

# Efficient use of the Generalised Eigenvalue Problem

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in collaboration with

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Williamsburg, July 2008

The GEVP  
Outline of a proof  
Application to HQET

# The GEVP

matrix of correlation functions on an infinite time lattice

$$C_{ij}(t) = \langle O_i(0) O_j(t) \rangle = \sum_{n=1}^{\infty} e^{-E_n t} \psi_{ni} \psi_{nj}, \quad i, j = 1, \dots, N$$

$$\psi_{ni} \equiv (\psi_n)_i = \langle n | \hat{O}_i | 0 \rangle = \psi_{ni}^* \quad E_n \leq E_{n+1}$$

the GEVP is

$$C(t) v_n(t, t_0) = \lambda_n(t, t_0) C(t_0) v_n(t, t_0), \quad n = 1, \dots, N \quad t > t_0,$$

Lüscher & Wolff showed that

$$E_n^{\text{eff}} = \frac{1}{a} \log \frac{\lambda_n(t, t_0)}{\lambda_n(t+a, t_0)} = E_n + \varepsilon_n(t, t_0)$$

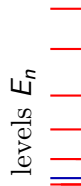
$$\varepsilon_n(t, t_0) = O(e^{-\Delta E_n (t-t_0)}), \quad \Delta E_n = \left| \min_{m \neq n} E_m - E_n \right|.$$

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correction term

$$\varepsilon_n(t, t_0) = O(e^{-\Delta E_n(t-t_0)}), \Delta E_n = \left| \min_{m \neq n} E_m - E_n \right|.$$

- ▶ problematic when  $\Delta E_n$  is small
- ▶ no gain for the ground state ??

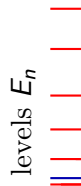


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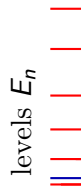


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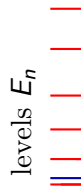


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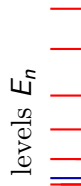


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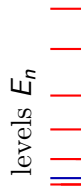


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- ▶ mostly: no statement about corrections
- ▶ now we say: ...





# The GEVP: a simplified situation

with only  $N$  states

$$C_{ij}^{(0)}(t) = \sum_{n=1}^N e^{-E_n t} \psi_{ni} \psi_{nj}.$$

the dual (time-independent) vectors are defined by

$$(u_n, \psi_m) = \delta_{mn}, \quad m, n \leq N. \quad (u_n, \psi_m) \equiv \sum_{i=1}^N (u_n)_i \psi_{mi}$$

one then has

$$\begin{aligned} C^{(0)}(t) u_n &= e^{-E_n t} \psi_n, \\ C^{(0)}(t) u_n &= \lambda_n^{(0)}(t, t_0) C^{(0)}(t_0), \\ \lambda_n^{(0)}(t, t_0) &= e^{-E_n(t-t_0)}, \quad v_n(t, t_0) \propto u_n \end{aligned}$$

an orthogonality for all  $t$

$$(u_m, C^{(0)}(t) u_n) = \delta_{mn} \rho_n(t), \quad \rho_n(t) = e^{-E_n t}.$$

# The GEVP with $N$ states

$$\hat{Q}_n = \sum_{i=1}^N (u_n)_i \hat{O}_i \equiv (\hat{O}, u_n),$$

create the eigenstates of the Hamilton operator

$$|n\rangle = \hat{Q}_n|0\rangle, \hat{H}|n\rangle = E_n |n\rangle.$$

So matrix elements are

$$p_{0n} = \langle 0|\hat{P}|n\rangle = \langle 0|\hat{P}\hat{Q}_n|0\rangle$$

generalization:

$$\begin{aligned} p_{0n} &= \langle P(t)O_j(0)\rangle (u_n)_j = \frac{\langle P(t)Q_n(0)\rangle}{\langle Q_n(t)Q_n(0)\rangle^{1/2}} e^{E_n t/2} \\ &= \frac{\langle P(t)O_j(0)\rangle v_n(t, t_0)_j}{(v_n(t, t_0), C(t) v_n(t, t_0))^{1/2}} \frac{\lambda_n(t_0 + t/2, t_0)}{\lambda_n(t_0 + t, t_0)} \end{aligned}$$

**Corrections are due to the excited states**

$$C_{ij}^{(1)}(t) = \sum_{n=N+1}^{\infty} e^{-E_n t} \psi_{ni} \psi_{nj}$$

# Perturbation theory

[Ferenc Niedermayer & Peter Weisz, 1998, unpublished]

$$Av_n = \lambda_n Bv_n, \quad A = A^{(0)} + \epsilon A^{(1)}, \quad B = B^{(0)} + \epsilon B^{(1)}.$$

