

Gauge-invariance of QCD and the mechanism for generating quark confinement

Han-Xin He (何汉新)

中国原子能科学研究院

China Institute of Atomic Energy

OUTLINE

1. Basic properties of QCD
2. Quark confinement phenomenon
 - * Confinement potential from lattice QCD
3. Exploring confinement mechanism ---
basic approaches
4. Nonperturbative QCD approach
5. Gauge invariance and interacting vertices in QCD
 - * BRST symmetry and Slavnov-Taylor identity
 - * Transverse symmetry transformation and transverse STI
6. Gauge-invariant constraint and the mechanism for generating a linear confinement potential

1. Basic properties of QCD

- Quarks and gluons---

- *fundamental particles of constituting hadrons

- *fundamental fields of QCD (**Quantum Chromodynamics** 量子色动力学)

满足定域规范不变性的经典的色动力学拉氏密度为：

$$\mathcal{L}_{\text{QCD}}^{(0)} = -\frac{1}{4} F_{\mu\nu}^a F_{\mu\nu}^a + \sum_f \bar{\psi}_\alpha^{(f)} (i\gamma_\mu D_{\alpha\beta}^\mu - m^{(f)} \delta_{\alpha\beta}) \psi_\beta^{(f)}$$

$$\left. \begin{aligned} F_{\mu\nu}^a &= \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + gf^{abc} A_\mu^b A_\nu^c \\ D_\mu &= \partial_\mu - igA_\mu^a \frac{\lambda^a}{2} \end{aligned} \right\}$$

Basic properties of QCD

-----Asymptotical freedom, dynamical chiral symmetry breaking, quark confinement

- QCD running coupling

$$\alpha_S(\mu^2) = \frac{4\pi}{\beta_0 \ln(\mu^2 / \Lambda_{\text{QCD}}^2)}$$

(1) 在高能标度或大动量转移时的渐近自由，这已在理论上得到证明。这可以从 QCD 跑动耦合（见式 (1.166)）直观地理解这一图像：当 $Q^2 \gg \Lambda_{\text{QCD}}^2$ ，QCD 耦合 $\alpha_S \ll 1$ ，意味着夸克间的相互作用变得很弱。

