

Topological Summation of Observables Measured with Dynamical Overlap Fermions

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Abstract :

- HMC histories for dynamical overlap fermions tend to stay in a fixed top sector for many trajectories.
- Therefore the suitable summation of observables, which have been measured in separate sectors, is a major challenge.
- We explore several techniques for this issue, based on data for the chiral condensate and “meson” masses in the 2-flavour Schwinger model with dynamical overlap-hypercube fermions.

Schwinger model (QED₂) :

$$\mathcal{L}(\bar{\Psi}, \Psi, A_\mu) = \bar{\Psi}(x) \left[\gamma_\mu (i\partial_\mu + gA_\mu) + m \right] \Psi(x) + \frac{1}{2} F_{\mu\nu}(x) F_{\mu\nu}(x) .$$

Predictions for two degenerate flavours of fermion mass $m \ll g$:

Chiral condensate $\Sigma(m) \equiv -\langle \bar{\Psi} \Psi \rangle = 0.388 \dots (mg^2)^{1/3}$ [1] ,

“Mesons“ :

Pion mass $M_\pi = 2.008 \dots (m^2g)^{1/3}$ [1] ,

eta mass $M_\eta = \sqrt{\frac{2g^2}{\pi} + M_\pi^2}$ [2] .

Lattice formulation :

- Compact link variables $U_{\mu,x} \in U(1)$; plaquette gauge action.
- **Overlap-hypercube Dirac operator**

$$D_{\text{ovHF}}(m) = \left(1 - \frac{m}{2}\right) D_{\text{ovHF}}^{(0)} + m$$

$$D_{\text{ovHF}}^{(0)} = 1 + (D_{\text{HF}} - 1) / \sqrt{(D_{\text{HF}}^\dagger - 1)(D_{\text{HF}} - 1)}$$

$D_{\text{HF}}(U)$: hypercube fermion operator
truncated perfect, approximately chiral [3].

Insert D_{HF} into **overlap formula** [4]

→ $D_{\text{ovHF}}^{(0)}$ solves the Ginsparg-Wilson relation [5], exact chirality [6].

Comparison with standard overlap operator [4]: **computational overhead in the kernel** ($D_{\text{Wilson}} \rightarrow D_{\text{HF}}$), but D_{ovHF} has numerous virtues [3, 7]:

- Faster **convergence** in the polynomial evaluation of D_{ovHF} .
- Much higher degree of **locality**
- Approximate **rotation symmetry**
- Improved **scaling** behaviour.

All these virtues are based on the **similarity**

$$\mathbf{D}_{\text{ovHF}} \approx \mathbf{D}_{\text{HF}} .$$

Moreover that property also **facilitates HMC simulations**: *simplified HMC force* from a low polynomial in D_{HF} [8].

Simulations at $\beta \equiv \frac{1}{g^2} = 5$ on $L \times L$ lattices

with two fermion flavours of mass m :

- $L = 16$ at $m = 0.01, 0.03, 0.06, 0.09, 0.12, 0.18, 0.24$
- $m = 0.01$ at $L = 16, 20, 24, 28, 32$

