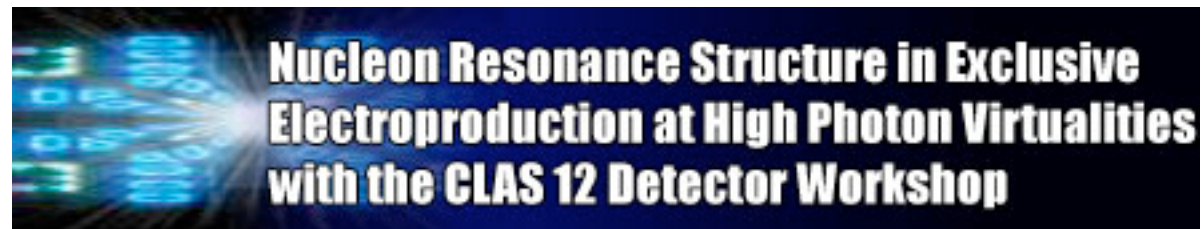


# $N \rightarrow N^*$ Form Factor extraction at large $Q^2$ :

## physics issues and challenges

Marc Vanderhaeghen  
Johannes Gutenberg Universität, Mainz



Jefferson Lab, May 16, 2011




# Outline

- Physics encoded in  $\gamma^* N \rightarrow N^*$  FFs  
and imaging of transition charge densities
- multipole extractions at larger  $Q^2$  :  
reaction mechanism de/pre-scriptions
- testing the model dependence at larger  $Q^2$  :  
role of polarization observables
- two-photon exchange effects at larger  $Q^2$

for more details, see e.g. review on  $N \rightarrow \Delta$  :

Pascalutsa, Vdh, Yang / Phys. Rept. 437 (2007) 125



Physics encoded in  
 $\gamma^* N \rightarrow N^*$  FFs

&

transition charge densities

# N → Δ(1232) transition

→ experiment measures **multipoles**

$$\bar{M}_{1+}^{(3/2)}(Q^2) \equiv \sqrt{\frac{2}{3}} a_{\Delta} \text{Im} M_{1+}^{(3/2)}(Q^2, W = M_{\Delta})$$

→ theory calculates **helicity form factors**

$$A_{3/2} \equiv -\frac{e}{\sqrt{2}q_{\Delta}} \frac{1}{(4M_N M_{\Delta})^{1/2}} \langle \Delta(\vec{0}, +3/2) | \mathbf{J} \cdot \epsilon_{\lambda=+1} | N(-\vec{q}, +1/2) \rangle$$

$$A_{1/2} \equiv -\frac{e}{\sqrt{2}q_{\Delta}} \frac{1}{(4M_N M_{\Delta})^{1/2}} \langle \Delta(\vec{0}, +1/2) | \mathbf{J} \cdot \epsilon_{\lambda=+1} | N(-\vec{q}, -1/2) \rangle$$

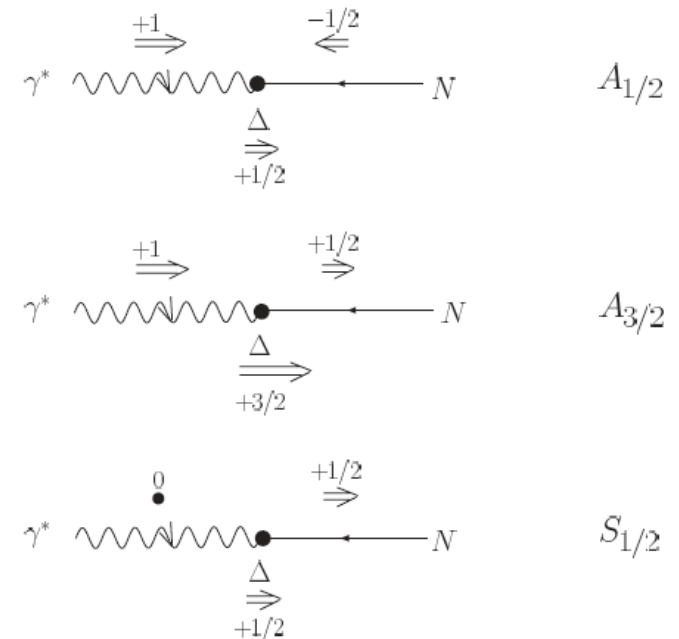
$$S_{1/2} \equiv \frac{e}{\sqrt{2}q_{\Delta}} \frac{1}{(4M_N M_{\Delta})^{1/2}} \langle \Delta(\vec{0}, +1/2) | J^0 | N(-\vec{q}, +1/2) \rangle,$$

→ **define resonance properties**

$$A_{3/2} = -\frac{\sqrt{3}}{2} \{ \bar{M}_{1+}^{(3/2)} - \bar{E}_{1+}^{(3/2)} \}$$

$$A_{1/2} = -\frac{1}{2} \{ \bar{M}_{1+}^{(3/2)} + 3 \bar{E}_{1+}^{(3/2)} \}$$

$$S_{1/2} = -\sqrt{2} \bar{S}_{1+}^{(3/2)},$$



# N → Δ magnetic dipole (M1) form factor

Unpolarized e N → e (π N) cross section, integrated over pion angles

$$\frac{d\sigma}{d\Omega_e dE_f} = \Gamma_V (\sigma_T + \varepsilon \sigma_L)$$

cross section at the resonance peak (Ash, 1967)

$$\left. \frac{d\sigma}{d\Omega_e dE_f} \right|_{peak} = \Gamma_V \frac{4\pi\alpha}{W_R \Gamma_R \kappa_{R,l}} (1 + \tau^*) \frac{Q^2}{2M} G_M^{*2}(Q^2)$$

inclusive cross section in narrow width approximation

$$\frac{d\sigma}{d\Omega_e} = \frac{\sigma_{NS}}{\varepsilon} \frac{Q^2}{4M^2} G_{(M)}^{*2}(Q^2)$$

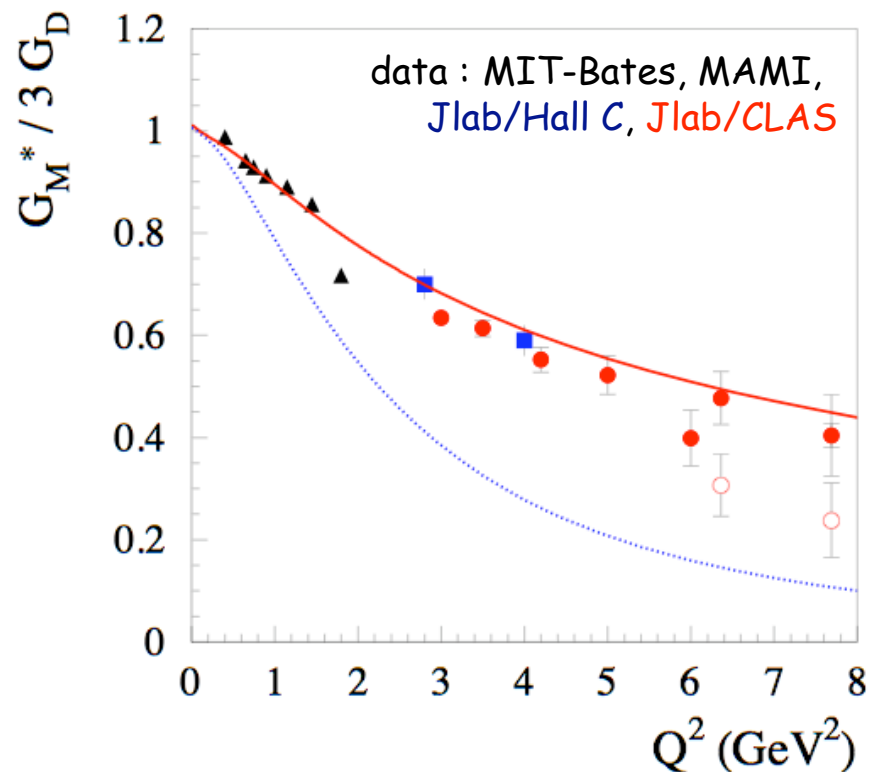
in fact,  $G_{(M)}^{*2}(Q^2)$  contains also electric and charge contributions

$$G^{*2}(Q^2) \equiv G_{(M)}^{*2}(Q^2) = G_M^{*2}(Q^2) + 3G_E^{*2}(Q^2) + \varepsilon \frac{Q^2}{W_\Delta^2} G_C^{*2}(Q^2)$$