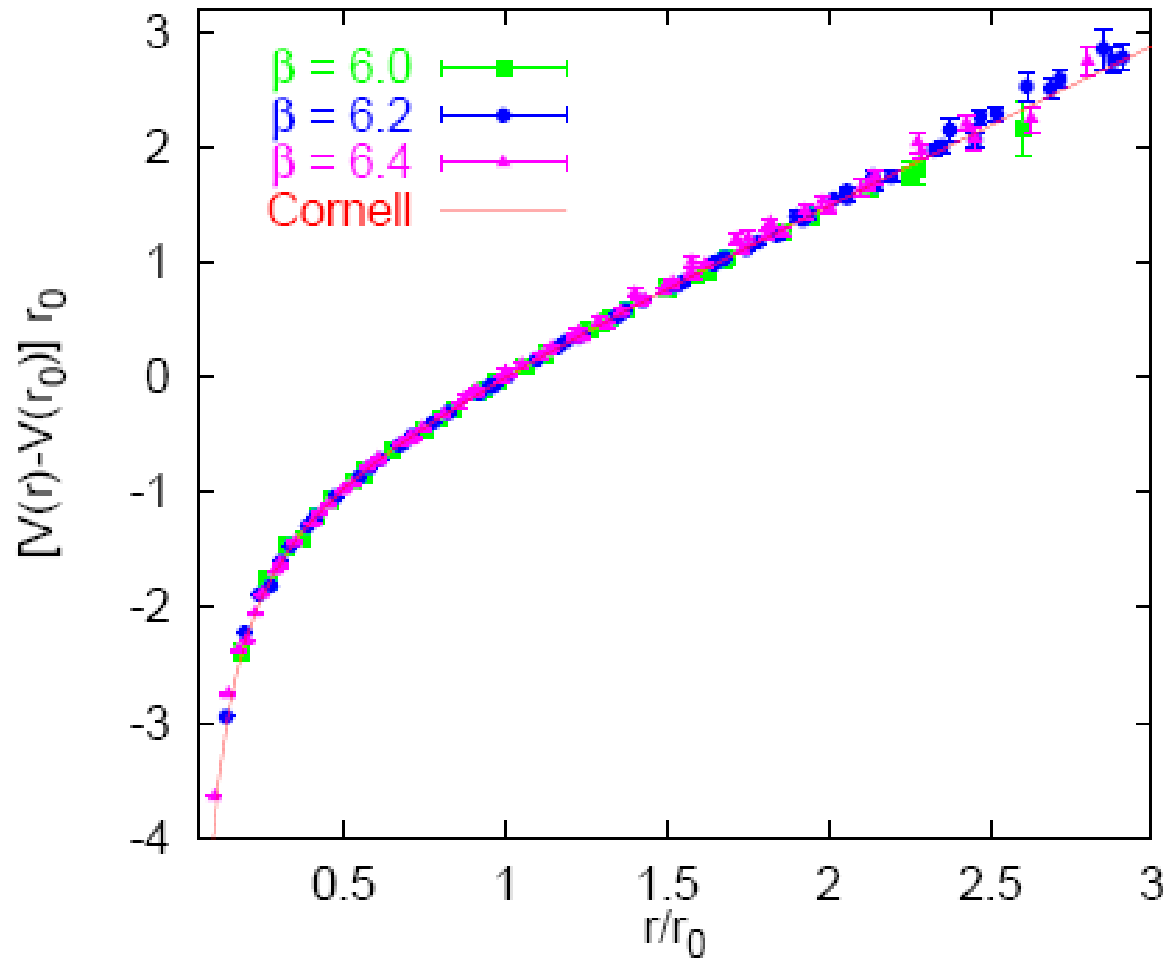
(2) 色禁闭，从跑动耦合 $\alpha_S(Q^2)$ 可看到，当 $Q^2 \approx \Lambda_{\text{QCD}}^2$ ， $\alpha_S(Q^2) \rightarrow \infty$ 。这就是通常说的红外奴役的表现，它与自然界中未观测到带色的客体相联系。当然，这不是色禁闭的证明。色禁闭意味着所观测到的强相互作用物质如强子态、原子核都是夸克、胶子组成的色单态。色禁闭机制的研究是当今 QCD 理论中最具挑战性的突出问题。

