

Solutions of the Ginsparg-Wilson equation

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Overlap fermions in the continuum

- Consider the continuum Dirac operator

$$D_0\psi(x) = P \left\{ e^{-i \int^x A_\mu(w) dw_\mu} \right\} \gamma_\nu \partial_\nu \left(P \left\{ e^{i \int^x A_\mu(w) dw_\mu} \right\} \psi(x) \right)$$

- One flavour of massless quarks;
- Integration over repeated spacial indices assumed

- Apply renormalization group blocking defined by operators α and B :

$$Z =$$

$$N(\alpha) \int d\psi_1 d\bar{\psi}_1 \int d\psi_0 d\bar{\psi}_0 e^{-\bar{\psi}_0(x) D_0 \psi_0(x) - \frac{1}{g_0^2} F_{\mu\nu}^2} \\ e^{-(\bar{\psi}_1(x) - \bar{\psi}_0(x') B_d(x', x)) \alpha(x, y) (\psi_1(y) - B(y, y') \psi_0(y'))}$$

$$= \int d\psi_1 d\bar{\psi}_1 e^{-\bar{\psi}_1(x) D_1 \psi_1(x) - \frac{1}{g_1^2} F_{\mu\nu}^2}$$

- γ_5 -Hermiticity, continuum limit etc. restrict the possible blockings
- Blockings must be local
- Suppose that the original action is invariant under the infinitesimal chiral transformation
 - $\psi_0^\dagger \rightarrow \psi_0^\dagger(1 + i\epsilon\gamma_5)$
 - $\psi_0 \rightarrow (1 + i\epsilon\gamma_5)\psi_0$
- What conditions does this impose on the blocked action?

$$\begin{aligned}
Z' &= \int d\psi_1 d\bar{\psi}_1 \int d\psi_0 d\bar{\psi}_0 e^{-(\bar{\psi}_1 - \bar{\psi}_0 B_d) \alpha (\psi_1 - B\psi_0)} e^{-\bar{\psi}_0 D_0 \psi_0 - \frac{1}{g_0^2} F_{\mu\nu}^2} \\
&\quad \left[1 + i\epsilon \bar{\psi}_1 B_d^{-1} \gamma_5 B_d \alpha (\psi_1 - B\psi_0) + i\epsilon (\bar{\psi}_1 - \bar{\psi}_0 B_d) \alpha B \gamma_5 B^{-1} \psi_1 \right. \\
&\quad \left. - i\epsilon (\bar{\psi}_1 - \bar{\psi}_0 B_d) (B_d^{-1} \gamma_5 B_d \alpha + \alpha B \gamma_5 B^{-1}) (\psi_1 - B\psi_0) \right. \\
&= \int d\psi_1 d\bar{\psi}_1 \left(1 - i\epsilon \left[\bar{\psi}_1 B_d^{-1} \gamma_5 B_d \frac{\partial}{\partial \bar{\psi}_1} + \frac{\partial}{\partial \psi_1} B \gamma_5 B^{-1} \psi_1 + \right. \right. \\
&\quad \left. \left. \frac{\partial}{\partial \psi_1} (\alpha^{-1} B_d^{-1} \gamma_5 B_d + B \gamma_5 B^{-1} \alpha^{-1}) \frac{\partial}{\partial \bar{\psi}_1} \right] \right) e^{-\bar{\psi}_1 D_0 \psi_1 - \frac{1}{g_1^2} F_{\mu\nu}^2}
\end{aligned}$$

Or,

$$D_1 B \gamma_5 B^{-1} + B_d^{-1} \gamma_5 B_d D_1 = D_1 (\alpha^{-1} B_d^{-1} \gamma_5 B_d + B \gamma_5 B^{-1} \alpha^{-1}) D_1$$

(Ginsparg, Wilson 1982)

- I write

$$S = \gamma_5 B \gamma_5 B^{-1} \quad R = S \alpha^{-1}$$

- This gives a generalised Ginsparg-Wilson relation

$$D \gamma_5 S + S_d \gamma_5 D = \frac{1}{2} D (\gamma_5 R + R_d \gamma_5) D$$

- There is a chiral symmetry

$$\psi \rightarrow e^{i\epsilon \gamma_5 (S - RD)} \psi \quad \bar{\psi} \rightarrow \bar{\psi} e^{i\epsilon (S_d - DR_d) \gamma_5}$$