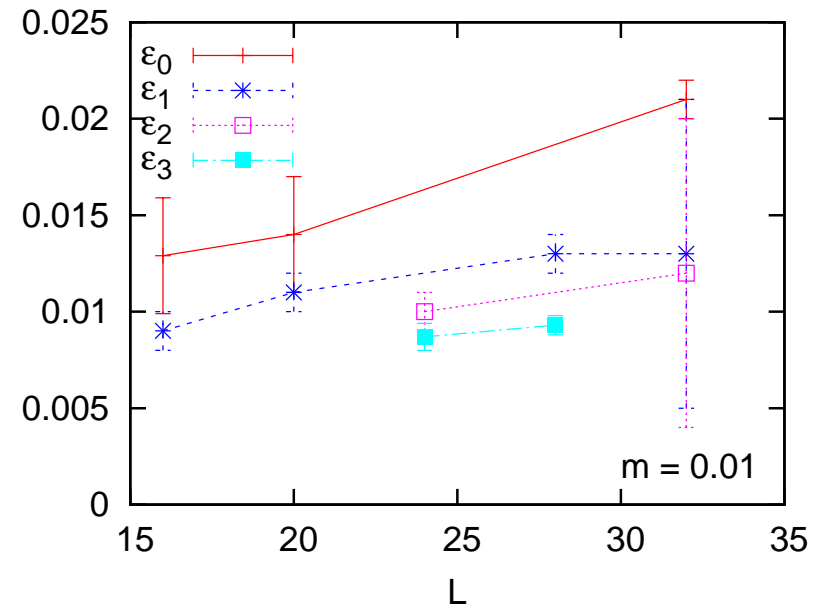
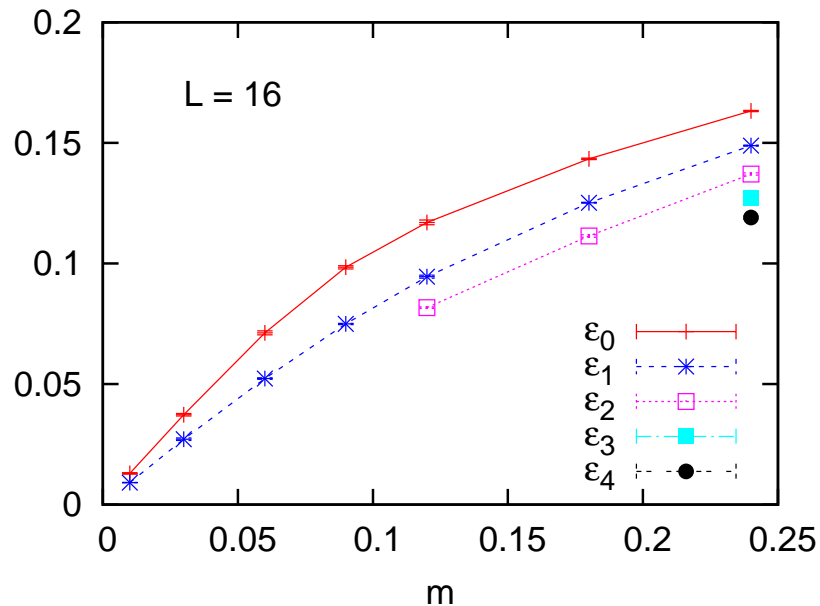
$\nu :=$ top. charge, defined by the fermion index [5]

(only $|\nu|$ matters).

Chiral condensate $\Sigma = \frac{1}{V} \sum_i \frac{1}{|\lambda_i| + m} := \frac{|\nu|}{mV} + \varepsilon_{|\nu|}$ ($V = L^2$)

λ_i : Dirac eigenvalues, stereographically mapped onto imag. axis

Σ is well reproduced from RMT for $\langle \lambda_1 \rangle_{\nu=0} / \langle \lambda_1 \rangle_{|\nu|=1}$ [9]. Here **direct** measurement:



For $\nu \neq 0$ zero-mode contribution dominates, $\varepsilon_{|\nu|}$ small.

Zeros repel low lying non-zero modes $\Rightarrow \varepsilon_0 > \varepsilon_1 > \varepsilon_2 \dots$ (1)

Larger volume: more EV near 0 $\Rightarrow \varepsilon_i(V_1) > \varepsilon_i(V_2)$ for $V_1 > V_2$ (2)

1st Method : **Gaussian Summation**

Assume Gaussian distribution of the top. charges:

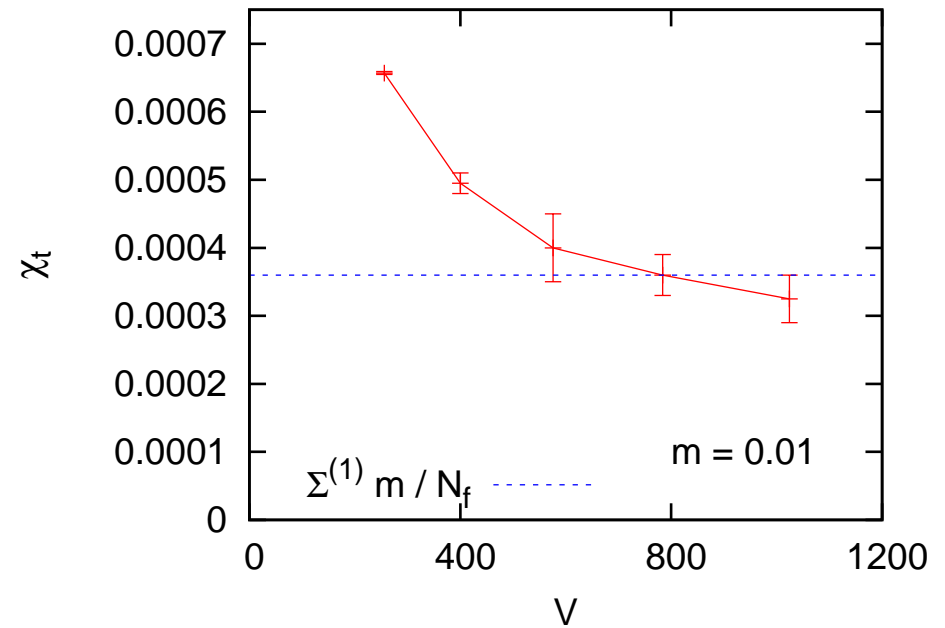
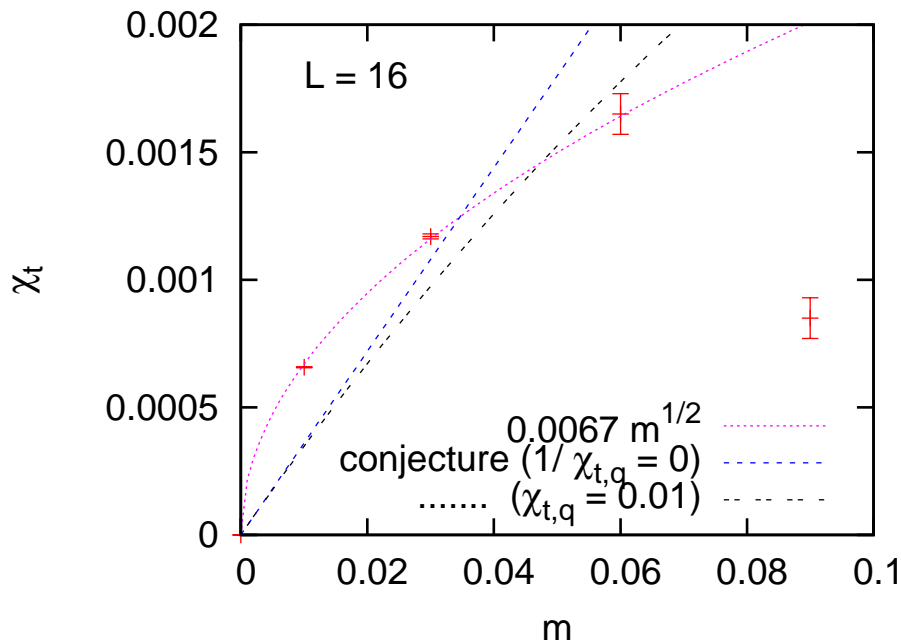
$$\Sigma = \sum_{\nu=-\infty}^{\infty} p(|\nu|) \Sigma_{|\nu|}, \quad p(|\nu|) = \frac{\exp\{-\nu^2/(2V\chi_t)\}}{\sum_{\nu} \exp\{-\nu^2/(2V\chi_t)\}}$$

$$\Sigma_{|\nu|} = -\langle \bar{\psi} \psi \rangle_{|\nu|}$$

$$\chi_t = \langle \nu^2 \rangle / V : \text{top. susceptibility}$$

- If we have measured results for $\Sigma_0 \dots \Sigma_Q$,
we insert for $|\nu| > Q$: $\frac{|\nu|}{mV} < \Sigma_{|\nu|} < \frac{|\nu|}{mV} + \varepsilon_Q$ based on **ineq. (1)**
- For $L = 24$ and 28 , $\Sigma_{|\nu|}$ data are missing for $\nu = 0$:
fix min./max. for $\varepsilon_{|\nu|}$ by **ineq. (2)** from next smaller/larger V .