2. Quark Confinement Phenomenon

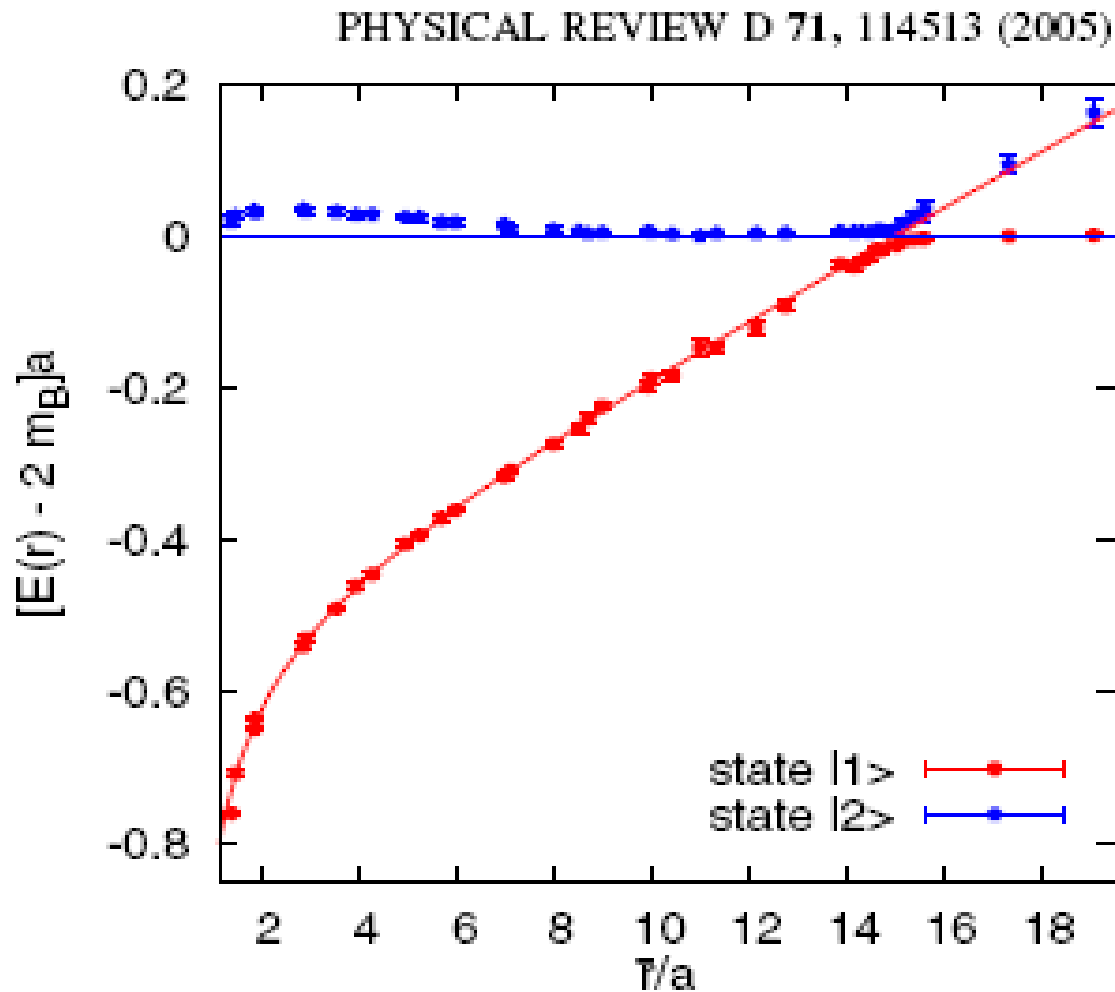
- Quark and gluon---
fundamental particle of constituting hadron
Proton: $u u d$
Meson: $quark-antiquark$
- Quark confinement phenomenon---
 - * All hadron states and physical observables are color-singlets
 - * Isolated quarks (gluons) have not been observed in nature

Confinement mechanism—one of top challenge problems in 20 & also 21 century

Confinement potential from Lattice QCD 1



Confinement potential from Lattice QCD 2



Confinement potential from Lattice QCD 3

这里要求 T 足够大 ($T \geq R$), 计算得到类库仑型势与线性型禁闭势的叠加^[141a]

$$V(R) = \frac{4}{3} \frac{\alpha_s}{R} + bR \quad (6.20)$$

在足够大的分离距离 R_c (~ 1.2 fm) 发生弦断裂, 与此相伴产生一海夸克-反夸克对. 由拟合 Monte Carlo 数值计算的结果得到一屏蔽势^[141b]

$$V(R) = \left(-\frac{a}{R} + \sigma R \right) \frac{1 - e^{-\mu R}}{\mu R} \quad (6.21)$$

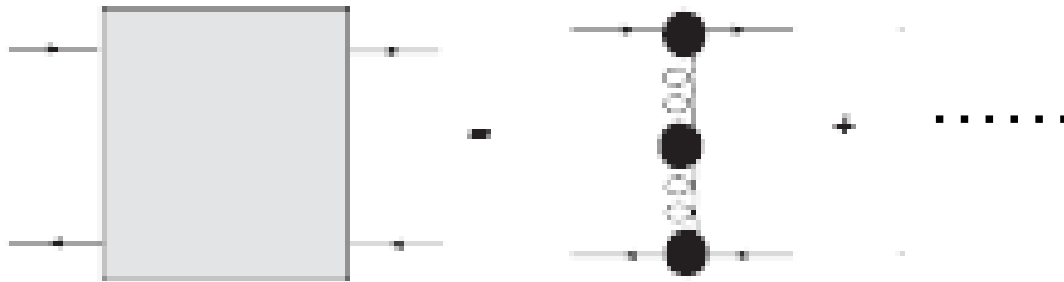
其中 $\sigma = (400 \text{ MeV})^2$, $a = 0.21 \pm 0.01$, $\mu^{-1} = 0.9 \pm 0.2$ fm.