# N → Δ magnetic dipole form factor

large  $N_c$  limit

$$G_M^*(t) = \frac{G_M^*(0)}{\kappa_V} \int_{-1}^{+1} dx \left\{ E^u(x, \xi, t) - E^d(x, \xi, t) \right\} = \frac{G_M^*(0)}{\kappa_V} \left\{ F_2^p(t) - F_2^n(t) \right\}$$



large  $N_c$  :  $G_M^*(0) = \kappa_V / \sqrt{2} = 2.62$

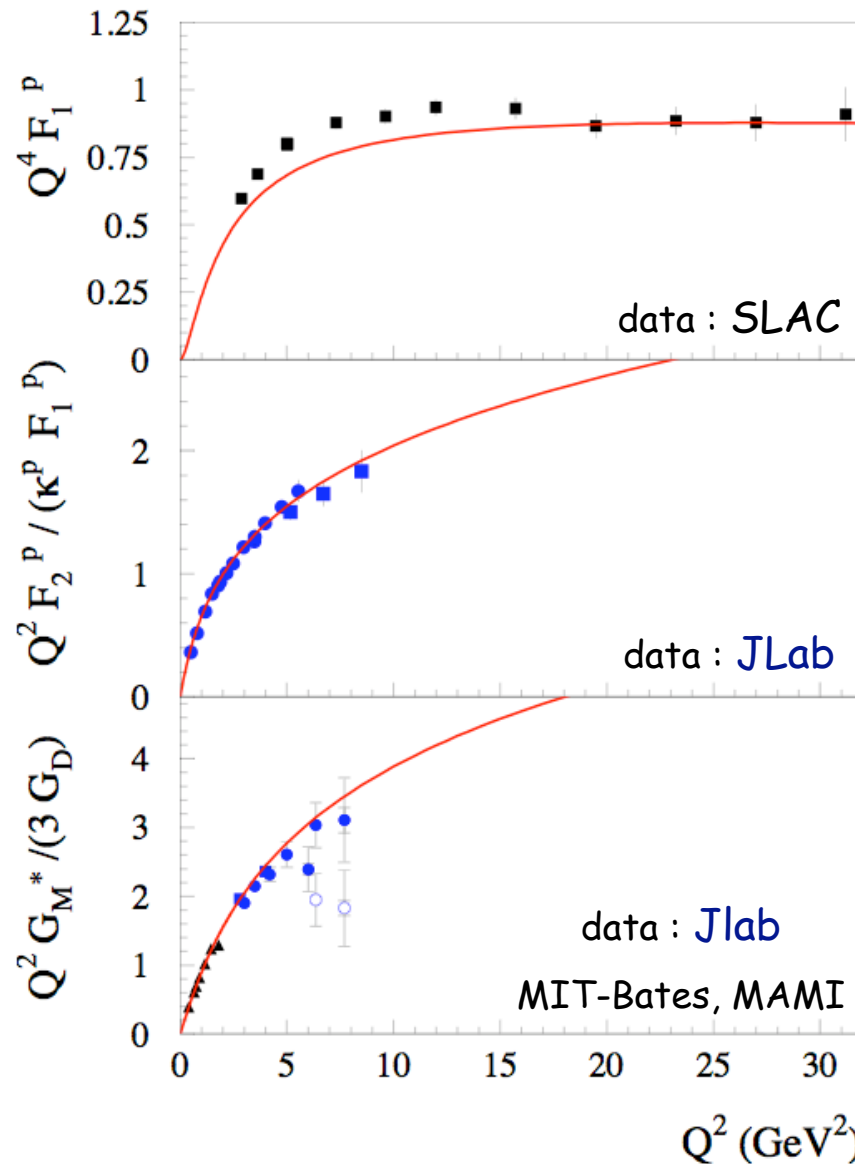
EXP :  $G_M^*(0) = 3.02$

→ modified Regge model

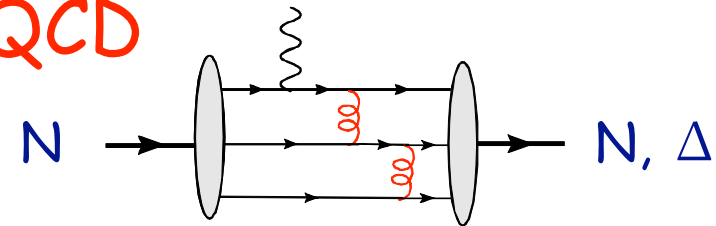
→ Regge model

Guidal, Polyakov, Radyushkin, Vdh  
(2005)

# scaling behavior of N and N → Δ F.F.



PQCD



+ collinear quarks

$$F_1^P \sim 1/Q^4$$

$$F_2^P / F_1^P \sim 1/Q^2$$

$$G_M^* \sim 1/Q^4$$

GPD

—  
modified Regge model

Guidal, Polyakov, Radyushkin, Vdh  
(2005)

# N → Δ E2 and C2 form factors

→ large  $N_c$  limit of QCD :

$$Q_{p \rightarrow \Delta^+} = \frac{1}{\sqrt{2}} r_n^2 \frac{N_c}{N_c + 3} \sqrt{\frac{N_c + 5}{N_c - 1}}$$

Buchmann, Hester, Lebed (2002)

→  $N_c = 3$

EXP :  $r_n^2 = -0.113(3) \text{ fm}^2$

$$Q_{p \rightarrow \Delta^+} = \frac{1}{\sqrt{2}} r_n^2$$

large  $N_c$  :  $Q_{p \rightarrow \Delta^+} = -0.080 \text{ fm}^2$

$$G_E^*(0) = -\frac{1}{6} r_n^2 \frac{1}{\sqrt{2}} \frac{(M_\Delta^2 - M_N^2)}{2}$$

EXP :  $Q_{p \rightarrow \Delta^+} = -0.085(3) \text{ fm}^2$

→ finite (low)  $Q^2$  :  $G_E^n(Q^2) \approx -r_n^2 Q^2/6$

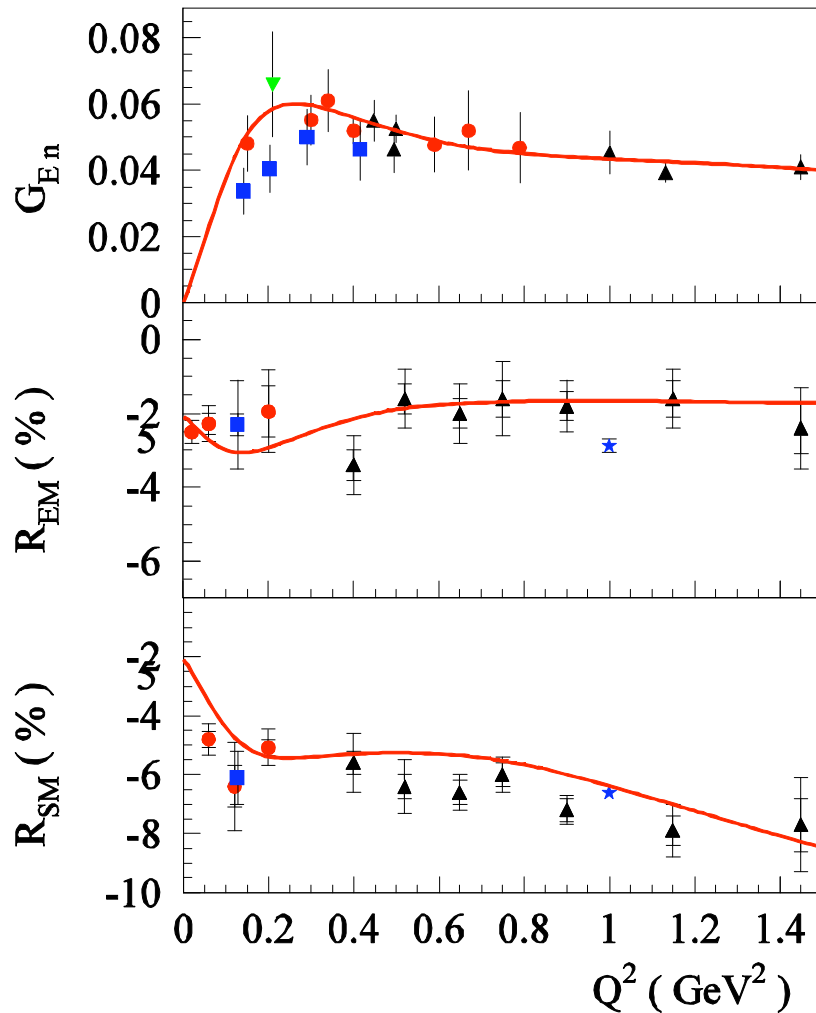
$$G_E^*(Q^2) \simeq \frac{1}{\sqrt{2}} \frac{(M_\Delta^2 - M_N^2)}{2} \frac{G_E^n(Q^2)}{Q^2}$$

$$G_C^*(Q^2) \simeq \frac{4M_\Delta^2}{(M_\Delta^2 - M_N^2)} G_E^*(Q^2)$$

Pascalutsa, Vdh (2006)



# $N \rightarrow \Delta$ E2 and C2 form factors



$G_{En}$  fit :