We will later set

$$\begin{aligned} A^{(0)} &= C^{(0)}(t), & \epsilon A^{(1)} &= C^{(1)}(t), \\ B^{(0)} &= C^{(0)}(t_0), & \epsilon B^{(1)} &= C^{(1)}(t_0) \end{aligned}$$

$$(v_n^{(0)}, B^{(0)} v_m^{(0)}) = \rho_n \delta_{nm}.$$

$$\begin{aligned} \lambda_n &= \lambda_n^{(0)} + \epsilon \lambda_n^{(1)} + \epsilon^2 \lambda_n^{(2)} \dots \\ v_n &= v_n^{(0)} + \epsilon v_n^{(1)} + \epsilon^2 v_n^{(2)} \dots \end{aligned}$$

# Perturbation theory

$$A^{(0)}v_n^{(1)} + A^{(1)}v_n^{(0)} = \lambda_n^{(0)} \left[ B^{(0)}v_n^{(1)} + B^{(1)}v_n^{(0)} \right] + \lambda_n^{(1)} B^{(0)}v_n^{(0)},$$

$$A^{(0)}v_n^{(2)} + A^{(1)}v_n^{(1)} = \lambda_n^{(0)} \left[ B^{(0)}v_n^{(2)} + B^{(1)}v_n^{(1)} \right] + \lambda_n^{(1)} \left[ B^{(0)}v_n^{(1)} + B^{(1)}v_n^{(0)} \right]$$

solve using orthogonality  $(v_n^{(0)}, v_m^{(0)}) = \delta_{mn} \rho_n$

$$\lambda_n^{(1)} = \rho_n^{-1} \left( v_n^{(0)}, \Delta_n v_n^{(0)} \right), \quad \Delta_n \equiv A^{(1)} - \lambda_n^{(0)} B^{(1)}$$

$$v_n^{(1)} = \sum_{m \neq n} \alpha_{nm}^{(1)} \rho_m^{-1/2} v_m^{(0)}, \quad \alpha_{nm}^{(1)} = \rho_m^{-1/2} \frac{\left( v_m^{(0)}, \Delta_n v_n^{(0)} \right)}{\lambda_n^{(0)} - \lambda_m^{(0)}}$$

$$\lambda_n^{(2)} = \sum_{m \neq n} \rho_n^{-1} \rho_m^{-1} \frac{\left( v_m^{(0)}, \Delta_n v_n^{(0)} \right)^2}{\lambda_n^{(0)} - \lambda_m^{(0)}} - \rho_n^{-2} \left( v_n^{(0)}, \Delta_n v_n^{(0)} \right) \left( v_n^{(0)}, B^{(1)} v_n^{(0)} \right).$$

And a recursion formula for the higher order coefficients

# Perturbation theory

insert specific case and use (for  $m > n$ )

$$\begin{aligned}
 (\lambda_n^{(0)} - \lambda_m^{(0)})^{-1} &= (\lambda_n^{(0)})^{-1} (1 - e^{-(E_m - E_n)(t - t_0)})^{-1} \\
 &= (\lambda_n^{(0)})^{-1} \sum_{k=0}^{\infty} e^{-k(E_m - E_n)(t - t_0)}
 \end{aligned}$$

we get

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we get

$$\begin{aligned} \varepsilon_n(t, t_0) &= O(e^{-\Delta E_{N+1, n} t}), \quad \Delta E_{m, n} = E_m - E_n, \\ \pi_n(t, t_0) &= O(e^{-\Delta E_{N+1, n} t_0}), \quad \text{at fixed } t - t_0 \\ \pi_1(t, t_0) &= O(e^{-\Delta E_{N+1, 1} t_0} e^{-\Delta E_{2, 1} (t - t_0)}) + O(e^{-\Delta E_{N+1, 1} t}). \end{aligned}$$

to all orders in the convergent expansion

## Effective theory to first order

$$C_{ij}(t) = C_{ij}^{\text{stat}}(t) + \omega C_{ij}^{1/m}(t) + O(\omega^2)$$

$$E_n^{\text{eff,stat}}(t, t_0) = \log \frac{\lambda_n^{\text{stat}}(t, t_0)}{\lambda_n^{\text{stat}}(t+1, t_0)} = E_n^{\text{stat}} + O(e^{-\Delta E_{N+1,n}^{\text{stat}} t}),$$