3. Explore confinement mechanism

----basic approaches

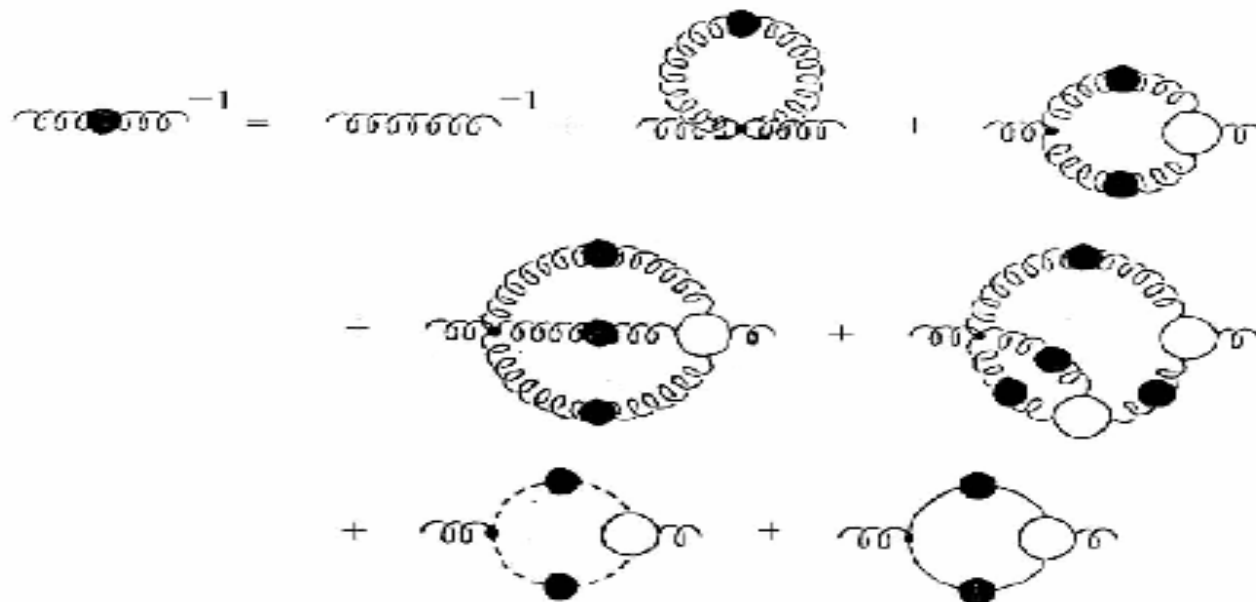
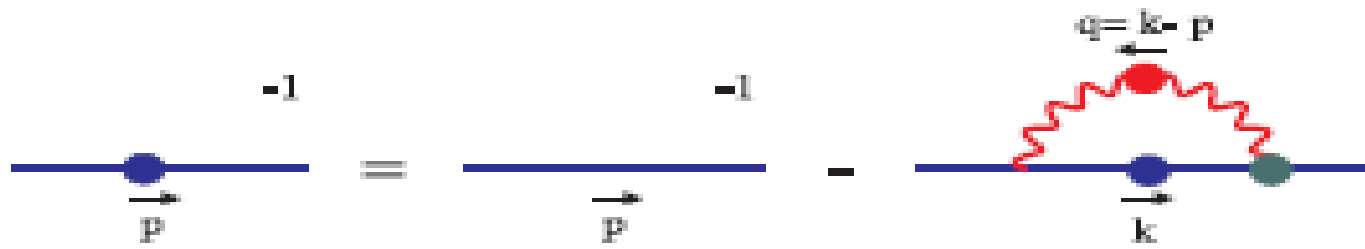
- QCD vacuum property and **colour confinement**
 - A dual Meissner effect in a **condensate of magnetic monopoles**
 - Hard to prove from QCD
- QCD nonperturbative interactions and **colour confinement**
 - Infrared behavior of QCD Green's functions
 - Infrared slavery**: **confinement is caused by infrared singularity**