- And a topological charge

$$Q_f = \frac{1}{2} \text{Tr} (\gamma_5 S + S_d \gamma_5 - \gamma_5 RD - DR_d \gamma_5)$$

- Now, consider the eigenfunctions of D_0 with a mass Λ :

$$(D_0 + \Lambda)\phi_+(x) = (\Lambda + i\lambda)\phi_+(x, \lambda)$$

$$(D_0 + \Lambda)\phi_-(x) = (\Lambda - i\lambda)\phi_-(x, \lambda)$$

$$\phi_+(x, \lambda) = \gamma_5\phi_-(x, \lambda)$$

- The eigenfunctions of $\gamma_5(D_0 + \Lambda)$ are

$$\gamma_5(D_0 + \Lambda) \frac{1}{\sqrt{2}} (\phi_+(x, \lambda) + e^{i\eta(\lambda)} \phi_-(x, \lambda)) =$$

$$\mu \frac{1}{\sqrt{2}} (\phi_+(x, \lambda) + e^{i\eta(\lambda)} \phi_-(x, \lambda))$$

$$\gamma_5(D_0 + \Lambda) \frac{1}{\sqrt{2}} (\phi_+(x, \lambda) - e^{i\eta(\lambda)} \phi_-(x, \lambda)) =$$

$$- \mu \frac{1}{\sqrt{2}} (\phi_+(x, \lambda) - e^{i\eta(\lambda)} \phi_-(x, \lambda))$$

$$\mu = \sqrt{\Lambda^2 + \lambda^2}; \quad e^{i\eta(\lambda)} = \frac{i\lambda + \Lambda}{\sqrt{\Lambda^2 + \lambda^2}}$$

- Can we construct a renormalisation group blocking from the eigenfunctions and eigenvectors?

- Λ large and negative;

$$\alpha = \infty$$

$$B(x, x') = B_d^\dagger(x, x') = \sum_{\text{zero modes}} \phi_0(x', 0) \phi_0^\dagger(x, 0) + \int d\lambda \rho(\lambda) \left[\phi_+(x, \lambda) \phi_+^\dagger(x', \lambda) \left(\Lambda \frac{1 + e^{i\eta(\lambda)}}{i\lambda} \right)^{\frac{1}{2}} + \phi_-(x, \lambda) \phi_-^\dagger(x', \lambda) \left(\Lambda \frac{1 + e^{i\eta(-\lambda)}}{-i\lambda} \right)^{\frac{1}{2}} \right]$$

- We obtain a new action

$$e^{-\bar{\psi}_1 D_1 \psi_1 + \int d\lambda \rho(\lambda) \log \left[\left(2 + 2 \frac{\Lambda}{\sqrt{\Lambda^2 + \lambda^2}} \right) \frac{\Lambda^2}{\lambda^2} \right] - \frac{1}{g_0^2} F_{\mu\nu}^2},$$

where

$$D_1 = 1 + \gamma_5 \text{sign}(\gamma_5(D_0 + \Lambda))$$

$$\int d\lambda \rho(\lambda) \log \left[\left(1 + \frac{\Lambda}{\sqrt{\Lambda^2 + \lambda^2}} \right) \frac{2\Lambda^2}{\lambda^2} \right] = c_0 + \frac{c_f}{\Lambda^4} \int d^4x F_{\mu\nu}^2 + \dots + O(1/\Lambda^6)$$

- The blocking produces a shift in the gauge coupling and a redefinition of the Dirac operator.

- If B and D_0 are local, then D_1 is local.
- If the blocking is analytic function of the eigenvalue, no doublers or additive mass renormalisation and the same number of exact zero modes as D_0 , then D_1 is local (and, on the lattice) has the correct continuum limit.