$$\begin{aligned} E_n^{\text{eff},1/m}(t, t_0) &= \frac{\lambda_n^{1/m}(t, t_0)}{\lambda_n^{\text{stat}}(t, t_0)} - \frac{\lambda_n^{1/m}(t+1, t_0)}{\lambda_n^{\text{stat}}(t+1, t_0)} \\ &= E_n^{1/m} + O(t e^{-\Delta E_{N+1,n}^{\text{stat}} t}). \end{aligned}$$

$$C^{\text{stat}}(t) v_n^{\text{stat}}(t, t_0) = \lambda_n^{\text{stat}}(t, t_0) C^{\text{stat}}(t_0) v_n^{\text{stat}}(t, t_0),$$

$$\lambda_n^{1/m}(t, t_0) = \left( v_n^{\text{stat}}(t, t_0), [C^{1/m}(t) - \lambda_n^{\text{stat}}(t, t_0) C^{1/m}(t_0)] v_n^{\text{stat}}(t, t_0) \right)$$

Numerics: only static GEVP needs to be solved

# Demonstration in HQET

- ▶  $(1.5 \text{ fm})^3 \times 3 \text{ fm}$  with pbc (apbc for quarks), quenched
- ▶  $L/a = 16$ ,  $L/a = 24$ , i.e. 0.1 fm and 0.07 fm lattice spacing, with  $\kappa = 0.133849$ , 0.1349798 (strange quark)
- ▶ all-to-all propagators for the strange quark [Dublin]  
50 (approximate) low modes and 2 noise fields  
100 configs each
- ▶ 8 levels of Wuppertal smearing (Gaussian) [Güsken,Löw,Mütter,Patel,Schilling,S., 1989] after gauge-field APE smearing [Basak et al., 2006]
- ▶ Truncate to a  $N \times N$  projecting with the  $N$  eigenvectors of  $C(a)$  with the largest eigenvalues  
[Niedermayer, Rufenacht, Wenger, 2000]



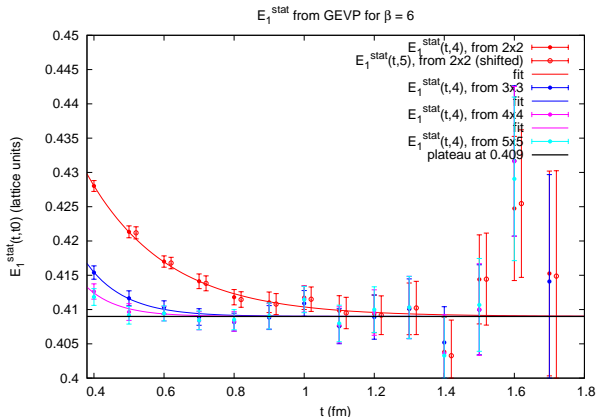
# Demonstration in HQET

$$a = 0.1 \text{ fm}$$

$$aE_1^{\text{eff,stat}}(t, t_0)$$

curve:

$$E_1 + \alpha_N e^{-\Delta E_{N+1,1} t}$$



$\Delta E_{N+1,1}$  agree with plateaux of  $E_{N+1}^{\text{eff,stat}}(t, t_0)$  for large  $N'$  and  $t$ .

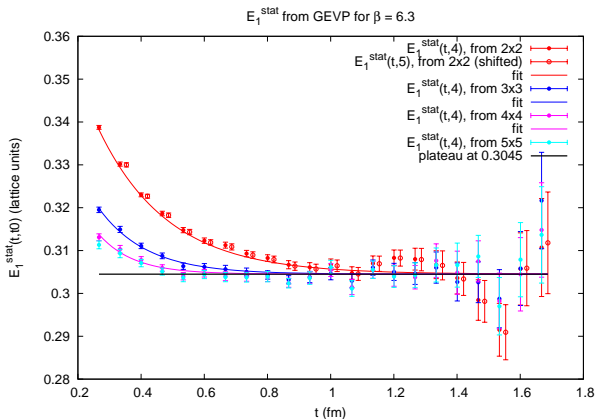
# Demonstration in HQET

$$a = 0.07 \text{ fm}$$

$$aE_1^{\text{eff,stat}}(t, t_0)$$

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$$E_1 + \alpha_N e^{-\Delta E_{N+1,1} t}$$