4. Nonperturbative QCD approach to exploring quark confinement dynamics



- Calculate **interacting potential** between quark and quark(anti-quark)
- Use Dyson-Schwinger equations for QCD Green functions

DSE equations for QCD propagators



* How to determine QCD Green's functions

- Equations of motion for Green's functions
 - Dyson-Schwinger (DS) equations
(a set of integral equations)
- Constraint relations among Green's functions
 - the Slavnov-Taylor (Ward-Takahashi) identities for the QCD vertices
(a set of differential equations)

5. The Gauge invariance of QCD & the Slavnov-Taylor identities

- BRST symmetry

$$\delta\psi = ig\omega c^a t^a \psi, \quad \delta\bar{\psi} = -ig\bar{\psi} t^a \omega c^a, \quad \delta A_\mu^a = \omega D_\mu^{ab} c^b$$

$$\delta c^a = -\frac{1}{2}gf^{abc}\omega c_b c_c, \quad \delta\bar{c}^a = \frac{\omega}{\xi}\partial^\mu A_\mu^a$$

- Slavnov-Taylor identity for quark-gluon vertex

$$q^\mu \Gamma_{V_\mu}^a(p_1, p_2, q) G^{-1}(q^2) = S_F^{-1}(p_1) \left[\underline{t^a - B_4^a(p_1, p_2)} \right]$$

$$- \left[\underline{t^a - B_4^a(p_1, p_2)} \right] S_F^{-1}(p_2) \quad (2.31)$$

- which **constrains longitudinal part** of vertex

*Transverse Symmetry Transformations in Gauge Theories

H.X.He,Phys.Rev.D80,016004(2009)

Consider an infinitesimal symmetry transformation

$$\phi^a(x) \longrightarrow \phi^a(x) + \delta\phi^a(x), \quad (6)$$

we call this transformation a symmetry if it leaves the equation of motion invariant. We introduce corresponding transverse symmetry transformation

$$\phi^a(x) \longrightarrow \phi^a(x) + \delta_T\phi^a(x), \quad (7)$$

where $\delta_T\phi^a(x)$ is defined by the infinitesimal Lorentz transformation for such symmetry transformation : $\delta_T\phi^a(x) = \delta_{Lorentz}\delta\phi^a(x)$, which reads

$$\delta_T\phi^a(x) = -\frac{i}{2}\epsilon^{\mu\nu} S_{\mu\nu}^{(\delta\phi^a)}\delta\phi^a(x). \quad (8)$$

Here $S_{\mu\nu}^{(\delta\phi^a)}$ denotes the generator of the intrinsic part for the infinitesimal Lorentz transformation, that is, the intrinsic spin operator of the field involved in $\delta\phi^a(x)$, where the field

Transverse Symmetry Transformation in QCD

*BRST transformation

$$\delta\psi = ig\omega t^a c_a \psi$$

$$\delta\bar{\psi} = -ig\bar{\psi} t^a \omega c_a$$

$$\delta A_\mu^a = \omega D_\mu^{ab} c_b$$

$$\delta c^a = -\frac{1}{2}g\omega f^{abc} c_b c_c$$

$$\delta\bar{c}^a = \frac{\omega}{\xi} \partial^\mu A_\mu^a,$$

- Transverse symmetry transformation for BRST symmetry (H.X.He, PR.D80,016004(2009))

$$\delta_T\psi = \frac{1}{4}g\omega\epsilon^{\mu\nu} c_a t^a \sigma_{\mu\nu} \psi$$

$$\delta_T\bar{\psi} = \frac{1}{4}g\epsilon^{\mu\nu} \bar{\psi} \sigma_{\mu\nu} t^a \omega c_a$$

$$\delta_T A_\mu^a = \omega\epsilon^{\mu\nu} D_\nu^{ab} c_b$$

$$\delta_T c^a = \delta_T \bar{c}^a = 0$$

*Transverse STI for the quark-gluon vertex

H.X.He, Phys.Rev.D80,016004(2009)

