downarrow}, \mathcal{P}_+] = 0$

- Good part of Dirac space is 2-dimensional
- Interpretation of DF's

unpolarized quark distribution $q(x)$

helicity or chirality distribution $\Delta q(x)$

transverse spin distr. or transversity $\delta q(x)$

DISTRIBUTION FUNCTIONS IN PICTURES

$$f_1(x) = \text{circle with black dot} = |R\rangle + |L\rangle$$

$$= |\uparrow\rangle + |\downarrow\rangle$$

$$S_L g_1(x) = |R\rangle \leftarrow - |L\rangle \leftarrow$$

$$S_T^\alpha h_1(x) = |\uparrow\rangle \leftarrow - |\downarrow\rangle \leftarrow$$

$$\frac{1}{2} \int_{-\infty}^{\infty} \frac{d\xi^+}{(2\pi)^e} \langle \psi(0) \gamma^\alpha \gamma_5 \psi(\xi^+) | \xi^+ = \xi_T = 0 \rangle = h_1(x) S_T^\alpha$$

Matrix representation for $M = [\Phi(x)\gamma^+]^T$

Quark production matrix, directly related to the helicity formalism

Anselmino et al.

MATRIX REPRESENTATION FOR SPIN 1/2

p_T -integrated distribution functions:

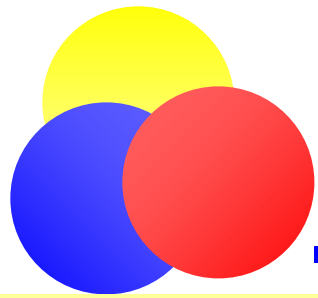
For a **spin 1/2** hadron (e.g. nucleon) the quark production matrix in quark \otimes nucleon spin space is given by

$$M^{(\text{prod})} = \begin{pmatrix} f_1 + g_1 & 0 & 0 & 2h_1 \\ 0 & f_1 - g_1 & 0 & 0 \\ 0 & 0 & f_1 - g_1 & 0 \\ 2h_1 & 0 & 0 & f_1 + g_1 \end{pmatrix}$$

(R) →
← (R)
(L) →
(L) ←

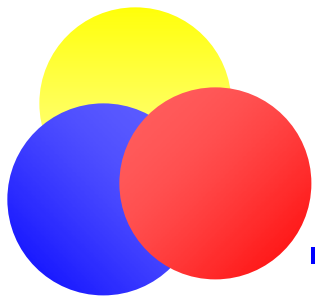
(R) →
← (R)
(L) →
(L) ←

- Off-diagonal elements (RL or LR) are chiral-odd functions
- Chiral-odd soft parts must appear with partner in e.g. SIDIS, DY



Theory

- ❑ Acces to transverse momenta of partons: $p = xP + p_T$
- ❑ Limited access to higher twist contributions!



Measuring transverse structure

$$p \approx x P + p_T$$

$$k \approx z^{-1} K + k_T$$

- In a hard process one probes partons (quarks and gluons)
- Momenta fixed by kinematics (external momenta)

DIS $x = x_B = Q^2/2P \cdot q$

SIDIS $z = z_h = P \cdot K_h / P \cdot q$

- Also possible for transverse momenta

SIDIS $q_T = k_T - p_T$
 $= q + x_B P - K_h / z_h \approx -K_{h\perp} / z_h$

2-particle inclusive hadron-hadron scattering

$$q_T = p_{1T} + p_{2T} - k_{1T} - k_{2T}$$

$$= K_1 / z_1 + K_2 / z_2 - x_1 P_1 - x_2 P_2 \approx K_{1\perp} / z_1 + K_{2\perp} / z_2$$