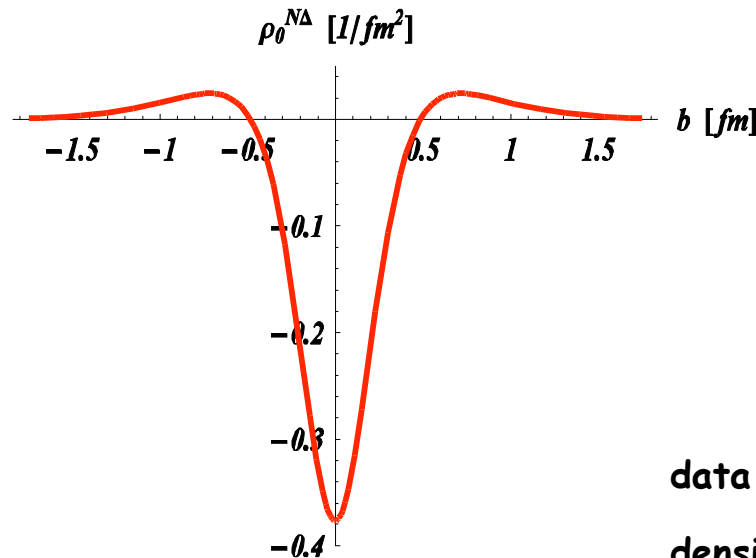
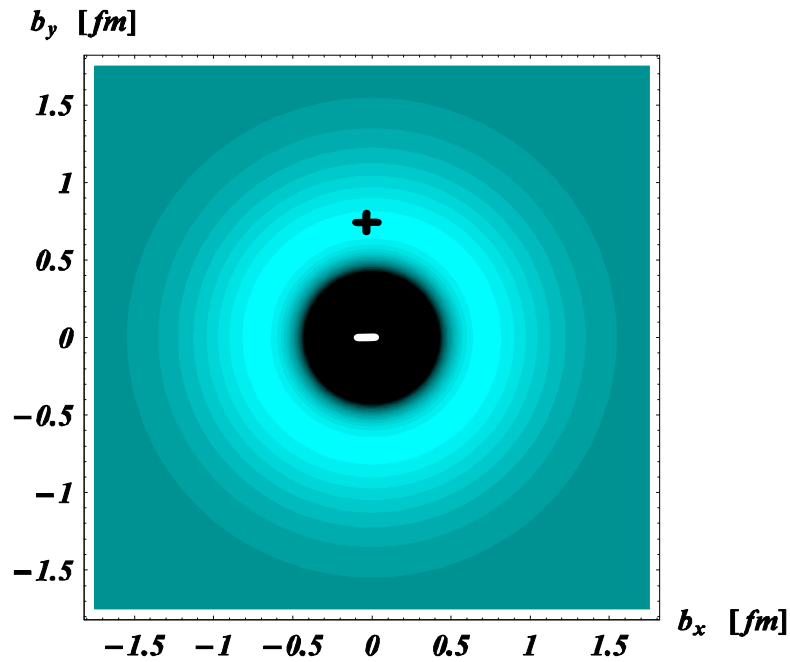
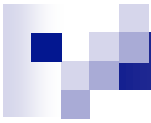
Bradford, Bodek, Budd, Arrington (2006)

large  $N_c$  limit

Pascalutsa, Vdh (2006)

data :

MAMI, BATES, JLab/CLAS, JLab/Hall A



# empirical transverse transition densities for $N \rightarrow \Delta$ excitation

$$\langle P^+, \frac{\vec{q}_\perp}{2}, \lambda_\Delta | J^+(0) | P^+, -\frac{\vec{q}_\perp}{2}, \lambda_N \rangle$$

$$= (2P^+) e^{i(\lambda_N - \lambda_\Delta)\phi_q} G_{\lambda_\Delta \lambda_N}^+(Q^2)$$

$$\rho_0^{N\Delta}(b) = \int_0^\infty \frac{dQ}{2\pi} Q J_0(bQ) G_{+\frac{1}{2} + \frac{1}{2}}^+(Q^2)$$

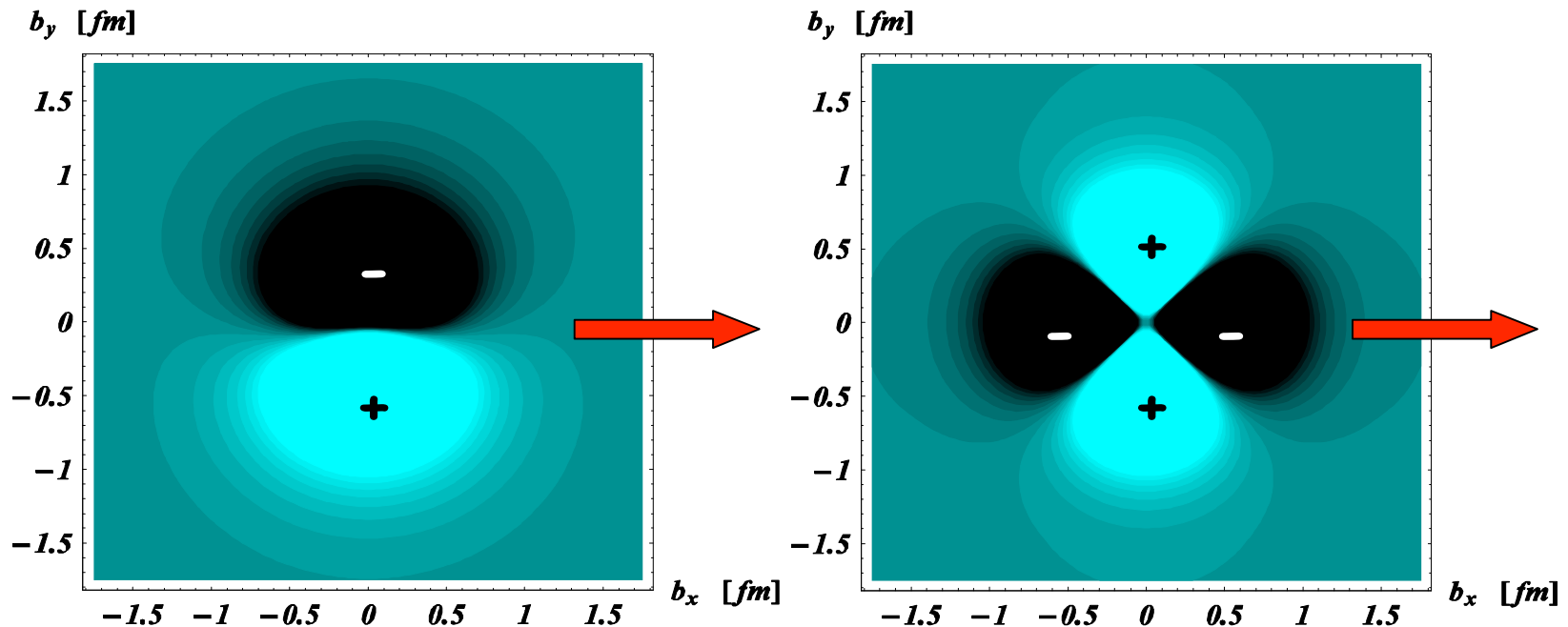
combination of **M1**, **E2**, **C2** FFs

data : MAID 2007 Drechsel, Kamalov, Tiator (2007)

densities : Carlson, Vdh (2007)

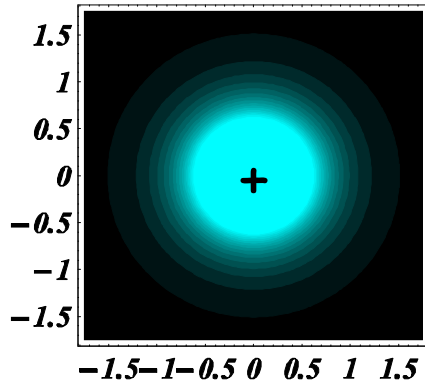
# N $\rightarrow$ $\Delta(1232)$ transition densities in transverse spin state

$$\begin{aligned} \rho_T^{N\Delta}(\vec{b}) &\equiv \int \frac{d^2\vec{q}_\perp}{(2\pi)^2} e^{-i\vec{q}_\perp \cdot \vec{b}} \frac{1}{2P^+} \langle P^+, \frac{\vec{q}_\perp}{2}, s_\perp^\Delta = +\frac{1}{2} | J^+(0) | P^+, -\frac{\vec{q}_\perp}{2}, s_\perp^N = +\frac{1}{2} \rangle \\ &= \int_0^\infty \frac{dQ}{2\pi} \frac{Q}{2} \left\{ J_0(bQ) G_{+\frac{1}{2}+\frac{1}{2}}^+ \rightarrow \text{monopole} \right. \\ &\quad \left. - \sin(\phi_b - \phi_S) J_1(bQ) \left[ \sqrt{3} G_{+\frac{3}{2}+\frac{1}{2}}^+ + G_{+\frac{1}{2}-\frac{1}{2}}^+ \right] \rightarrow \text{dipole} \right. \\ &\quad \left. - \cos 2(\phi_b - \phi_S) J_2(bQ) \sqrt{3} G_{+\frac{3}{2}-\frac{1}{2}}^+ \right\} \rightarrow \text{quadrupole} \end{aligned}$$