He et al, Phys.Lett.B 480, 222 (2000)

$$\begin{aligned} & \underline{iq^\mu \Gamma_V^{a\nu}(p_1, p_2) - iq^\nu \Gamma_V^{a\mu}(p_1, p_2)} \\ &= [S_F^{-1}(p_1) \sigma^{\mu\nu} (t^a - B_4^a(p_1, p_2)) \\ &+ (t^a - B_4^a(p_1, p_2)) \sigma^{\mu\nu} S_F^{-1}(p_2)] G(q^2) \\ &+ 2m \Gamma_T^{a\mu\nu}(p_1, p_2) + (p_{1\lambda} + p_{2\lambda}) \varepsilon^{\lambda\mu\nu\rho} \Gamma_{\lambda\rho}^a(p_1, p_2) \\ &- \int \frac{d^4 k}{(2\pi)^4} 2k_\lambda \varepsilon^{\lambda\mu\nu\rho} \Gamma_{\lambda\rho}^a(p_1, p_2; k). \end{aligned} \quad (52)$$

*The Quark-Gluon Vertex Function in QCD--- longitudinal part

$$\Gamma_V^{\alpha\mu}(p_1, p_2) = \Gamma_{V(L)}^{\alpha\mu}(p_1, p_2) + \Gamma_{V(T)}^{\alpha\mu}(p_1, p_2), \quad (66)$$

$$\begin{aligned} \Gamma_{V(L)}^{\alpha\mu}(p_1, p_2) &= q^{-2} q^\mu [q_\nu \Gamma_V^{\alpha\nu}(p_1, p_2)] \\ &= q^\mu [S_F^{-1}(p_1)(r^\alpha - B_4^\alpha(p_1, p_2)) \\ &\quad - (r^\alpha - B_4^\alpha(p_1, p_2))S_F^{-1}(p_2)]G(q^2)/q^2, \end{aligned} \quad (67)$$

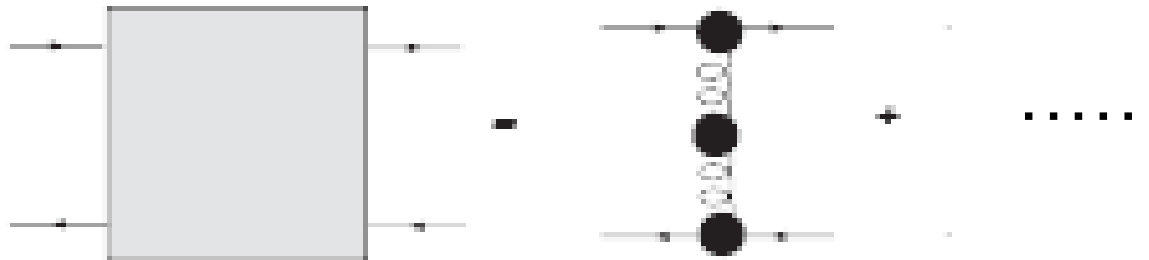
The Quark-Gluon Vertex Function in QCD--- transverse part

H.X.He, Phys.Rev.D80,016004(2009)

He, Phys.Rev.C63, 025207 (2001)