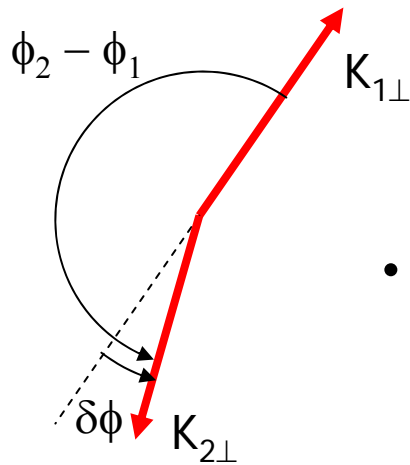
- Sensitivity for transverse momenta requires ≥ 3 momenta

SIDIS: $\gamma^* + H \rightarrow h + X$

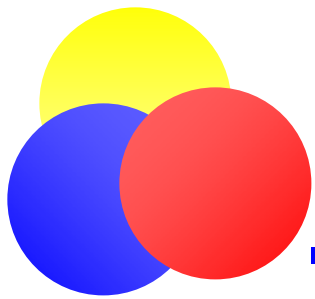
DY: $H_1 + H_2 \rightarrow \gamma^* + X$

e^+e^- : $\gamma^* \rightarrow h_1 + h_2 + X$

hadronproduction: $H_1 + H_2 \rightarrow h + X$
 $\rightarrow h_1 + h_2 + X$



pp-scattering



Parametrization of $\Phi(x, p_T)$

- Additional TMD distribution functions, terms $\sim p_T$
- Link dependence allows also T-odd **distribution** functions since $T U[0, \infty] T^\dagger = U[0, -\infty]$
- Functions $h_{1\perp}^\perp$ and $f_{1T\perp}^\perp$ (Sivers) nonzero! They come from gauge link (i.e. involve gluon fields)
- Similar functions (of course) exist as fragmentation functions (no T-constraints) $H_{1\perp}^\perp$ (Collins) and $D_{1T\perp}^\perp$
- For spin 0 and spin $\frac{1}{2}$ T-odd effects require p_T

DISTRIBUTION FUNCTIONS

Parameterization of p_T -dependent soft part at leading order and including T-odd parts for polarized hadrons:

$$\Phi_0(x, p_T) =$$

$$\left\{ f_1(x, p_T^2) + i h_1^\perp(x, p_T^2) \frac{\not{p}_T}{M} \right\} \psi_+$$

$$\Phi_L(x, p_T) =$$

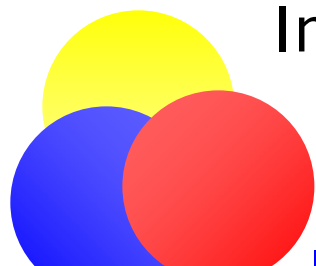
$$\left\{ S_L g_{1L}(x, p_T^2) \gamma_5 + S_L h_{1L}^\perp(x, p_T^2) \gamma_5 \frac{\not{p}_T}{M} \right\} \psi_+$$

$$\Phi_T(x, p_T) =$$

$$\left\{ g_{1T}(x, p_T^2) \frac{\mathbf{p}_T \cdot \mathbf{S}_T}{M} \gamma_5 + f_{1T}^\perp(x, p_T^2) \frac{\epsilon_{T\rho\sigma} p_T^\rho S_T^\sigma}{M} \right. \\ \left. + h_{1T}(x, p_T^2) \gamma_5 \not{S}_T + h_{1T}^\perp(x, p_T^2) \frac{\mathbf{p}_T \cdot \mathbf{S}_T}{M} \frac{\gamma_5 \not{p}_T}{M} \right\} \psi_+$$

$$\Phi_{LL}(x, p_T) = \dots$$

Interpretation



unpolarized quark distribution

need p_T

T-odd

helicity or chirality distribution

need p_T

T-odd

need p_T

transverse spin distr. or transversity

need p_T

need p_T

DISTRIBUTION FUNCTIONS IN PICTURES

$$f_1(x, p_T^2) = \text{circle with black dot} = \text{circle with R} + \text{circle with L}$$