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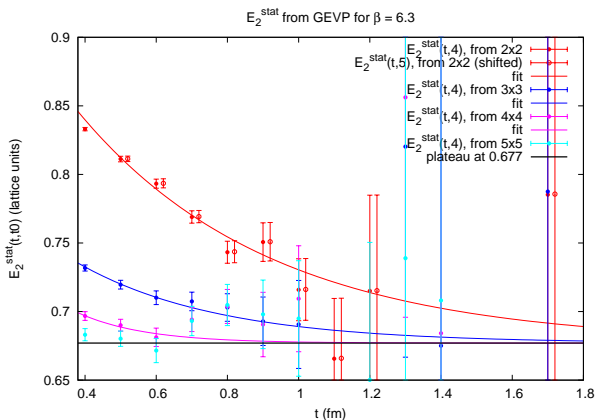
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$$a = 0.1 \text{ fm}$$

$$aE_2^{\text{eff,stat}}(t, t_0)$$

curve:

$$E_2 + \alpha_N e^{-\Delta E_{N+1,2} t}$$



$\Delta E_{N+1,2}$  agree with plateaux of  $E_{N+1}^{\text{eff,stat}}(t, t_0)$  for large  $N'$  and  $t$ .

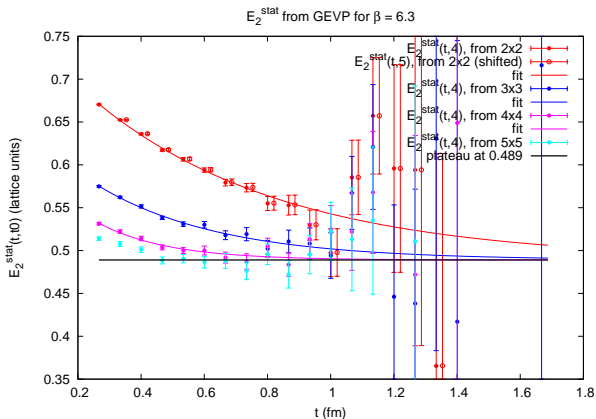
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# Energy levels

how well do different determinations agree?

$\beta$	method	$N$	$m$	$r_0 \Delta E_{m,1}$
6.0219	plateau	6	3	2.3
	corr. to $E_1$	2	3	2.3
	corr. to $E_2$	2	3	2.3
6.2885	corr. to $E_1$	2	3	2.3
	corr. to $E_2$	2	3	2.2
6.0219	plateau	6	4	3.3
	corr. to $E_1$	3	4	4
	corr. to $E_2$	3	4	2.6
6.2885	corr. to $E_1$	3	4	3.3
	corr. to $E_2$	3	4	2.7
6.0219	plateau	6	5	4.2
	corr. to $E_1$	4	5	5
	corr. to $E_2$	4	5	4
6.2885	corr. to $E_1$	4	5	4.5
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**Table:** *Estimates of energy differences. Errors are roughly 1 or 2 on the last digit.*

# Static B-meson decay constant

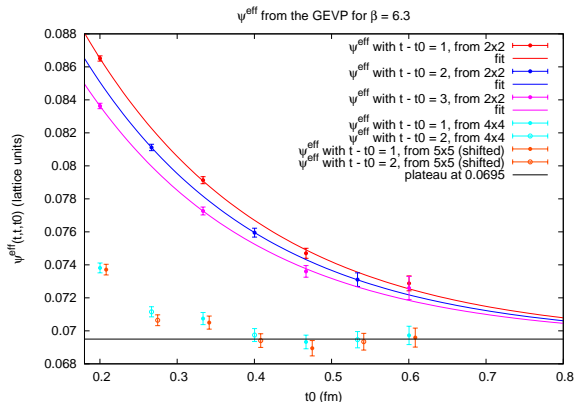
$$a = 0.07 \text{ fm}$$

$$a^{3/2} \rho_{01}^{\text{eff,stat}}(t, t_0)$$

(bare, unimproved)

curve:

$$F_{B_s}^{\text{stat}} + \alpha_N(t - t_0) e^{-\Delta E_{N+1,1} t_0}$$



$\Delta E_{N+1,1}$  agree with plateaux of  $E_{N+1}^{\text{eff,stat}}(t, t_0)$  for large  $N'$  and  $t$

**systematical and statistical precision better than 1%**

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corrections  $\exp(-(E_{N+1} - E_n) t_0)$

- ▶ everything is applicable also to HQET (and other EFT)

# The end

thank you for your attention