$$\begin{aligned}
 \underline{\Gamma_{V(T)}^{a\mu}(p_1, p_2)} &= q^{-2} i q_\nu [i q^\mu \Gamma_V^{a\nu}(p_1, p_2) - i q^\nu \Gamma_V^{a\mu}(p_1, p_2)] \\
 &= [q^2 + (p_1 + p_2)^2 - ((p_1 + p_2) \cdot q)^2 q^{-2}]^{-1} G(q^2) / q^2 \times \{ i [S_F^{-1}(p_1) \sigma^{\mu\nu} (r^a - B_4^a(p_1, p_2)) \\
 &\quad + (r^a - B_4^a(p_1, p_2)) \sigma^{\mu\nu} S_F^{-1}(p_2)] q_\nu q^2 + i [S_F^{-1}(p_1) \sigma^{\mu\lambda} (r^a - B_4^a(p_1, p_2)) - (r^a - B_4^a(p_1, p_2)) \sigma^{\mu\lambda} S_F^{-1}(p_2)] \\
 &\quad \times (p_{1\lambda} + p_{2\lambda}) q^2 + i [S_F^{-1}(p_1) \sigma^{\lambda\nu} (r^a - B_4^a(p_1, p_2)) - (r^a - B_4^a(p_1, p_2)) \sigma^{\lambda\nu} S_F^{-1}(p_2)] q_\nu (p_{1\lambda} + p_{2\lambda}) q^\mu \\
 &\quad - i [S_F^{-1}(p_1) \sigma^{\mu\nu} (r^a - B_4^a(p_1, p_2)) - (r^a - B_4^a(p_1, p_2)) \sigma^{\mu\nu} S_F^{-1}(p_2)] q_\nu (p_1 + p_2) \cdot q + i [S_F^{-1}(p_1) \sigma^{\lambda\nu} (r^a \\
 &\quad - B_4^a(p_1, p_2)) + (r^a - B_4^a(p_1, p_2)) \sigma^{\lambda\nu} S_F^{-1}(p_2)] q_\nu (p_{1\lambda} + p_{2\lambda}) [p_1^\mu + p_2^\mu - (p_1 + p_2) \cdot q q^\mu q^{-2}] \\
 &\quad - i q_\nu q^2 \bar{C}_A^{a\mu\nu}(p_1, p_2) + q_\nu q_\alpha (p_{1\lambda} + p_{2\lambda}) \varepsilon^{\lambda\mu\nu\beta} \bar{C}_V^{a\beta\alpha}(p_1, p_2) - i q_\nu (p_{1\lambda} + p_{2\lambda}) \\
 &\quad \times [p_1^\mu + p_2^\mu - (p_1 + p_2) \cdot q q^\mu q^{-2}] \bar{C}_A^{a\lambda\nu}(p_1, p_2) \}, \tag{68}
 \end{aligned}$$

6. Gauge-invariant constraint & mechanism for generating quark confinement potential



- **Asymptotic freedom** in high energy limit by **perturbative one-gluon exchange**
- **Quark confinement** in low energy limit should be related to **infrared behavior of the gluon propagator & quark-gluon vertex function**

* Infrared behavior of gluon & ghost propagators - from DSEs & Lattice QCD

- **Scaling solution**

$$D^G(p^2) = -\frac{G(p^2)}{p^2}, \quad D_{\mu\nu}(p^2) = \left(\delta_{\mu\nu} - \frac{p_\mu p_\nu}{p^2} \right) \frac{Z(p^2)}{p^2}.$$

Corresponding power laws in the infrared are

$$G(p^2) \sim (p^2)^{-\kappa}, \quad Z(p^2) \sim (p^2)^{2\kappa}.$$

κ is positive [48] one obtains an infrared enhanced ghost and an infrared

- **Decoupling solution (massive gluon solution which is more favour)**

$$Z(p^2) \sim p^2; \quad G(p^2) \sim \text{const.}$$

*6.1 Gauge-invariant constraint on infrared structure of the quark-gluon vertex

---Ward-type identity (He et al, to be published)

$$\begin{aligned} \Gamma_\mu(p, p) = & \left[\frac{\partial}{\partial p_\mu} S^{-1}(p) \right] H(p, p, 0) G(0) \\ & + S^{-1}(p) \left[\frac{\partial}{\partial k_\mu} H(k, p, q) \right]_{k=p} G(0) \\ & - \left[\frac{\partial}{\partial k^\mu} \bar{H}(p, k, q) \right]_{k=p} S^{-1}(p) G(0), \end{aligned}$$

$$\Gamma_\mu(p, p) = \sum_{i=1}^4 \tilde{\lambda}_i(p^2, p^2, 0) \tilde{L}_{i,\mu}$$

$$\tilde{L}_{1,\mu} = \gamma_\mu, \tilde{L}_{2,\mu} = \not{p}_\mu, \tilde{L}_{3,\mu} = p_\mu, \tilde{L}_{4,\mu} = \not{p} \gamma_\mu,$$