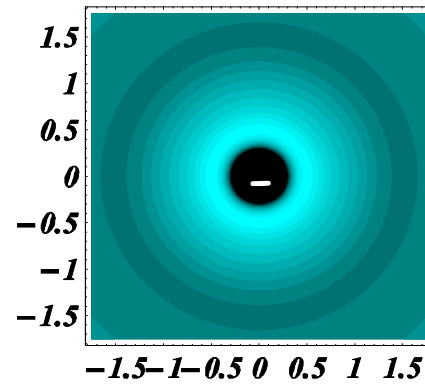


# empirical transverse transition densities

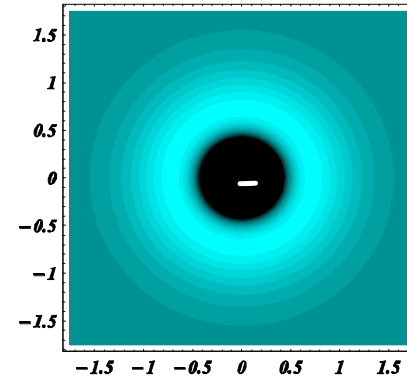
p



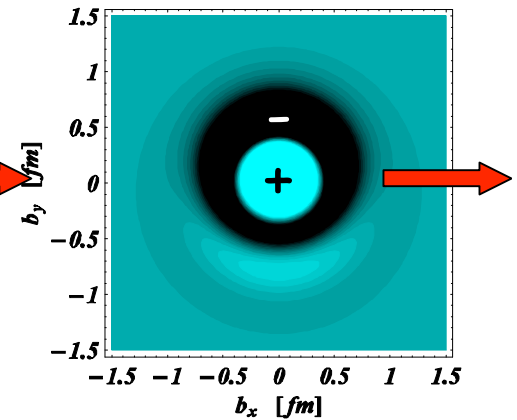
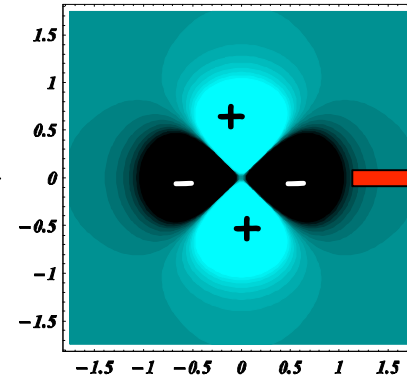
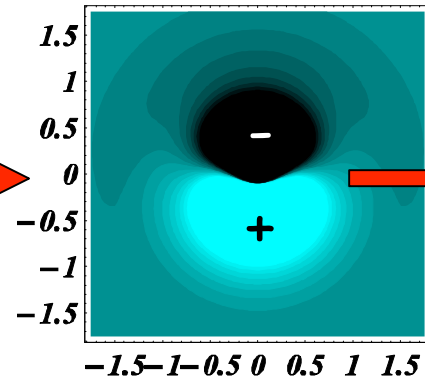
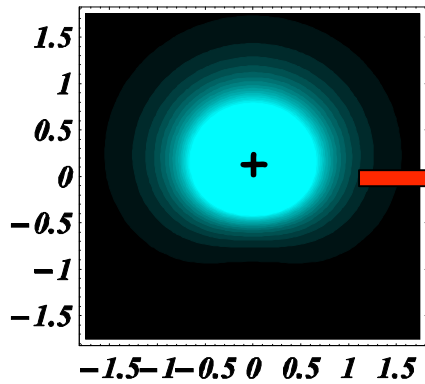
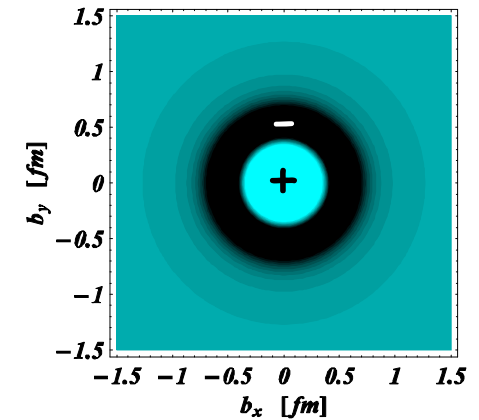
n



p  $\rightarrow$   $\Delta^+$  (1232)



p  $\rightarrow$   $N^*$  (1440)



Carlson, Vdh (2007)

quadrupole  
pattern

Tiator, Vdh (2008)



multipole extractions  
at larger  $Q^2$  :

reaction mechanism  
de/pre-scriptions

# Multipole truncation method

→ Unpolarized  $e N \rightarrow e \pi N$   
cross section

$$\frac{d\sigma^{\gamma^*}}{d\Omega_{\pi}^*} = A(\cos \theta^*) + \epsilon B(\cos \theta^*) \cos 2\phi^* + \sqrt{2\epsilon(1+\epsilon)} C(\cos \theta^*) \cos \phi^*$$

→ Truncate the partial wave expansion  
(over) simplified picture in  $\Delta(1232)$  region :

Make fit to angular dependence by keeping only s- and p-waves ( $\pi N$  system)

$$\begin{aligned} A(\cos \theta^*) &\equiv A_0 + A_1 \cos \theta^* + A_2 \cos^2 \theta^* \\ B(\cos \theta^*) &\equiv B_0 \sin^2 \theta^* \\ C(\cos \theta^*) &\equiv (C_0 + C_1 \cos \theta^*) \sin \theta^* \end{aligned}$$

$A_0, A_1, A_2, B_0, C_0, C_1$

only functions of  $Q^2$  and  $W$

→ under M1 dominance assumption :

$$A_0 = \frac{2W|k_{\pi}^*|}{W^2 - m_p^2} |M_{1+}|^2 \left[ \frac{5}{2} - 3 \frac{\Re(E_{1+}^* M_{1+})}{|M_{1+}|^2} + \frac{\Re(M_{1+}^* M_{1-})}{|M_{1+}|^2} \right]$$

$$A_1 = \frac{2W|k_{\pi}^*|}{W^2 - m_p^2} |M_{1+}|^2 2 \frac{\Re(E_{0+}^* M_{1+})}{|M_{1+}|^2}$$

$$A_2 = \frac{2W|k_{\pi}^*|}{W^2 - m_p^2} |M_{1+}|^2 \left[ -\frac{3}{2} + 9 \frac{\Re(E_{1+}^* M_{1+})}{|M_{1+}|^2} - 3 \frac{\Re(M_{1-}^* M_{1+})}{|M_{1+}|^2} \right]$$

$$B_0 = \frac{2W|k_{\pi}^*|}{W^2 - m_p^2} |M_{1+}|^2 \left[ -\frac{3}{2} - 3 \frac{\Re(E_{1+}^* M_{1+})}{|M_{1+}|^2} - 3 \frac{\Re(M_{1-}^* M_{1+})}{|M_{1+}|^2} \right]$$

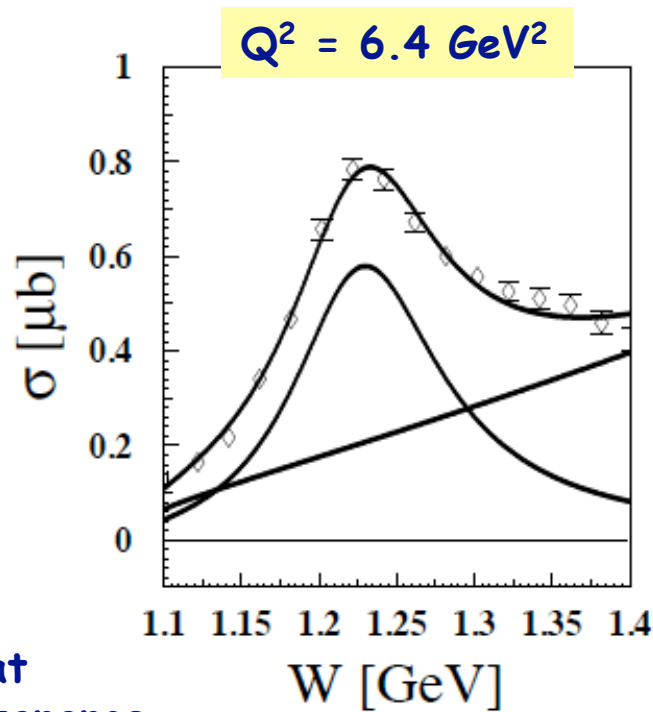
$$C_0 = \frac{2W|k_{\pi}^*|}{W^2 - m_p^2} |M_{1+}|^2 \sqrt{\frac{2Q^2}{|\mathbf{q}^*|^2}} \frac{\Re(S_{0+}^* M_{1+})}{|M_{1+}|^2}$$

$$C_1 = \frac{2W|k_{\pi}^*|}{W^2 - m_p^2} |M_{1+}|^2 6 \sqrt{\frac{2Q^2}{|\mathbf{q}^*|^2}} \frac{\Re(S_{1+}^* M_{1+})}{|M_{1+}|^2}$$

# Resonance/background separation at large $Q^2$

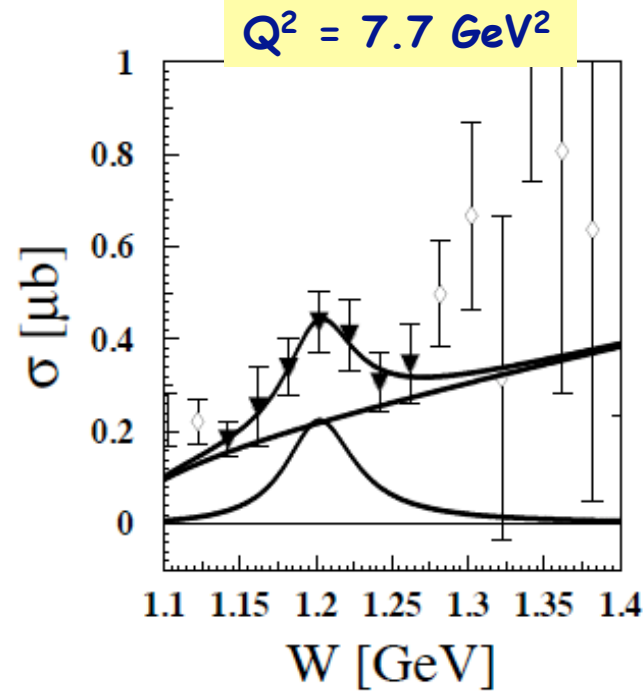
CLAS data : Villano et al. (2009)

Fit with resonance + (polynomial) background



at  
resonance  
position :