- The Ginsparg-Wilson relation for this transformation is

$$D_1 B^{-1} \gamma_5 B + B \gamma_5 B^{-1} D_1 = 0$$

and the topological charge is

$$Q_f = \frac{1}{2} \text{Tr} (B^{-1} \gamma_5 B + B \gamma_5 B^{-1})$$

$$\text{Tr} (B^{-1} \gamma_5 B) = \sum \phi_0(x, 0) \gamma_5 \phi_0^\dagger(x, 0) +$$

$$\text{Tr} \int d\lambda \rho(\lambda) \left[\phi_+(x, \lambda) \phi_-^\dagger(x, \lambda) \left(\frac{i\lambda}{-i\lambda} \frac{1 + e^{i\eta(\lambda)}}{1 + e^{i\eta(-\lambda)}} \right)^{\frac{1}{2}} + \right. \\ \left. \phi_-(x, \lambda) \phi_+^\dagger(x, \lambda) \left(\frac{-i\lambda}{i\lambda} \frac{1 + e^{i\eta(-\lambda)}}{1 + e^{i\eta(\lambda)}} \right)^{\frac{1}{2}} \right]$$

Overlap fermions on the lattice

- Again set $\alpha = \infty$
- Need the Blocking transformation, B , and $B^{-1}\gamma_5 B$ to be local, and (prefably) their inverses to exist and be local

$$\psi_C(y) = (D_0(y, y'))^{-\frac{1}{2}} P_C^L(y', x') (D_L(x', x))^{\frac{1}{2}} \psi_L(x)$$

$$\bar{\psi}_C(y) = \bar{\psi}_L(x) (D_L(x', x))^{\frac{1}{2}} \bar{P}_C^L(x', y') (D_0(y', y))^{-\frac{1}{2}}$$

- Where (for example)

$$P_C^L(y, x) = \frac{f(x-y) P \left\{ e^{i \int_y^x A_\mu(w) dw_\mu} \right\}}{\sqrt{\int d^4 y' P \left\{ e^{i \int_{y'}^x A_\mu(w) dw_\mu} \right\} P \left\{ e^{-i \int_{y'}^{x'} A_\mu(w) dw_\mu} \right\} f(x-y') \bar{f}(x'-y') \delta_{x,x'}}}$$

- Jacobian + $\sum_P e^{i \int A_\mu dw_\mu} \rightarrow U \Rightarrow$ lattice artifacts

- We can consider an eigenvector blocking for Wilson fermions

$$B = B_d = |\phi_W\rangle \langle \phi_W| \left[\frac{\Lambda}{\lambda} \left(1 + \frac{(\lambda + \Lambda)}{\sqrt{|\lambda + \Lambda|^2}} \right) \right]^{\frac{1}{2}}$$
$$D_N = 1 + \gamma_5 \epsilon (\gamma_5 D_W)$$

- The Jacobian and generalised Ginsparg-Wilson relation are

$$e^{-\text{Tr} \log \frac{2\Lambda}{D_W} \left(1 + \frac{\text{Re}(\lambda) + \Lambda}{\sqrt{|\lambda + \Lambda|^2}} \right)} = e^{-\text{Tr} \log \frac{\Lambda}{D_W} (D_N + D_N^\dagger)}$$

$$= e^{c_0 + c_f a^4 F_{\mu\nu}^2 + O(a^6)}$$

$$\psi \rightarrow e^{i\alpha \hat{\gamma}_5} \psi; \quad \bar{\psi} \rightarrow \bar{\psi} e^{i\alpha \gamma_5 \hat{\gamma}_5 \gamma_5}$$

$$0 = D_N \gamma_5 \hat{\gamma}_5 \gamma_5 + \hat{\gamma}_5 D_N$$

$$\hat{\gamma}_5 = (D_N)^{\frac{1}{2}} \bar{P}_C^L (D_0^{-1})^{1/2} \gamma_5 (D_0)^{\frac{1}{2}} P_C^L (D_N^{-1})^{1/2} = \frac{(D_N)}{\sqrt{D_N^\dagger D_N}} \gamma_4$$

- Recover the canonical Ginsparg-Wilson relation with a different blocking.