$\lim_{q \rightarrow 0} \tilde{\lambda}_i(k^2, p^2, q^2)$ are Lorentz and Dirac scalar functions:

$$\tilde{\lambda}_1(p^2, p^2; 0) = G(0) Z_f^{-1}(p^2) \{ \chi_0(p) - 2p^2 \chi_3(p) + M(p^2) [\chi_2(p) - \chi_1(p)] \}.$$

*6.2 Gauge-invariant constraint on infrared behavior of the quark-gluon vertex (He, to be published)

Assuming $\lim_{q \rightarrow 0} \bar{\chi}_i = \lim_{q \rightarrow 0} \chi_i \sim (q^2)^{\alpha_{\chi_i}} f_{(i)}(p^2)$,
the r.h.s of STI has

$$\begin{aligned} & \lim_{q \rightarrow 0} [S^{-1}(k)H(k, p, q) - \bar{H}(p, k, q)S^{-1}(p)] \\ & \sim \sum_{i=0}^3 (q^2)^{\alpha_{\chi_i} + 1/2} \hat{q}^\mu \sum_{j=1}^3 f_{(i,j)}(p^2) \bar{L}_\mu^{(j)}(p), \end{aligned} \quad (4)$$

l.h.s of the STI in the limit of vanishing gluon momentum is easy to write

$$\begin{aligned} & \lim_{q \rightarrow 0} q^\mu \Gamma_\mu(k, p, q) G^{-1}(q^2) \\ & \sim (q^2)^{\delta_{qg} + 1/2 - \alpha_G} \hat{q}^\mu \sum_{i=1}^3 f_{(i)}(p^2) \bar{L}_\mu^{(i)}(p). \end{aligned} \quad (6)$$

6.3 The mechanism for generating Infrared singularity in the quark-gluon vertex -----a qualitative analysis

The r.h.s of STI does not disappear if $\alpha_{\chi_i} \leq -1/2$. If χ_i has the IR-singularity with $\alpha_{\chi_i} = -1/2$ and DCSB appears ($M \neq 0$). In this case we have

$$\begin{aligned}\lim_{q \rightarrow 0} \Gamma_\mu(k, p, q) &\sim (q^2)^{-1/2 + \alpha_G} \tilde{L}_\mu(p), \\ \lim_{q \rightarrow 0} \alpha_{gg}(q^2) &\sim (q^2)^{-1 + \delta_{\alpha_{gh}}},\end{aligned}\tag{14}$$

which generate an IR singular quark-gluon vertex and an

*6.4 The mechanism for generating a linear confinement potential— a qualitative analysis

action quark potential

$$\lim_{q \rightarrow 0} V(q) \sim \frac{1}{(q^2)^2}. \quad (15)$$

This quark potential in the coordinate space is normally written

$$V(\vec{r}) = \int \frac{d^3q}{(2\pi)^3} V(q^0 = 0, \vec{q}) e^{i\vec{q} \cdot \vec{r}} \sim |\vec{r}|, \quad (16)$$

generating a linearly rising potential between massive quarks, implying quark confinement at large distances.

*6.5 The mechanism for generating a quark confinement potential— the more complete calculations(He, to be published)

A more complete calculation gives

$$\lim_{q \rightarrow 0} V(q) \sim \frac{C_0^2}{q^2} + \frac{C_1^2 M^2}{(q^2)^2},$$

for very large M . We thus obtain

$$V(\vec{r}) = \int \frac{d^3q}{(2\pi)^3} V(q^0 = 0, \vec{q}) e^{i\vec{q} \cdot \vec{r}} \sim \frac{a}{r} + br,$$

where $a = \frac{1}{4\pi} f_0^2(p^2)$,

Summary

- Gauge invariance of QCD imposes powerful constraint (STI) for the infrared structure and the infrared behavior of the qgg vertex . The results show:
- There exists an IR-singularity in the quark-ghost scattering kernel, which generates the IR-singularity in the qgg vertex and such
- Infrared divergent quark-gluon vertex generates a linear confinement potential between massive quarks, realizing infrared slavery