Backg/Res  $\approx$  50 %



Backg/Res  $\approx$  100 %



$\Delta(1232)$  drops faster with  $Q^2$  than background and other resonances

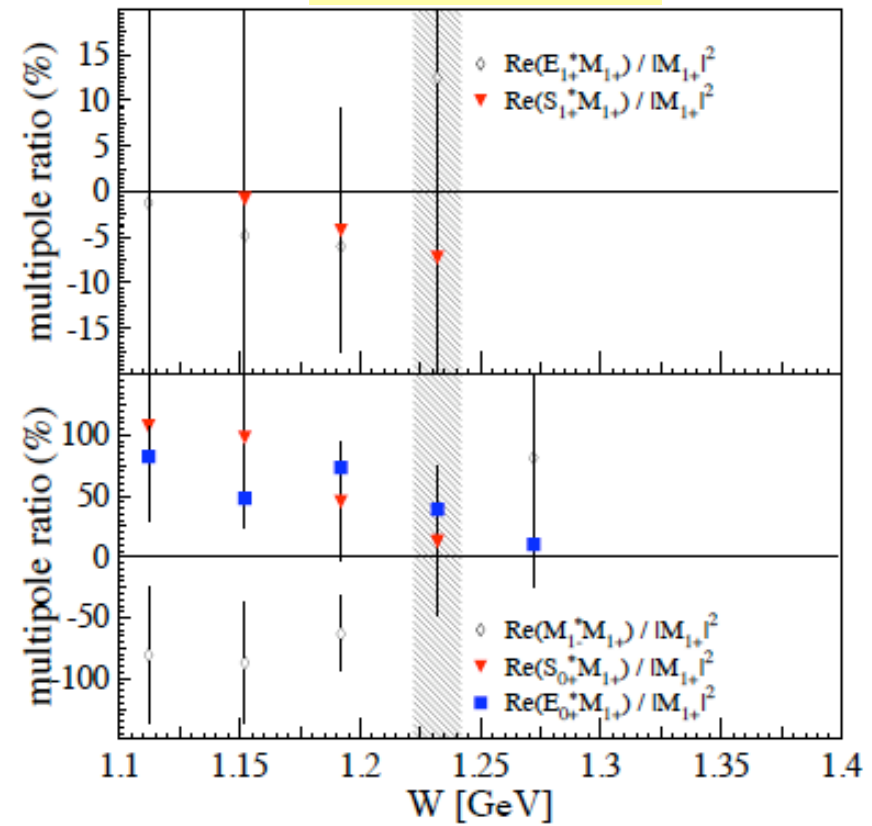
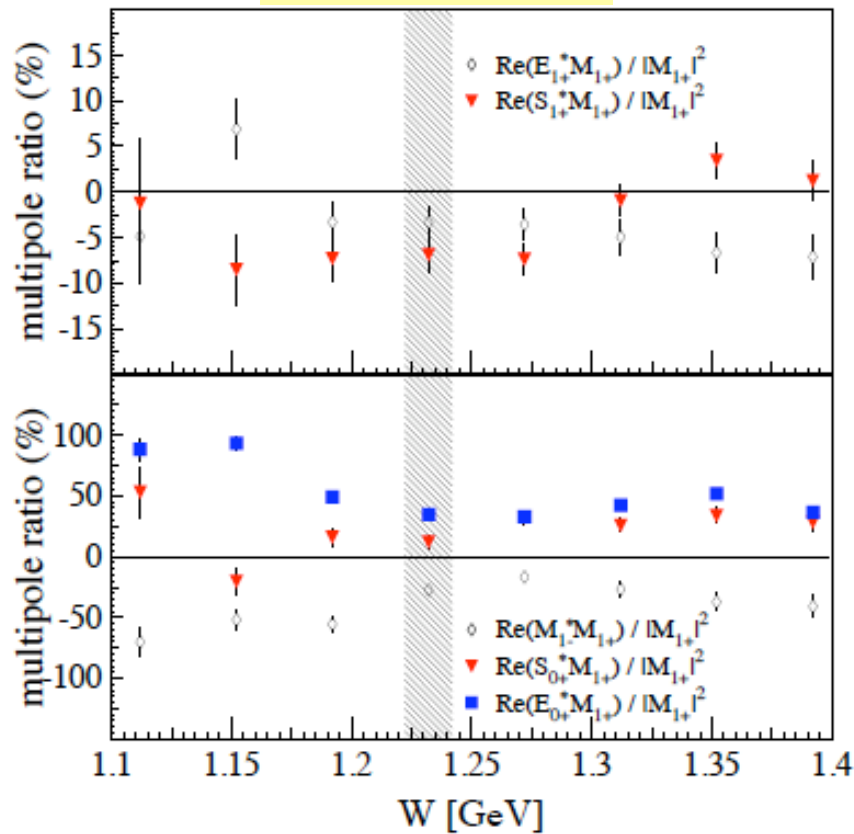
# Multipole truncation method at large $Q^2$

CLAS data

Villano et al. (2009)

$Q^2 = 6.4 \text{ GeV}^2$

$Q^2 = 7.7 \text{ GeV}^2$



**M1 dominance is not a good assumption any more at large  $Q^2$**



# Extraction using Unitary Isobar Model (I)



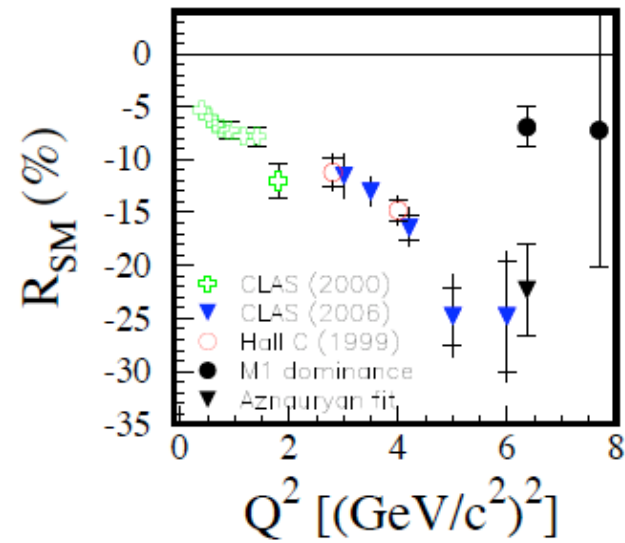
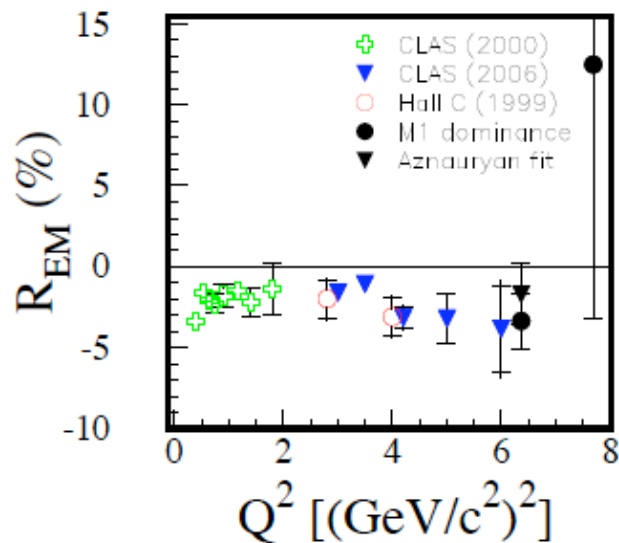
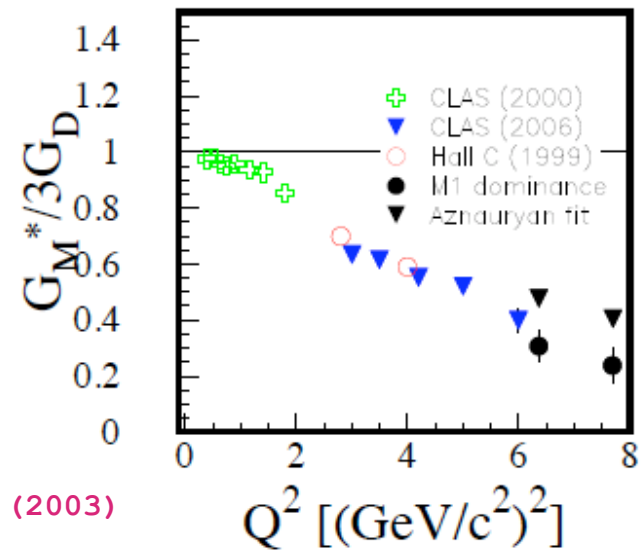
Model background

- > using Born terms (+ FFs)
- > VM ( $\rho$ ,  $\omega$ ) (reggeized) exchange



$\pi$ N unitarity implemented  
background & resonances  
separately unitarized

Aznauryan (2003)

