

## Overlap construction for Weyl fermions

`christof.gattringer@uni-graz.at`

C. Gattringer, M. Pak, Nucl. Phys. B 2008, arXiv:0802.2496 [hep-lat]

C. Gattringer, M. Pak, PoS LAT2007, arXiv:0710.5371 [hep-lat]

P. Hasenfratz, R. von Allmen, JHEP 2008, arXiv:0710.5346 [hep-lat]

C. Gattringer, L. Liptak, preliminary results

## Weyl fermions from the vector-like Ginsparg-Wilson equation

- Chiral vector-like theory on the lattice:  $\gamma_5 D + D \gamma_5 = D \gamma_5 D$
- Different projectors are acting from the left and the right sides:

$$P_+ = \frac{1}{2}[1 + \gamma_5] \quad \dots \quad \text{independent of } U_\mu$$

$$\hat{P}_- = \frac{1}{2}[1 - \gamma_5(1 - D)] \quad \dots \quad \text{depends on } U_\mu$$

- In the path integral we need to integrate over fermion fields obeying

$$\bar{\psi} P_+ = \bar{\psi} \quad , \quad \hat{P}_- \psi = \psi$$

⇒ The measure  $\mathcal{D}[\psi]$  depends on the gauge field.

(see e.g. the talk by Y. Kikukawa)

## A particular model: $SU(2)$ gauge theory with two flavors (continuum)

- Using vector/matrix notation for color, flavor and Dirac indices ...

$$\begin{aligned} S[\bar{\psi}, \psi] &= \int d^4x \bar{\psi}(x) \gamma_\mu \left[ \vec{\partial}_\mu + iA_\mu \right] \psi(x) \\ &= \frac{1}{2} \int d^4x \left( \bar{\psi}(x) \gamma_\mu \left[ \vec{\partial}_\mu + iA_\mu \right] \psi(x) - \psi(x)^T \gamma_\mu^T \left[ \overleftarrow{\partial}_\mu + iA_\mu^T \right] \bar{\psi}(x)^T \right) \end{aligned}$$

- We switch to a symmetric/quadratic representation

$$S[\Psi] = \frac{1}{2} \int d^4x \Psi(x)^T \tilde{D} \Psi(x)$$

with

$$\Psi = \begin{pmatrix} \psi \\ \bar{\psi}^T \end{pmatrix}, \quad \tilde{D} = \begin{bmatrix} 0 & -\gamma_\mu^T \left[ \overleftarrow{\partial}_\mu + iA_\mu^T \right] \\ \gamma_\mu \left[ \vec{\partial}_\mu + iA_\mu \right] & 0 \end{bmatrix}$$

## Symmetry generators for the quadratic representation

- Our theory is invariant under flavor singlet vector and chiral transformations. In the quadratic representation the generators are (unit matrices in flavor space are suppressed):

$$\Gamma_V = \begin{bmatrix} \mathbf{1} & 0 \\ 0 & -\mathbf{1} \end{bmatrix} \quad , \quad \Gamma_5 = \begin{bmatrix} \gamma_5 & 0 \\ 0 & \gamma_5 \end{bmatrix}$$

- Both symmetries are manifest as vanishing anti-commutators:

$$\Gamma_V \tilde{D} + \tilde{D} \Gamma_V = 0 \quad , \quad \Gamma_5 \tilde{D} + \tilde{D} \Gamma_5 = 0$$

- Projection to left-handed Weyl components

$$\tilde{D}_- = P_- \tilde{D} = \tilde{D} P_- \quad \text{with} \quad P_- = \begin{bmatrix} \frac{1}{2}[\mathbf{1} - \gamma_5] & 0 \\ 0 & \frac{1}{2}[\mathbf{1} + \gamma_5] \end{bmatrix}$$

## Using RG transformations to map the symmetries onto the lattice

- Using an RG/blocking transformation one can map the continuum symmetries onto the lattice (lattice Dirac operator  $D$ , lattice field  $\Phi$ ):

$$e^{-\frac{1}{2}\Phi^T D \Phi} = \int \mathcal{D}[\Psi] e^{-(\Phi - \Psi^B)^T E^{-1} (\Phi - \Psi^B)} e^{-S[\Psi]}$$

- Two Ginsparg-Wilson relations replace the anti-commutators:

$$\Gamma_V D + D \Gamma_V = D (E \Gamma_V + \Gamma_V E) D / 2$$

$$\Gamma_5 D + D \Gamma_5 = D (E \Gamma_5 + \Gamma_5 E) D / 2$$

- The generators of the corresponding lattice symmetries are:

$$\widehat{\Gamma}_V = \Gamma_V [\mathbf{1} - (E \Gamma_V + \Gamma_V E) D / 4]$$

$$\widehat{\Gamma}_5 = \Gamma_5 [\mathbf{1} - (E \Gamma_5 + \Gamma_5 E) D / 4]$$

- Jacobians of these lattice generators determine the anomalies.