New solutions of the Ginsparg-Wilson operator

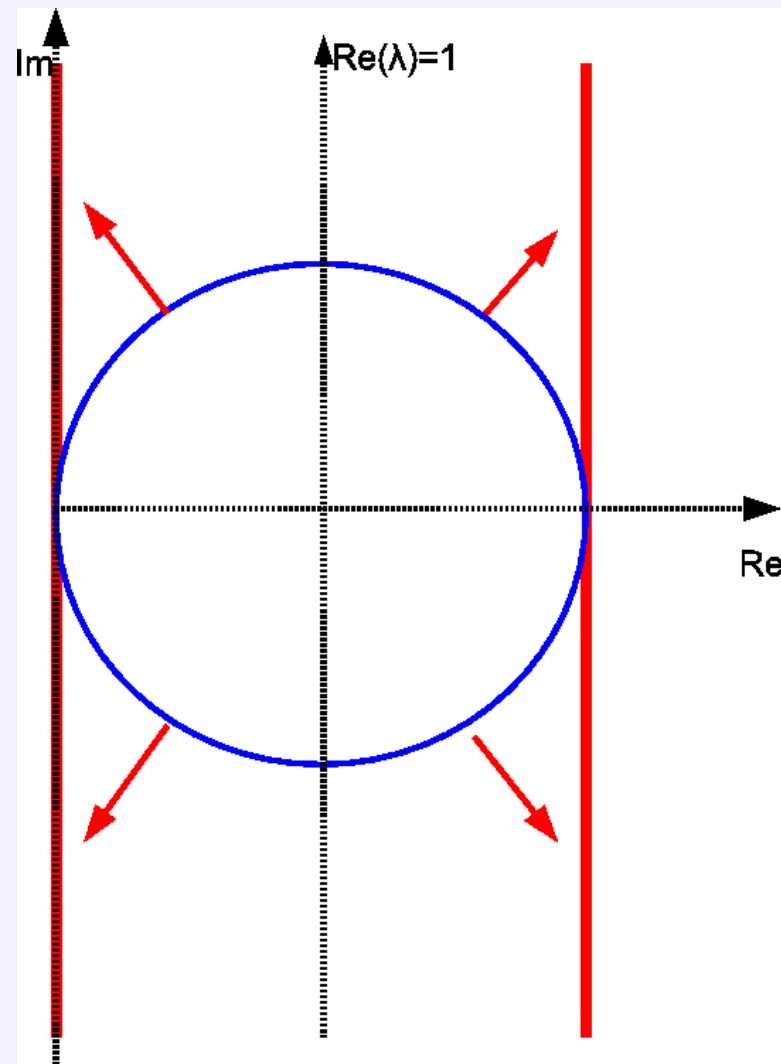
- We can also apply a blocking constructed from the overlap eigenvectors:

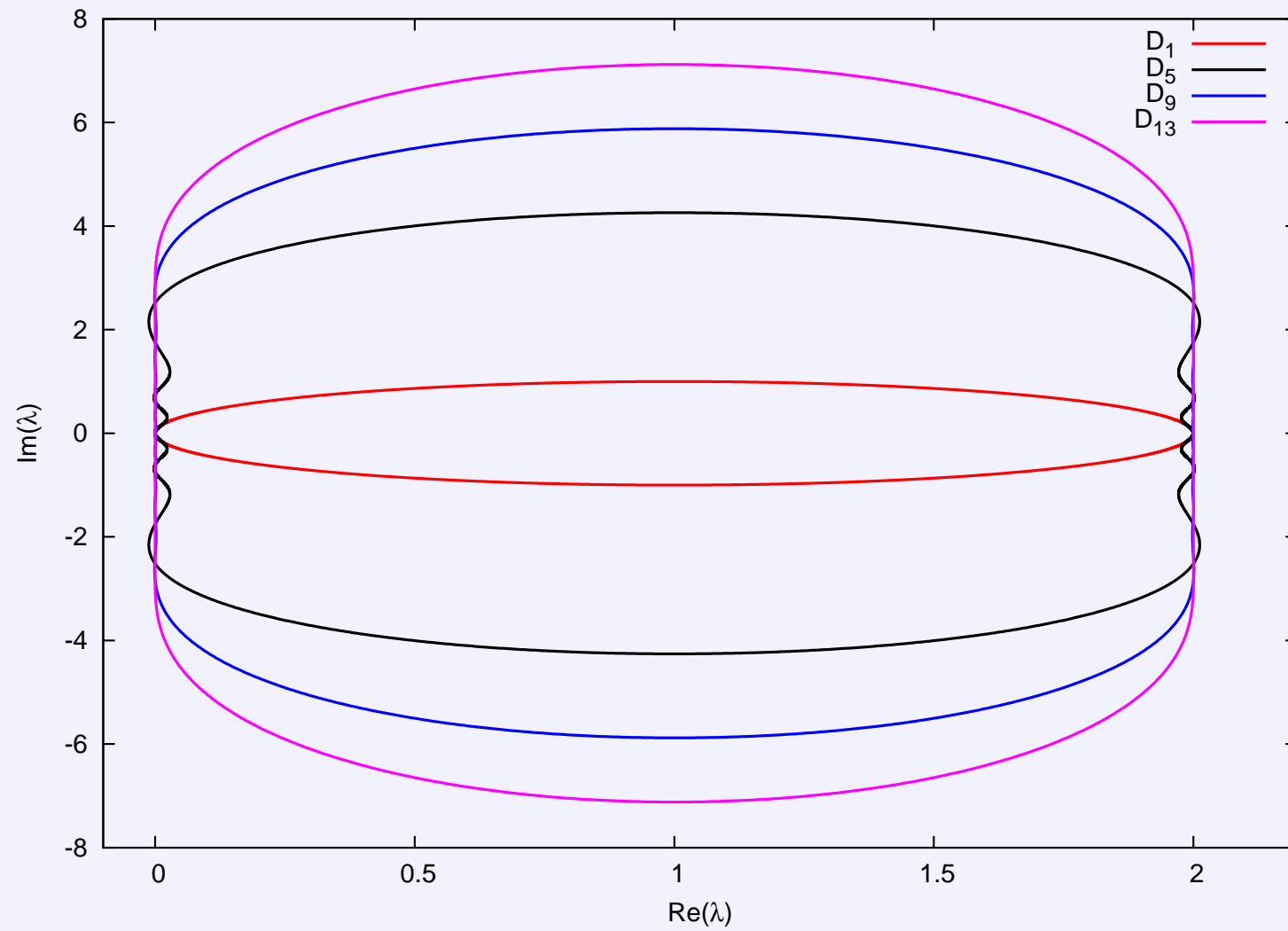
$$D'_N = \frac{1}{s(\gamma_5 \epsilon)} t \left[\frac{1}{2} (\gamma_5 \epsilon + \epsilon \gamma_5) \right] \\ h \left(q \left[\frac{1}{2} (\gamma_5 \epsilon + \epsilon \gamma_5) \right] \left(1 + r \left[\frac{1}{2} (\gamma_5 \epsilon + \epsilon \gamma_5) \right] \gamma_5 \epsilon \right) \right)$$