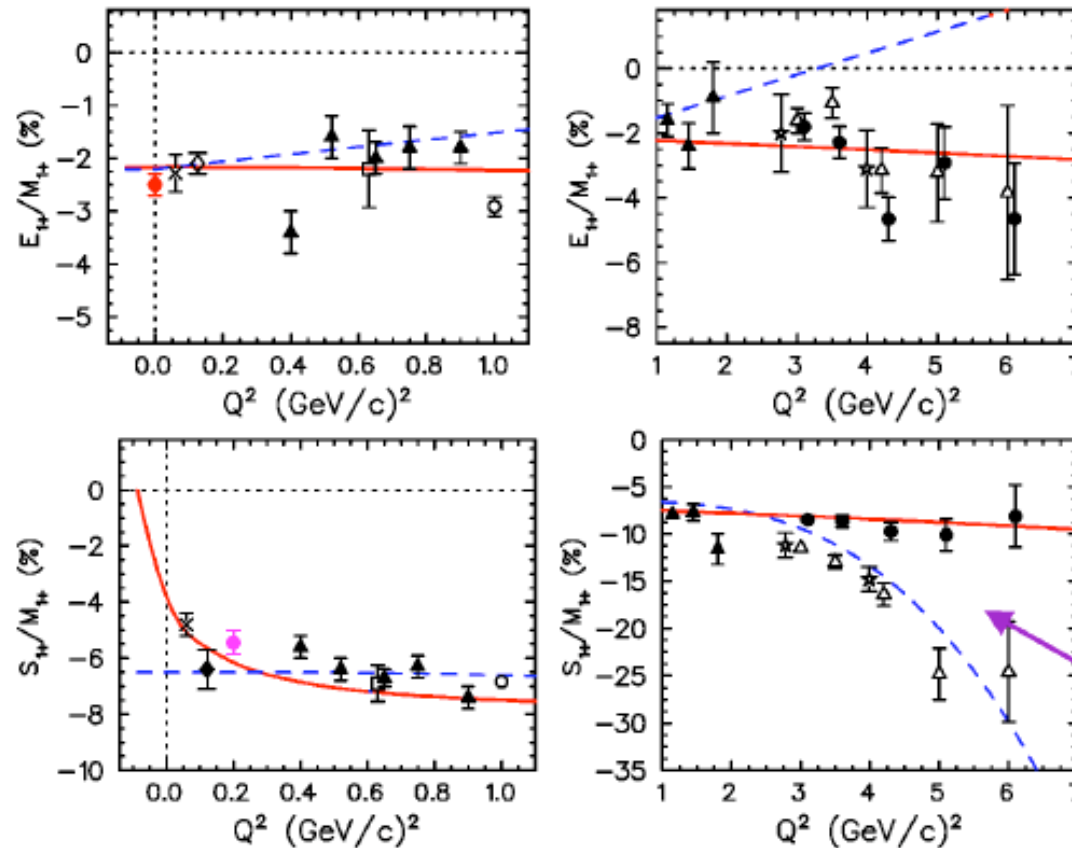


# Extraction using Unitary Isobar Model (II)

— MAID07    - - - MAID03

MAID analysis

Drechsel, Hanstein,  
Kamalov, Tiator  
(1999)



● MAID07 analysis  
△ JLab analysis

discrepancy  
between  
MAID and  
JLAB analysis

the analyses are based on  $\pi^0$  data from JLab, Mainz, Bonn and Bates

# Comparison with Dynamical Models (I)

Unitarity implemented solving 3dim Lippmann-Schwinger eq. (for multipole  $\alpha$ )

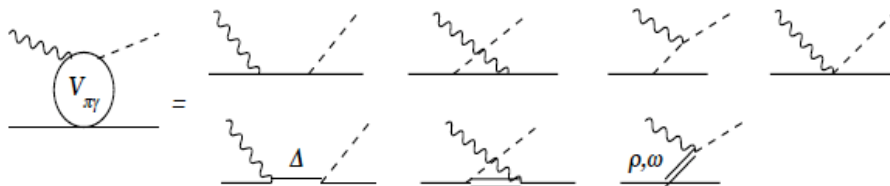
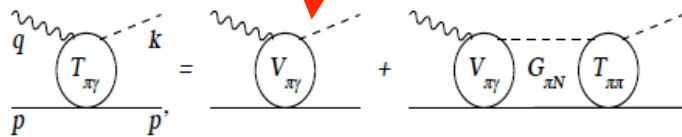
$$t_{\gamma\pi}^{\alpha}(k_E, q; E + i\varepsilon) = e^{i\delta_{\alpha}} \cos \delta_{\alpha} \times \left[ v_{\gamma\pi}^{\alpha}(k_E, q) + P \int_0^{\infty} dk' \frac{k'^2 R_{\pi N}^{\alpha}(k_E, k') v_{\gamma\pi}^{\alpha}(k', q)}{E - E_{\pi N}(k')} \right]$$

$\pi N$  phase shift

$\pi$  on-shell momentum corr. with energy  $E$

$\pi N$  reaction matrix

solve integral eq. with meson exchange potential as driving term



gauge invariance implemented by  $J^{\mu} \rightarrow J^{\mu}(Q^2) = J^{\mu} + [F(Q^2) - 1] O^{\mu\nu} J_{\nu}$   
 $O^{\mu\nu} = g^{\mu\nu} - q^{\mu} q^{\nu} / q^2$

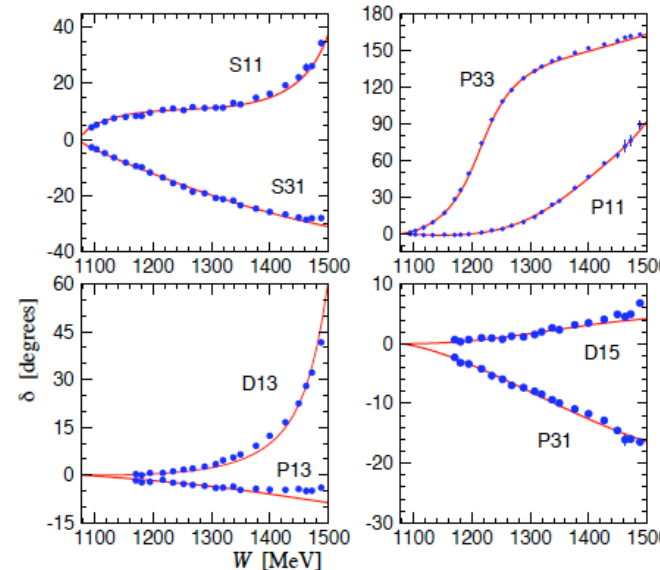
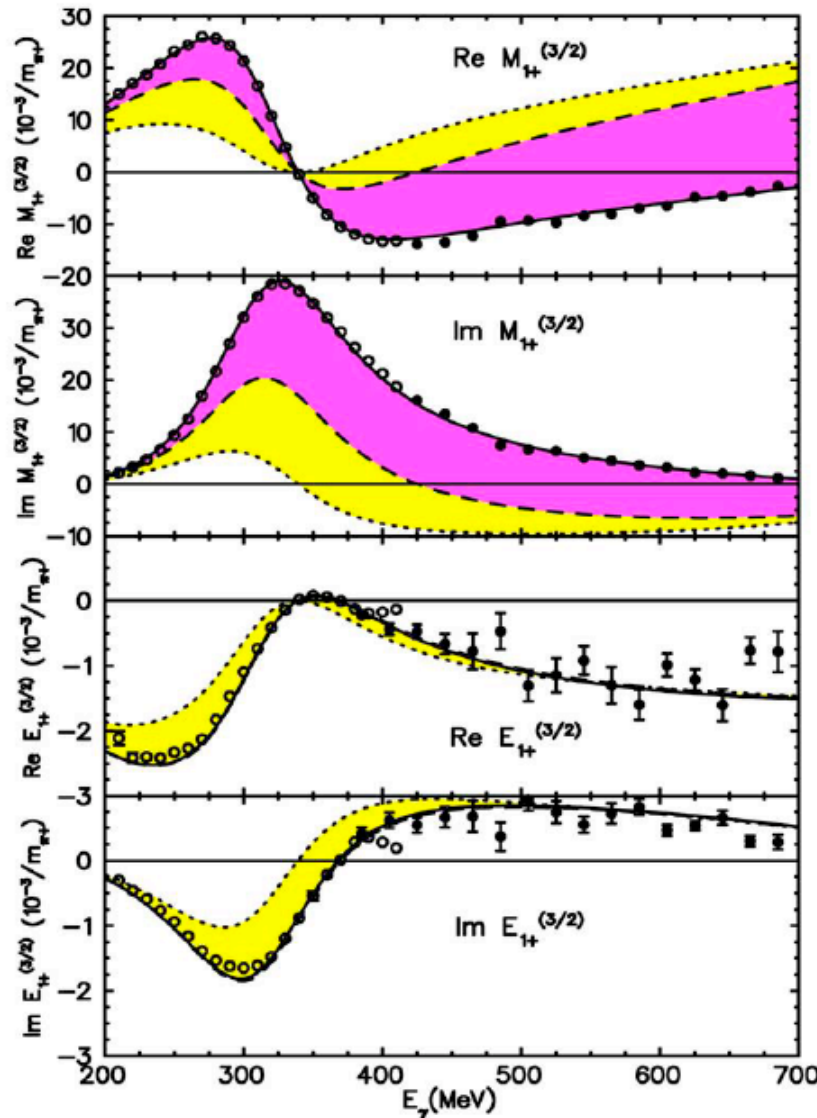



illustration :  
Utrecht-Ohio model

Pascalutsa,  
Tjon (2004)

# Comparison with Dynamical Models (II)



 Pion Cloud  
 Bare  $\Delta$

Resonant multipoles  
for  $\gamma N \rightarrow \pi N$

..... Background without rescattering (P.V.)  
 - - - - Background + rescattering (P.V. term)  
 ——— previous + bare  $\Delta$