## The role of the blocking kernel $E$

- The key insight of Hasenfratz and von Allmen (JHEP 2008):
  1. All symmetries that are anomalous in the target theory must be broken by the blocking prescription.
  2. Other global symmetries may be broken if convenient.
- Here this implies for the singlet transformations:

$$\Gamma_V E - E \Gamma_V = 0 \quad , \quad \Gamma_5 E - E \Gamma_5 = 0$$

- Hasenfratz and von Allmen suggest to block with:

$$E = i \begin{bmatrix} \varepsilon^c \otimes \overline{C} \otimes \varepsilon^f & 0 \\ 0 & \varepsilon^c \otimes \overline{C} \otimes \varepsilon^f \end{bmatrix}$$

## An overlap solution

(C. Gatttringer, M. Pak, NPB 2008)

- The problem is to find a **common** solution for **both** GW equations:

$$\Gamma_5 D + D \Gamma_5 = D \Gamma_5 E D \quad , \quad \Gamma_V D + D \Gamma_V = D \Gamma_V E D$$

- Our solution is given by:

$$D = E - A (E \Gamma_5 A E \Gamma_5 A)^{-1/2} = E - A (E \Gamma_V A E \Gamma_V A)^{-1/2}$$

- The two expressions for  $D$  are identical since

$$A \equiv E - D_W$$

obeys

$$E \Gamma_5 A E \Gamma_5 = E \Gamma_V A E \Gamma_V = A^\dagger$$

## The kernel operator $D_W$

- For the kernel of the overlap projection we use a modified Wilson operator for two flavors:

$$D_W = \begin{bmatrix} i\varepsilon^c S \otimes \bar{C} \otimes \varepsilon^f & -V_\mu^T \otimes \gamma_\mu^T \otimes \mathbf{1}^f \\ V_\mu \otimes \gamma_\mu \otimes \mathbf{1}^f & iS\varepsilon^c \otimes \bar{C} \otimes \varepsilon^f \end{bmatrix}$$

where

$$V_\mu(x, y) = \frac{1}{2} [U_\mu(x) \delta_{x+\hat{\mu}, y} - U_\mu(x - \hat{\mu})^\dagger \delta_{x-\hat{\mu}, y}]$$

$$S(x, y) = 4\mathbf{1}^c \delta_{x, y} - \frac{1}{2} \sum_{\mu=1}^4 [U_\mu(x) \delta_{x+\hat{\mu}, y} + U_\mu(x - \hat{\mu})^\dagger \delta_{x-\hat{\mu}, y}]$$

- Our overlap operator obeys the two GW equations and is  $\widehat{\Gamma}_5$ -hermitian  
⇒ correct axial anomaly (Hasenfratz and von Allmen).



## Technicalities

- The key identity  $E\Gamma_5 A E\Gamma_5 = E\Gamma_V A E\Gamma_V = A^\dagger$  follows from using

$$U^T = -\varepsilon^c U^\dagger \varepsilon^c \quad \text{for} \quad U \in SU(2)$$

$$V_\mu^T = \varepsilon^c V_\mu \varepsilon^c \quad , \quad S^T = -\varepsilon^c S \varepsilon^c$$

$$E = -E^T = E^\dagger = E^{-1}$$

$$E\Gamma_5 = \Gamma_5 E \quad , \quad E\Gamma_V = \Gamma_V E$$

et cetera

## Physical and doubler sectors

- Re-introducing a lattice spacing  $a$  one shows for the free case that:

$$D = D_{cont} + \mathcal{O}(a) \quad \text{for the physical sector}$$

$$D = \frac{2}{a}E + \mathcal{O}(1) \quad \text{for the doubler sectors}$$

- The blocking matrix  $E$  has eigenvalues  $\pm 1$  which implies that the doublers end up at  $\pm 2/a$ .
- When denoted in terms of the usual 4-spinors, the term that removes the doublers reads:

$$i \frac{2}{a} \left[ \psi^T \epsilon^c \otimes \bar{C} \otimes \epsilon^f \psi + \bar{\psi} \epsilon^c \otimes \bar{C} \otimes \epsilon^f \bar{\psi}^T \right]$$