$$= \text{circle with black dot and red arrow up} + \text{circle with black dot and red arrow down}$$

$$\frac{\mathbf{p}_T \times \mathbf{S}_T}{M} f_{1T}^\perp(x, p_T^2) = \text{circle with black dot and green arrow up} - \text{circle with black dot and green arrow down}$$

$$S_L g_{1L}(x, p_T^2) = \text{circle with R and green arrow left} - \text{circle with L and green arrow right}$$

$$\frac{\mathbf{p}_T \cdot \mathbf{S}_T}{M} g_{1T}(x, p_T^2) = \text{circle with R and green arrow up} - \text{circle with L and green arrow up}$$

$$S_T^\alpha h_{1T}(x, p_T^2) = \text{circle with black dot and red arrow up} - \text{circle with black dot and red arrow down}$$

$$i \frac{p_T^\alpha}{M} h_{1T}^\perp(x, p_T^2) = \text{circle with black dot and red arrow up} - \text{circle with black dot and red arrow down}$$

$$S_L \frac{p_T^\alpha}{M} h_{1L}^\perp(x, p_T^2) = \text{circle with black dot and red arrow up and green arrow left} - \text{circle with black dot and red arrow down and green arrow right}$$

$$\frac{\mathbf{p}_T \cdot \mathbf{S}_T}{M} \frac{p_T^\alpha}{M} h_{1T}^\perp(x, p_T^2) = \text{circle with black dot and red arrow up and green arrow up-left} - \text{circle with black dot and red arrow down and green arrow down-right}$$

unpolarized hadrons

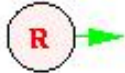

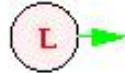
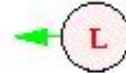


Matrix representation for $M = [\Phi^{[\pm]}(x, p_T) \gamma^+]^T$

- p_T -dependent functions

MATRIX REPRESENTATION FOR SPIN 1/2

p_T -dependent quark distributions:

			
$f_1 + g_{1L}$	$\frac{ p_T }{M} e^{i\phi} g_{1T}$	$\frac{ p_T }{M} e^{-i\phi} h_{1L}^\perp$	$2 h_1$
$\frac{ p_T }{M} e^{-i\phi} g_{1T}$	$f_1 - g_{1L}$	$\frac{ p_T ^2}{M^2} e^{-2i\phi} h_{1T}^\perp$	$-\frac{ p_T }{M} e^{-i\phi} h_{1L}^\perp$
$\frac{ p_T }{M} e^{i\phi} h_{1L}^\perp$	$\frac{ p_T ^2}{M^2} e^{2i\phi} h_{1T}^\perp$	$f_1 - g_{1L}$	$-\frac{ p_T }{M} e^{i\phi} g_{1T}$
$2 h_1$	$-\frac{ p_T }{M} e^{i\phi} h_{1L}^\perp$	$-\frac{ p_T }{M} e^{-i\phi} g_{1T}$	$f_1 + g_{1L}$

T-odd: $g_{1T} \rightarrow g_{1T} - i f_{1T}^\perp$ and $h_{1L}^\perp \rightarrow h_{1L}^\perp + i h_1^\perp$ (imaginary parts)

Possibilities in leptonproduction of pions

Asymmetries are (theoretically) most clean in terms of transverse moments (p_T -weighted functions)

MATRIX REPRESENTATION FOR SPIN 0

p_T -dependent quark fragmentation functions:

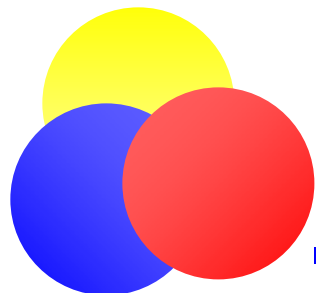
$$M^{(\text{dec})} = \begin{pmatrix} D_1 & i \frac{|k_T| e^{-i\phi}}{M_h} H_1^\perp \\ -i \frac{|k_T| e^{+i\phi}}{M_h} H_1^\perp & D_1 \end{pmatrix} \begin{matrix} \textcircled{R} \\ \textcircled{L} \\ \textcircled{R} \\ \textcircled{L} \end{matrix}$$