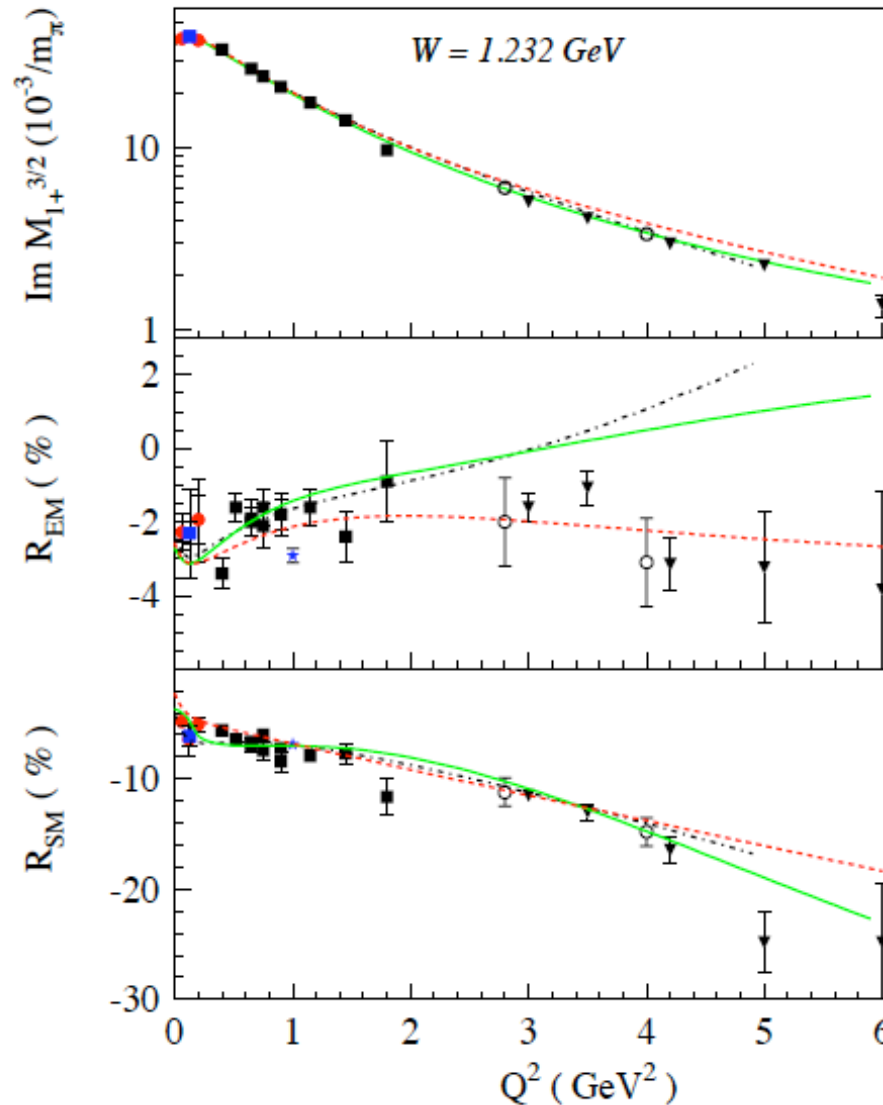
illustration :

**Dubna-Mainz-Taipei (DMT)**

Kamalov, Yang (1999)

Kamalov, Yang, Drechsel,  
Hanstein, Tiator (2001)

# Comparison with Dynamical Models (III)



## Sato-Lee (SL)

Sato, Lee (1996, 2001)

## Dubna-Mainz-Taipei (DMT)

Kamalov, Yang (1999)

Kamalov, Yang, Drechsel,  
Hanstein, Tiator (2001)

## Dynamical Utrecht-Ohio model (DUO)

Pascalutsa, Tjon (2004)

Caia, Wright, Pascalutsa  
(2004)

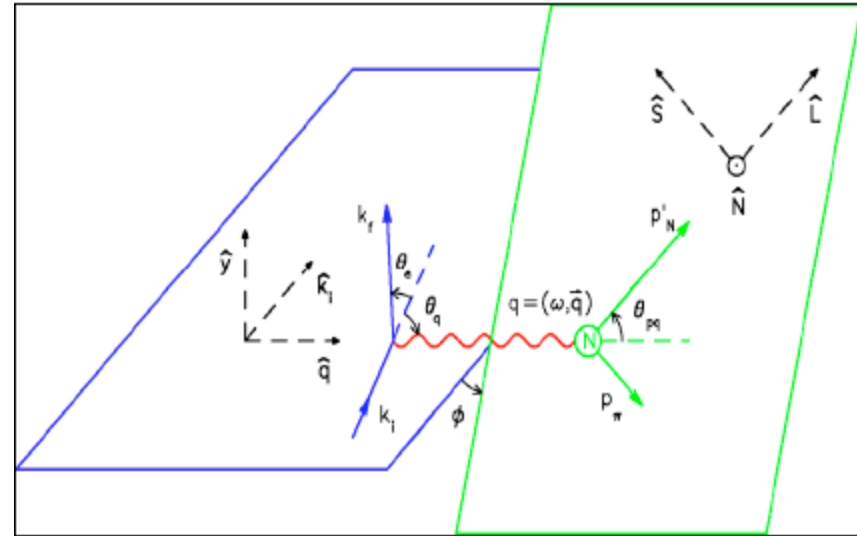


testing the model dependence  
at larger  $Q^2$  :

role of polarization observables

# Complete experiment for $e p \rightarrow e \pi^0 p$

described by  
18 response functions



$$\frac{d^5\sigma}{d\varepsilon_f d\Omega_e d\Omega_{cm}} = \frac{p_{cm}}{k_{\gamma cm}} \Gamma_\gamma \bar{\sigma}_0 [1 + hA + \mathcal{S} \cdot (\mathbf{P} + h\mathbf{P}')] ]$$

$$\bar{\sigma}_0 = \nu_L R_L + \nu_T R_T + \nu_{LT} R_{LT} \cos \phi + \nu_{TT} R_{TT} \cos 2\phi$$

$$A\bar{\sigma}_0 = \nu'_{LT} R'_{LT} \sin \phi$$

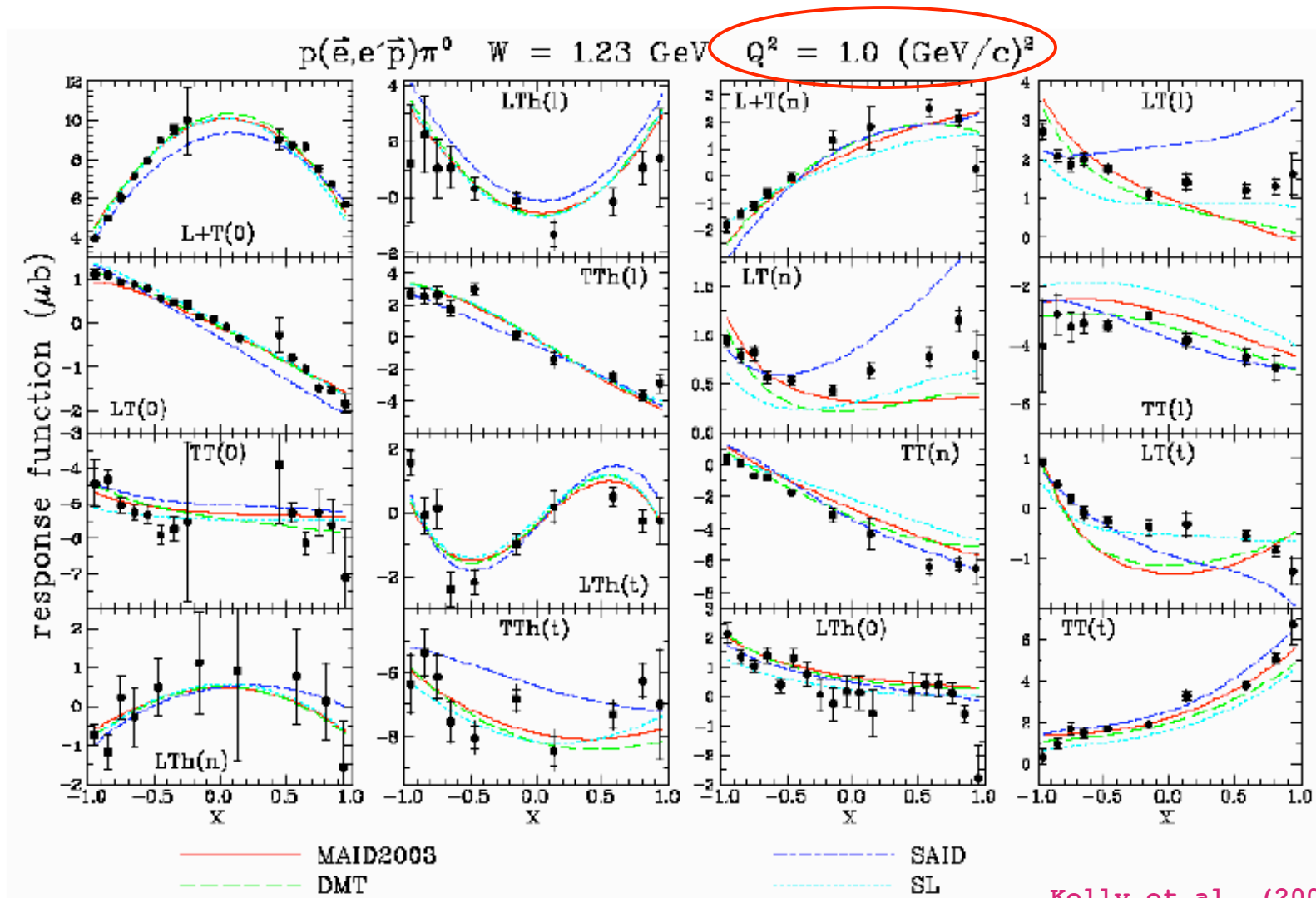
$$P_N \bar{\sigma}_0 = [\nu_L R_L^N + \nu_T R_T^N + \nu_{LT} R_{LT}^N \cos \phi + \nu_{TT} R_{TT}^N \cos 2\phi]$$

$$P_m \bar{\sigma}_0 = [\nu_{LT} R_{LT}^m \sin \phi + \nu_{TT} R_{TT}^m \sin 2\phi] \quad (m \in \{L, S\})$$