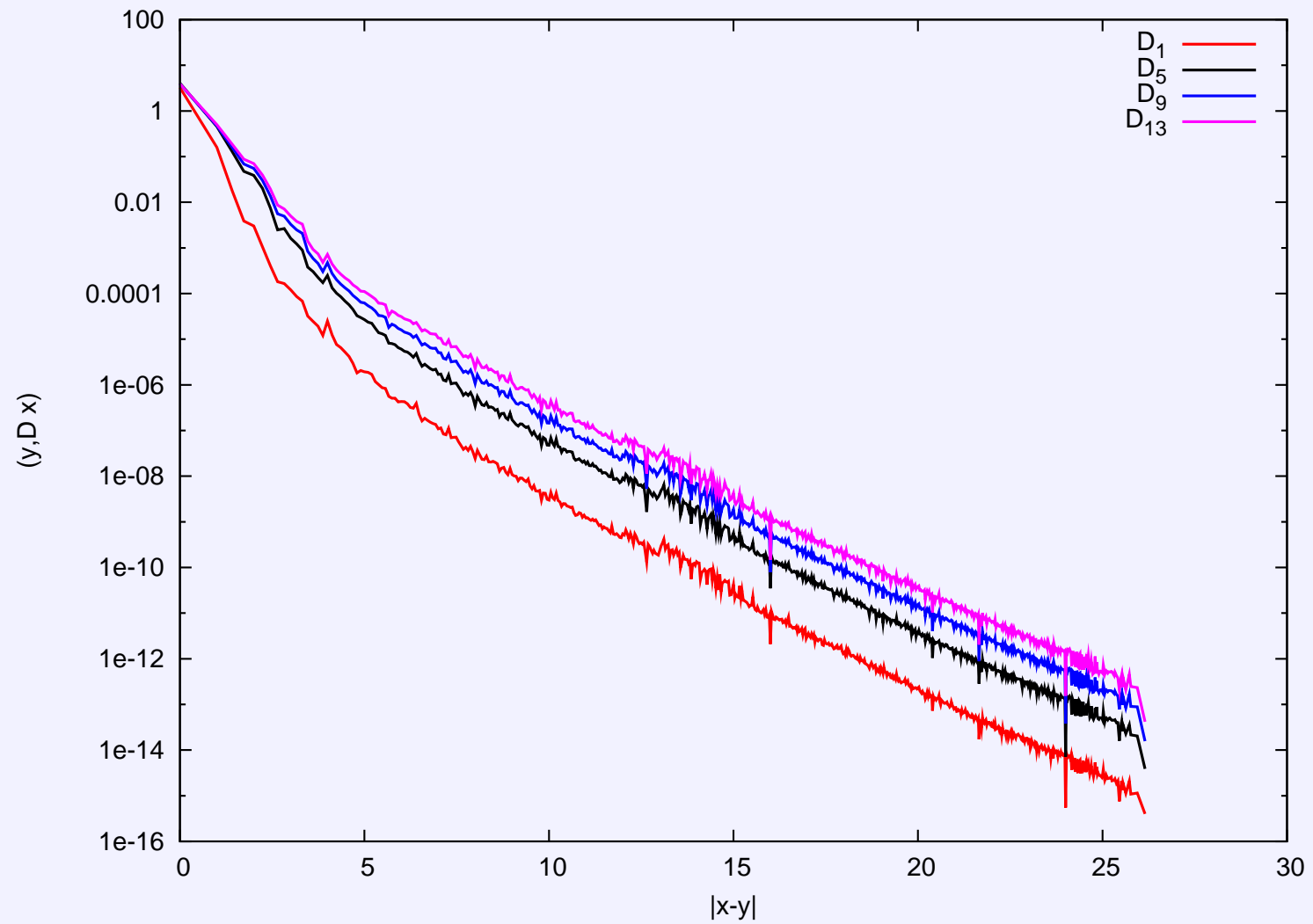
- All operators of this form (for r , s , h , q and t analytic and chosen to maintain the continuum limit) are exponentially local (see [arXiv:arXiv:0802.0170](https://arxiv.org/abs/0802.0170))