## Projection to left-handed Weyl fermions

- Weyl fermions are obtained by projection with the same projector as used in the continuum

$$D_- = P_- D = D P_- \quad \text{with} \quad P_- = \begin{bmatrix} \frac{1}{2}[\mathbf{1} - \gamma_5] & 0 \\ 0 & \frac{1}{2}[\mathbf{1} + \gamma_5] \end{bmatrix}$$

- This equation follows for our overlap operator from  $P_- = \frac{1}{2}[\mathbf{1} - \Gamma_V \Gamma_5]$  and the list of identities given above.
- A single gauge field independent projector is sufficient to project to Weyl fermions. No additional gauge dependent counterterm is necessary to make the effective fermion action gauge invariant.
- Hasenfratz and von Allmen: The projected Weyl operator gives rise to a fermion number anomaly.

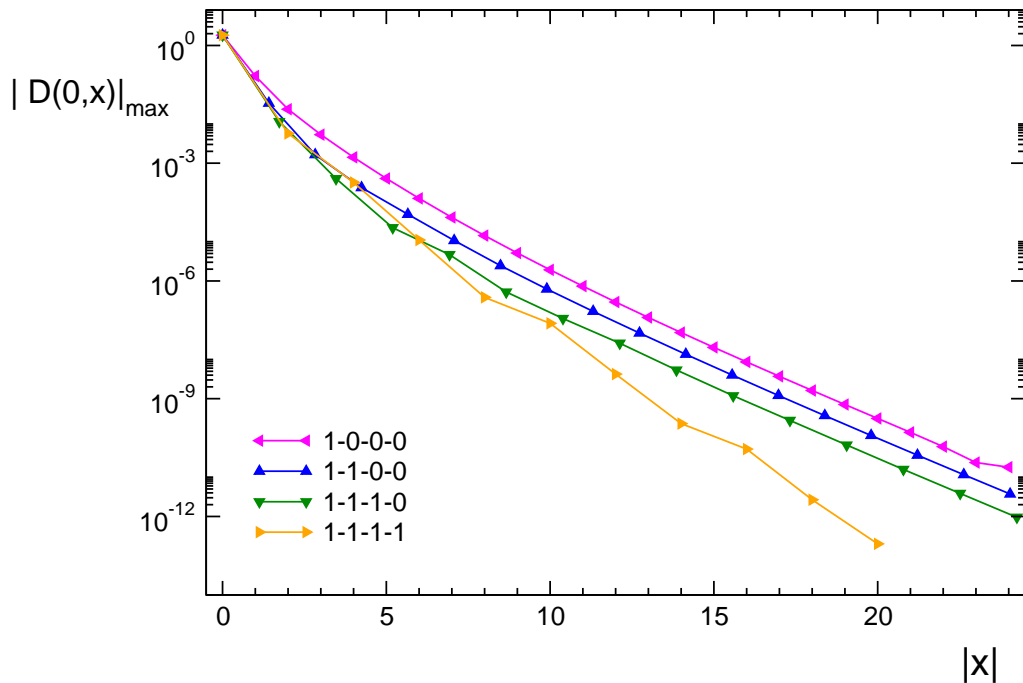
## Representation via the matrix sign function

- The overlap operator may also be written as:

$$D = E - E \Gamma_5 \operatorname{sign}(E \Gamma_5 A) = E - E \Gamma_V \operatorname{sign}(E \Gamma_V A)$$

- The spectrum of the argument in the square root is in the free case bounded by 1 from below (as for the old overlap).
- Thus we expect similar locality and numerical properties as for the old overlap operator.

The overlap operator is local:



## Concluding remarks

- We analyze 2 flavors of fermions with gauge group  $SU(2)$  using the RG prescription of Hasenfratz and von Allmen.
- Vector and axial symmetries give rise to two GW-type of equations for the lattice Dirac operator.
- We solve the two equations using a generalized overlap construction.
- In addition our Dirac operator obeys the additional constraints necessary for the proper projection to the left-handed Weyl components.
- The chiral gauge theory has a simple fermion measure and the correct anomaly structure including fermion number violation.
- The overlap operator has decent numerical and locality properties.