SIDIS: $\ell + H^\dagger \rightarrow \ell + h + X$

$$\left\langle \frac{Q_T}{M} \sin(\phi_h^\ell - \phi_S^\ell) \right\rangle_{OTO} = \frac{2\pi\alpha^2 s}{Q^4} |\mathbf{S}_T| \left(1 - y + \frac{1}{2} y^2\right) \sum_{a, \bar{a}} e_a^2 x_B f_{1T}^{\perp(1)a}(x_B) D_1^a(z_h)$$

$$\left\langle \frac{Q_T}{M_h} \sin(\phi_h^\ell + \phi_S^\ell) \right\rangle_{OTO} = \frac{2\pi\alpha^2 s}{Q^4} |\mathbf{S}_T| 2(1 - y) \sum_{a, \bar{a}} e_a^2 x_B h_1^a(x_B) H_1^{\perp(1)a}(z_h)$$

H_1^\perp is T-odd and chiral-odd



Factorization studies in SIDIS

- Measurements of TMD distribution (and fragmentation functions)
- Investigate the p_T -dependence
- Important as experimental input on factorization behavior
- Normal situation

$$\Phi_2(x, p_T) \rightarrow \frac{\alpha(p_T^2)}{p_T^2} K \otimes \Phi_2(x)$$

- TMD functions

$$\Phi_3(x, p_T) \rightarrow \frac{\alpha(p_T^2)}{|p_T|} K \otimes \Phi_2(x)$$

After integration: subleading in $1/Q \rightarrow$ NLO (in α_s)

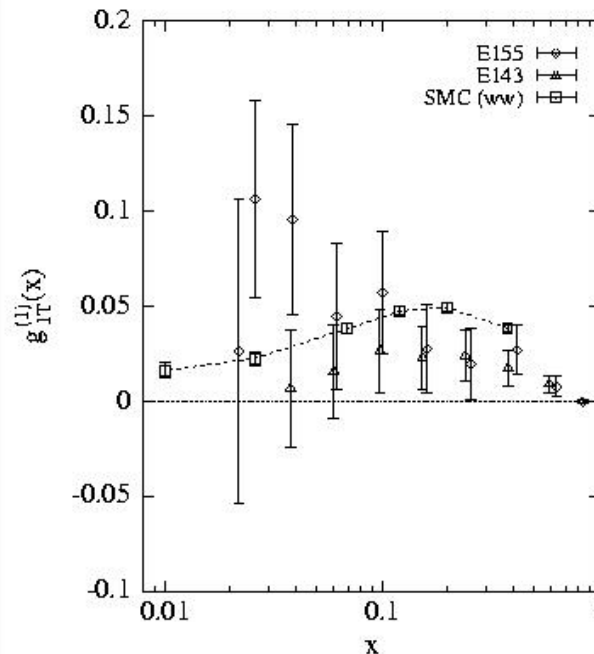
- Where: look for $\langle \cos \phi_h \rangle$, $\langle \sin \phi_h \rangle$ azimuthal asymmetries.

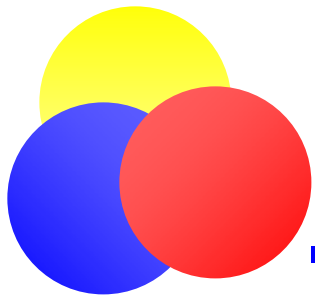
Transverse momenta and higher twist

- Link between weighted TMD functions (transverse moments) and higher twist functions (QCD eom)
- $g_{1T}^{(1)}(x, p_T^2)$ from SIDIS $\langle q_T \cos(\phi_s - \phi_h) \rangle$
- g_2 from DIS at $1/Q$ $\langle \cos(\phi_s) \rangle$
- Role of gauge link needs to be (further) investigated.