For $m = 0.01 \dots 0.06$ we **tune χ_t to match the prediction** of p.2 [1].



Results suggest $\chi_t \propto \sqrt{m}$ in fixed V near chiral limit.

Alternative results (with quenched re-weighted configurations) were given by Dürer and Hoelbling [10]. Conjecture : $\chi_t \simeq [N_f / (\Sigma^{(1)} m) + 1/\chi_{t,q}]^{-1}$, with $\Sigma^{(1)} = \Sigma_{N_f=1}(m = 0) \simeq 0.16 g$ and $\chi_{t,q} = \chi_t(m \rightarrow \infty)$ [11].

2nd Method : **Summation Formula**

1st method uses theoretical Σ as input to obtain χ_t

Goal: now compute Σ itself

Approximation formula in analogy to Ref. [12] (derivation in [13])

$$\Sigma_\nu := -\langle \bar{\psi}\psi \rangle_\nu \approx \Sigma - \frac{A}{V} + \nu^2 \frac{B}{V^2} \quad (3)$$

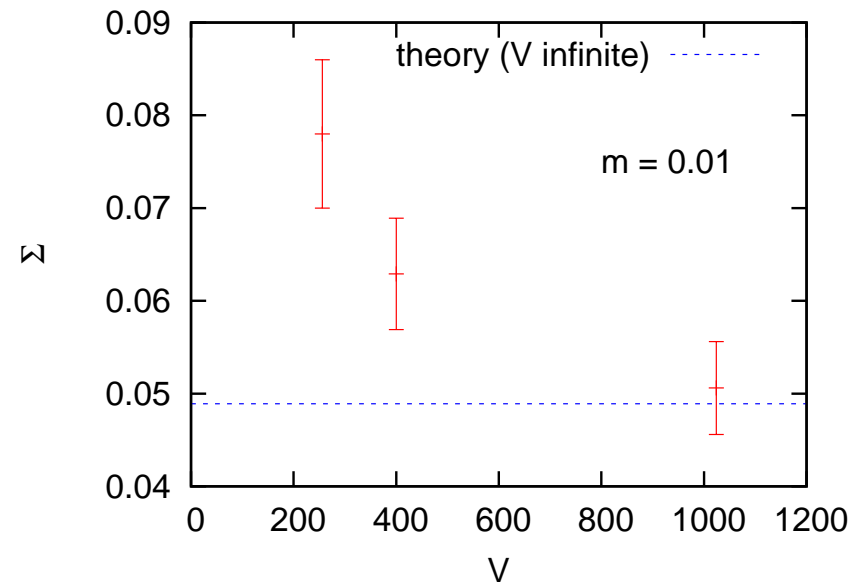
$$A = \frac{\alpha}{\chi_t}, \quad B = \frac{\alpha}{\chi_t^2} \quad (\text{best for small } |\nu|).$$

Σ , χ_t and α are **unknown**; Σ and χ_t are **of interest**.

- At fixed m and V , we can determine B , *e.g.* from Σ_0 and Σ_1 .
- At fixed m and two volumes $V_1 \neq V_2$, we can determine A , *e.g.* from Σ_0 .

This yields approximate results for Σ and $\chi_t = A/B$.

