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Non-Hermitian Polynomial Hybrid Monte Carlo

Employing the

Non-Hermitian Dirac-Wilson
operator [Wilson 1974]

in an HMC-type update
[Duane et al. 1987]

and approximating its inverse
polynomially [Lüscher 1994,
de Forcrand and Takaishi 1996,
Frezzotti and Jansen 1997]

Non-Hermitian Polynomial Hybrid Monte Carlo

Based on the PHMC with reweighting [Frezzotti and Jansen 1997]

$$\det\{MM^\dagger\} = \det\{[MP_n][MP_n]^\dagger\} \cdot [\det\{P_n P_n^\dagger\}]^{-1}$$

$$P_n(M) \approx M^{-1} \quad \text{and} \quad R_{n+1}(M) = \mathbb{1} - MP_n(M)$$

- ▶ The pseudo-fermion action: $S_{\text{PF}} = \phi^\dagger P_n^\dagger P_n \phi$
- ▶ Create pseudo-fermion fields: $\phi = P_n^{-1} \eta = (\mathbb{1} - R_{n+1})^{-1} M \eta$
- ▶ Bosonic force requires the variation of S_{PF} – a cumbersome sum
- ▶ Estimate the reweighting factor:

$$\widehat{C} = \exp\{\eta^\dagger [\mathbb{1} - ((MP_n)^\dagger (MP_n))^{-1}] \eta\}$$

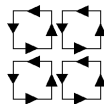
The non-Hermitian Dirac-Wilson Operator

- ▶ is given in matrix notation and hopping parameter representation by

$$M_{xy} = \delta_{xy} - K_{xy}$$

with

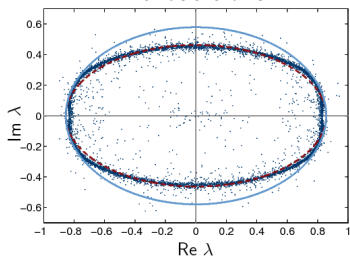
$$K_{xy} = \kappa \left(\underbrace{H_{xy}}_{\text{hopping operator}} - \underbrace{\frac{i}{2} c_{sw} \sigma_{\mu\nu} \mathcal{F}_{\mu\nu}(x)}_{\text{Sheikholeslami-Wohlert term}} \delta_{xy} \right)$$



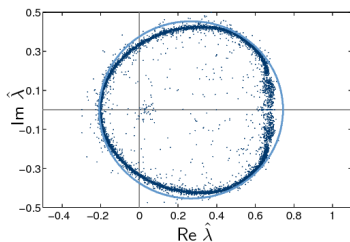
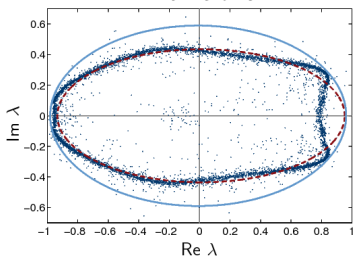
- ▶ has a complex spectrum – advantageous for polynomial approximation [Borelli et al. 1996]
- ▶ allows for simple and stable recursive implementation
- ▶ is in general non-normal

Spectral Boundaries of the non-Hermitian Operator

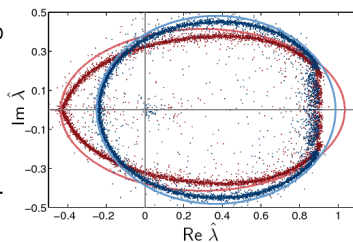
Quenched configurations on a 8^4 lattice at $\beta = 6.0$ and $\kappa = 0.13458$
without clover



with clover



with even-odd
preconditioning



Approximation using Chebyshev Polynomials

- ▶ Introduce polynomial for inverse Dirac-Wilson operator $P_n \approx M^{-1}$
- ▶ Build up a “small quantity” (remainder) $R_{n+1}(M) = \mathbb{1} - MP_n$
- ▶ Use scaled and translated Chebyshev polynomials [Manteuffel 1977]
 - ▶ provide an optimal approximation with respect to the L_∞ norm
 - ▶ are small on an elliptical region containing the spectrum of M

$$R_{n+1}(M) = \frac{T_{n+1}(K/e)}{T_{n+1}(d/e)} \quad \text{with} \quad K = d - M$$

Recursions

- ▶ The Cheybshev polynomials obey the recurrence relations

$$T_{n+1}(z) = 2zT_n(z) - T_{n-1}(z); \quad T_1 = z; \quad T_0 = 1$$

- ▶ Exploiting these we find for R_{n+1} and P_n

$$R_{n+1} = a_n K R_n + b_n R_{n-1}; \quad R_1 = K/d; \quad R_0 = \mathbb{1}$$

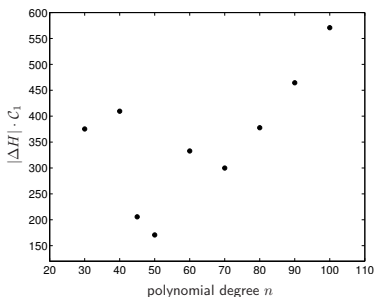
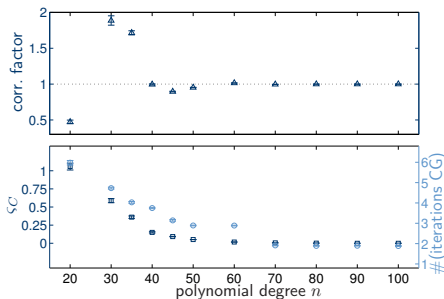
$$P_n = a_n(\mathbb{1} + K P_{n-1}) + b_n P_{n-2}; \quad P_1 = a_1(\mathbb{1} + K/d); \quad P_0 = \mathbb{1}/d$$

$$a_n = (d - a_{n-1}e^2/4)^{-1}; \quad a_1 = d(d^2 - e^2/2)^{-1}; \quad b_n = 1 - da_n$$

- ▶ All recurrences are numerically stable and lead to repeated matrix \times vector - multiplications.

Dependence on Polynomial Parameters

- ▶ d and e do not require fine tuning
- ▶ polynomial degree n is crucial:
 - ▶ Quality of the approximation
 - ▶ Deviation from importance sampling
 - ▶ Fluctuations of correction factor
 - ▶ How many CG iterations are required
 - ▶ Numerical costs



Conclusion

- ▶ One pseudo-fermion NPHMC performs slightly better than a one pseudo-fermion HMC
- ▶ Simple and stable recursions – no special root ordering like for the PHMC [Bunk et al. 1999]
- ▶ Two pseudo-fermion HMC is nevertheless superior (Hasenbusch-trick, MTSI) [Hasenbusch 2001, Urbach et al. 2006]

Two Pseudo-Fermion NPHMC

- ▶ Incorporating the Hasenbusch-trick is possible
- ▶ Requires an involved tuning of the polynomial degrees
- ▶ Appears to be not too promising