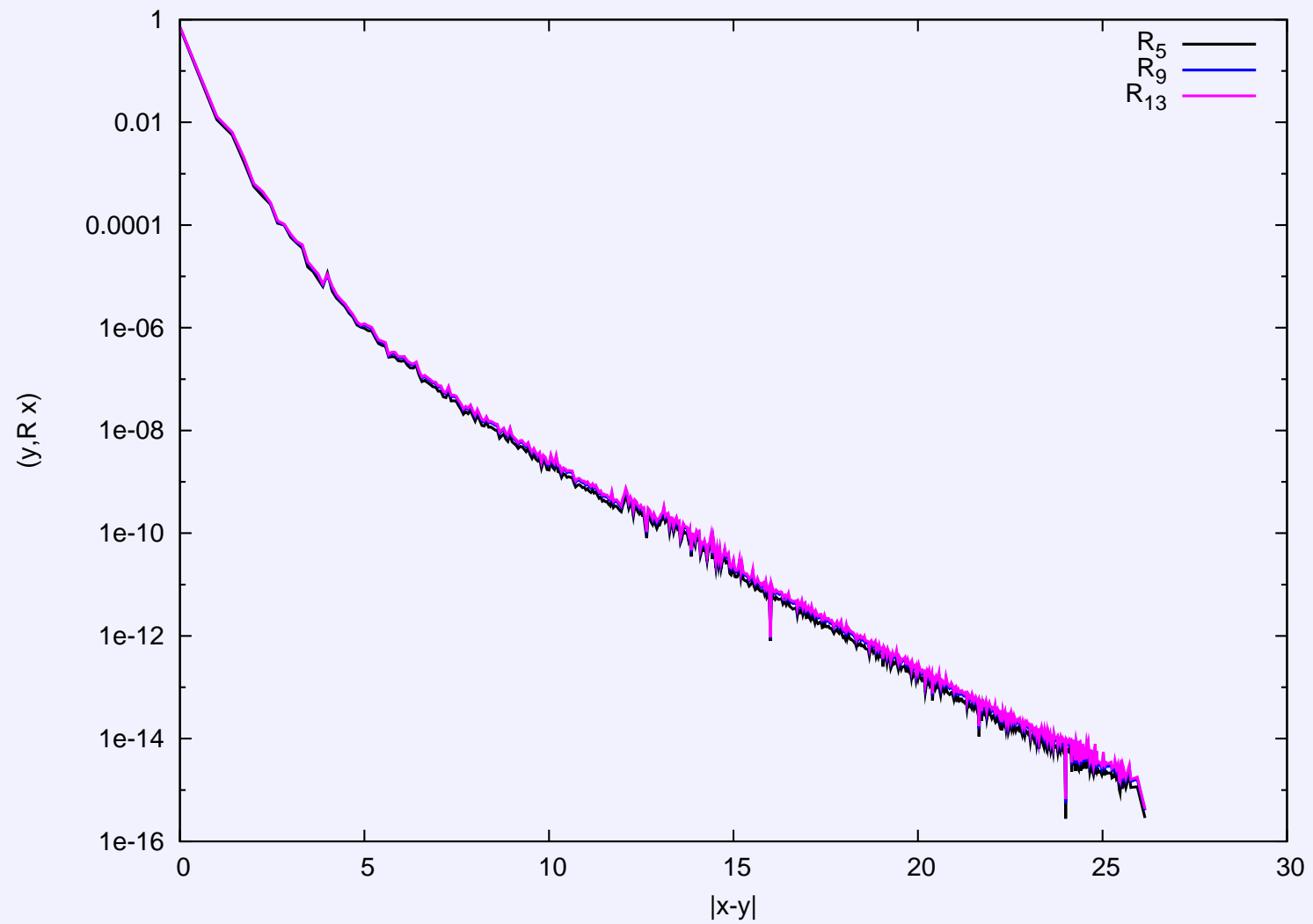
- For example, there (probably) exists an operator where the eigenvalue density matches the continuum

$$D_C = q \left[\frac{1}{2} (\gamma_5 \epsilon (\gamma_5 D_W) + \epsilon (\gamma_5 D_W) \gamma_5) \right. \\ \left. \left(1 + \frac{2}{|\gamma_5 \epsilon (\gamma_5 D_W) + \epsilon (\gamma_5 D_W) \gamma_5|} \gamma_5 \epsilon \right) \right]$$









Fixed point and overlap fermions

- **Hypothesis:** All lattice Dirac operators with an exact chiral symmetry on sufficiently fine lattice spacing are (**almost**) linked to the the continuum operator with a local and invertible renormalisation group transformation $[\alpha, B]$.

$$\int d\psi_0 d\bar{\psi}_0 e^{-(\bar{\psi}_1 - \bar{\psi}_0 \bar{B})\alpha(\psi_1 - B\psi_0)} e^{-\bar{\psi}_0 D_C \psi_0 - \frac{1}{g_C^2} F_{\mu\nu}^2}$$

$$= e^{C - \frac{1}{g_L^2} a^4 F_{\mu\nu}^2 - \bar{\psi}_1 a D_L \psi_1 + O(a^2)}$$

- Dirac operators without an exact chiral symmetry aren't.
- Overlap-type fermions have $\alpha = \infty$ and an exponentially local B constructed from the eigenvectors of one or more (ultra-)local (Dirac) operators.
- Fixed point fermions in general have $\alpha \neq \infty$ and an exponentially local $[\alpha, B]$ constructed by iterating an ultra-local $[\alpha', B']$.

Conclusions

- The continuum theory can be written in terms of continuum overlap-style fermions, changing the coupling of the Yang-Mills gauge field.
- There is (**almost**) a local and invertible renormalisation group transformation linking the continuum Dirac operator with the lattice overlap Dirac operator.
- And a multitude of other Ginsparg-Wilson Dirac operators.
- I have derived an expression for a general Ginsparg-Wilson Dirac operator.
- Is it possible to generate a classically perfect action by iterating the Ginsparg-Wilson blocking?