For a combined approach we obtain Σ at $m = 0.01$

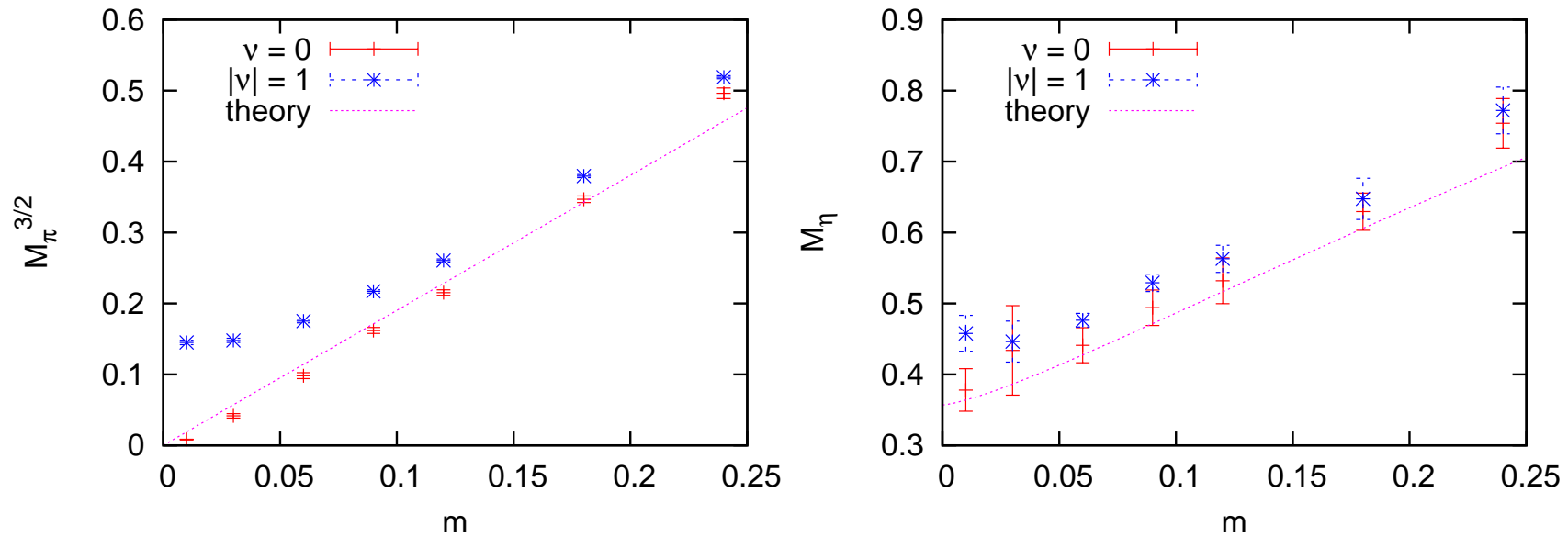


3rd method, from Ref. [14] :

Measure correlation of top. charge density ρ_t in a fixed sector, *e.g.* $\nu = 0$,

$$\lim_{|x| \rightarrow \infty} \langle \rho_t(x) \rho_t(0) \rangle_{\nu=0} \simeq -\frac{1}{V} \chi_t . \quad \text{Results for } \chi_t \text{ in Ref. [13].}$$

Meson masses on $L = 16$ lattice in top. sectors $|\nu| = 0$ and 1 :



Measured from current correlators as in Ref. [2].

“Theory” refers to formulae on p.2, for $V = \infty$ and $m \ll \beta^{-1/2} \simeq 0.45$.

At least $m = 0.01$ is in the ϵ -regime.

We apply **Method 2**, with eq. (3) for the pion mass — as originally intended in Ref. [12] — at $m = 0.01$ and $|\nu| = 1$,

$$M_{\pi,|\nu|=1} \approx M_{\pi} - A/V + B/V^2 .$$

We involve **3 volumes** to fix M_{π} , A and B ,

$$\left. \begin{array}{l} L = 16 \quad : \quad M_{\pi,1} = 0.276(4) \\ L = 20 \quad : \quad M_{\pi,1} = 0.214(4) \\ L = 32 \quad : \quad M_{\pi,1} = 0.135(6) \end{array} \right\} \Rightarrow \underline{M_{\pi} = 0.078(8)}$$

Compatible with the **theoretical** value of Ref. [1] : $M_{\pi} = 0.0713 \dots$!

• **Conclusion: Methods work, but exact results depend on subtleties in assumptions. Need further investigations — Schwinger model is ideal for testing before large-scale applications in QCD.**

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