ESTIMATE OF g_{1T}

- datapoints: SLAC g_2 -data: $g_{1T}^{(1)}(x) = -\int_x^1 dy g_2(y)$ (including E155, preliminary)
- line: above relation with $g_2(x) = g_2^{WW}(x)$





Single spin asymmetries

Color gauge invariance

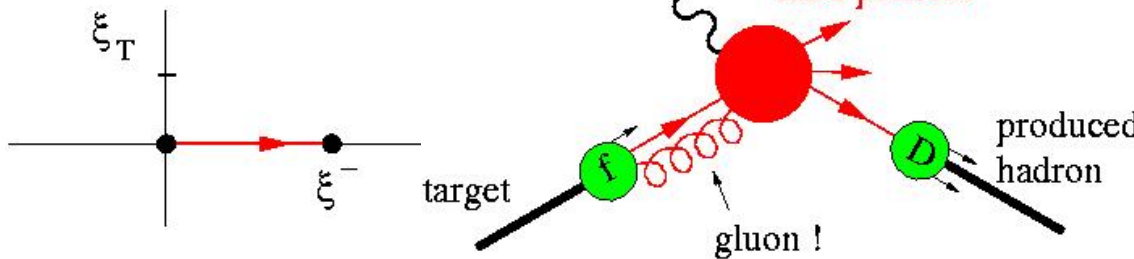
- Nonlocal combinations of **colored** fields must be joined by a gauge link:

$$\bar{\psi}(0)\psi(\xi) \rightarrow \bar{\psi}(0)U(0,\xi)\psi(\xi)$$

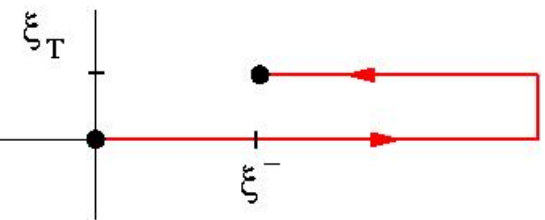
$$U(0,\xi) = \mathcal{P} \exp\left(-ig \int_0^\xi ds^\mu A_\mu\right)$$

- Gauge link structure is calculated from collinear A.n gluons exchanged between soft and hard part

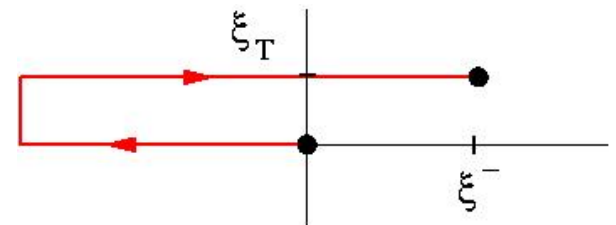
DIS $\rightarrow \Phi^{[U]}$



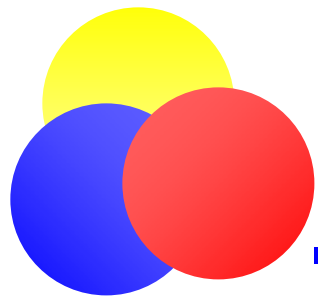
SIDIS $\rightarrow \Phi^{[U^+]} = \Phi^{[+]}$



DY $\rightarrow \Phi^{[U^-]} = \Phi^{[-]}$



- Link structure for TMD functions depends on the hard process!
(Pijlman, Bomhof, PM unifies Brodsky, Schmidt, Ji, Yuang, ...)



Summary

- Access to the (transverse) partonic structure of hadrons is still in a very preliminary stage (azimuthal asymmetries by themselves or combined with single or double spin asymmetries)
- Theoretical interesting parts are contained in α_s , p_T and $1/Q$ structure of cross section putting demands on experimental detection capabilities
- Like the spin puzzle, interplay of theory and experiment is essential