$$P'_N \bar{\sigma}_0 = \nu'_{LT} R'_{LT} \sin \phi$$

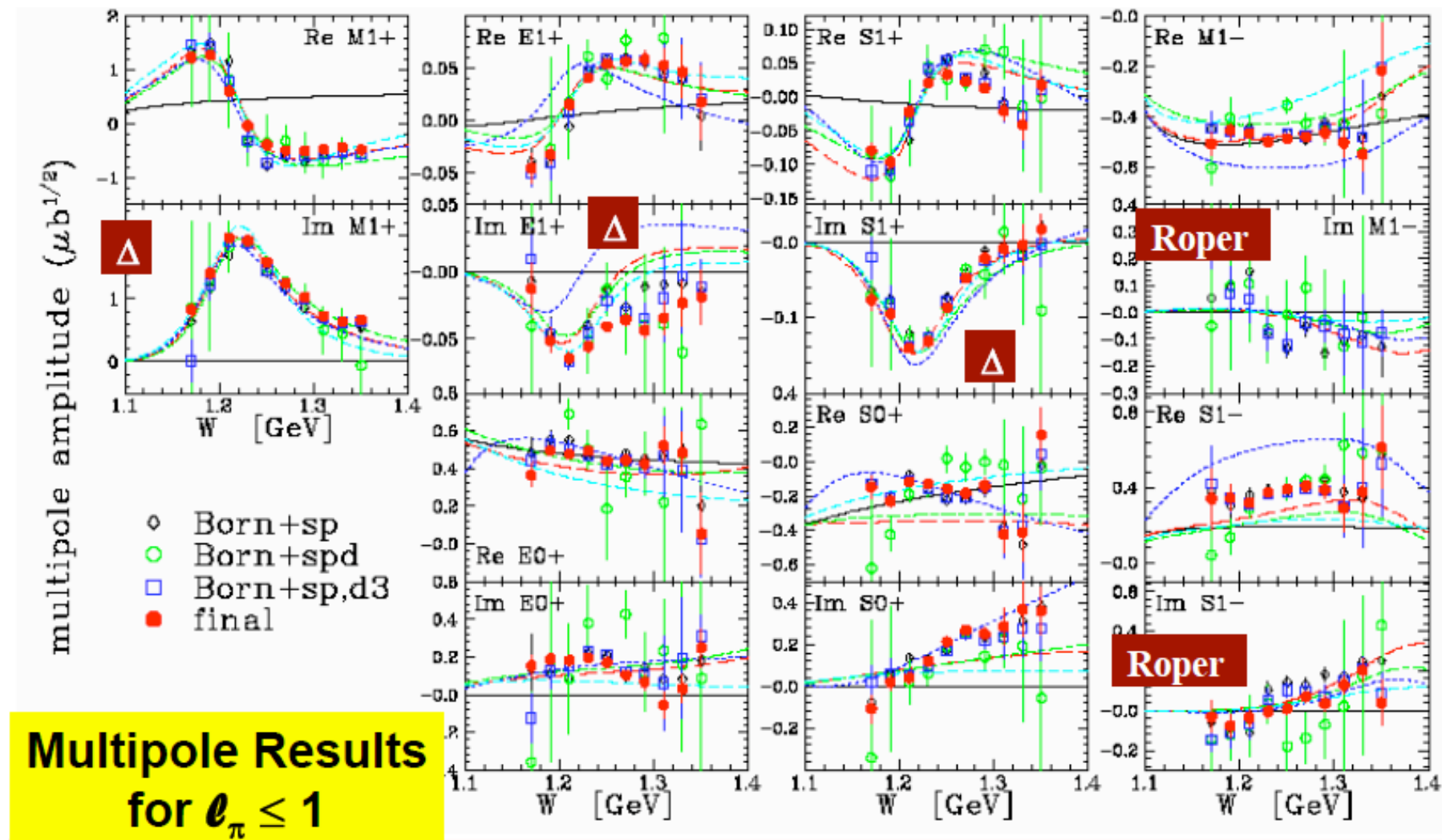
$$P'_m \bar{\sigma}_0 = [\nu'_{LT} R'_{LT} \cos \phi + \nu'_{TT} R'_{TT} \cos 2\phi] \quad (m \in \{L, S\})$$

# Tour de force : Jlab/Hall A E91011

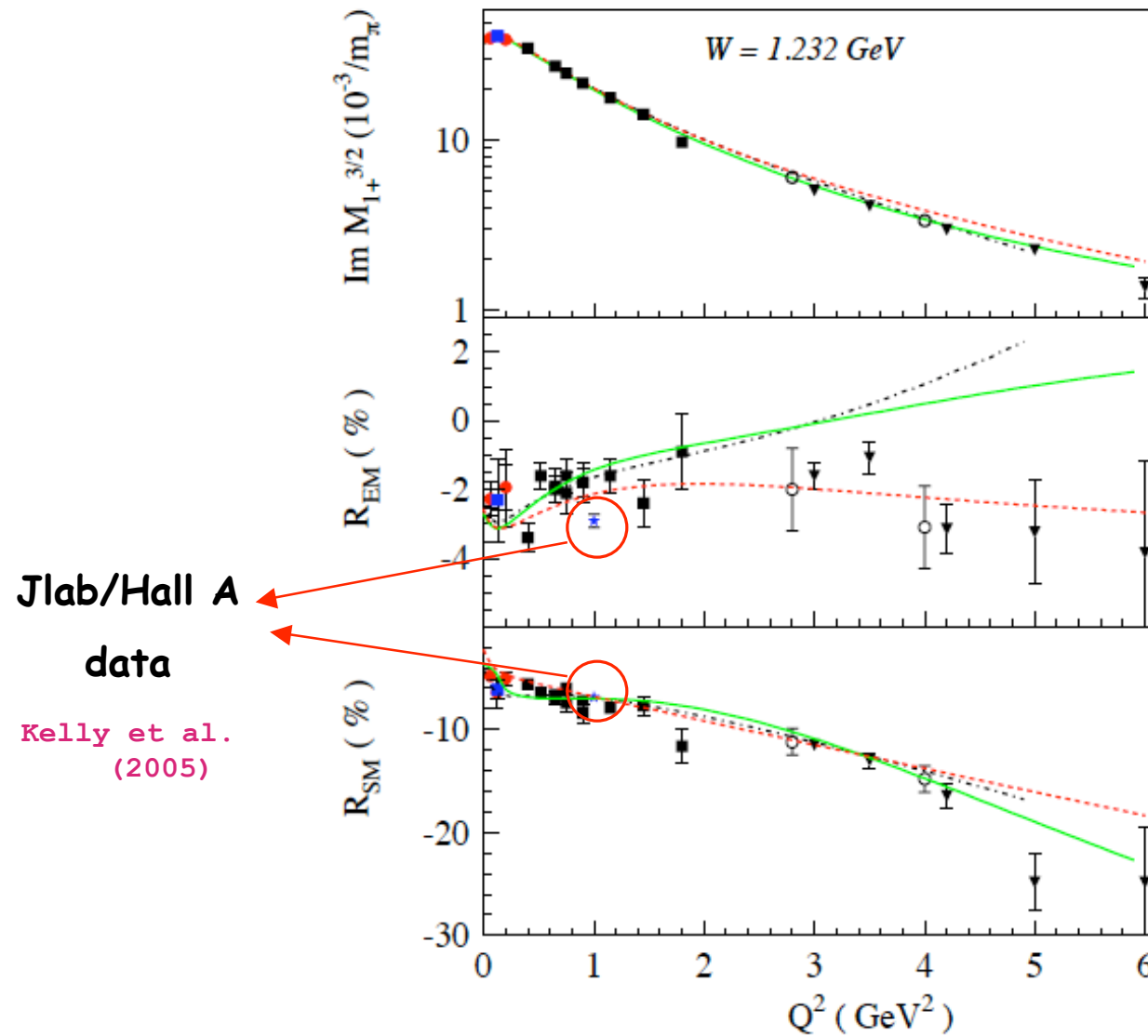




# Extracted multipoles from fit to 14+2 response functions $p(\vec{e}, e' \vec{p})\pi^0$



# Results for E2/M1 and C2/M1 ratios





$2\gamma$ -exchange effects  
at larger  $Q^2$

# $e N \rightarrow e \Delta$ beyond one-photon exchange

→ The unpolarized pion electroproduction cross-section is, *in general*, written as:

$$\frac{d\sigma}{(dE'_e d\Omega'_e)^{lab} d\Omega_\pi} \equiv \Gamma_\nu \frac{d\sigma}{d\Omega_\pi}, \quad \text{Flux: } \Gamma_\nu = \frac{e^2}{(2\pi)^3} \left( \frac{E'_e}{E_e} \right)^{lab} \frac{(s_{\pi N} - M_N^2)/(2M_N)}{Q^2(1-\varepsilon)}.$$

$$\frac{d\sigma}{d\Omega_\pi} = \frac{d\sigma_0}{d\Omega_\pi} + \varepsilon \cos(2\Phi) \frac{d\sigma_{TT}}{d\Omega_\pi} + \sqrt{2\varepsilon(1+\varepsilon)} \cos\Phi \frac{d\sigma_{LT}}{d\Omega_\pi} + \varepsilon \sin(2\Phi) \frac{d\sigma_{TTi}}{d\Omega_\pi} + \sqrt{2\varepsilon(1-\varepsilon)} \sin\Phi \frac{d\sigma_{LTi}}{d\Omega_\pi}.$$

→ at the  $\Delta$ -resonance, these cross-sections are expressed in terms of 8  $e N \rightarrow e \Delta$  helicity amplitudes

$$\begin{aligned} \frac{d\sigma}{d\Omega_\pi} &= \frac{1}{\pi} \frac{9Q^2(1-\varepsilon)}{16M_\Delta(M_\Delta^2 - M_N^2)\Gamma_\Delta} \\ &\times \left\{ \frac{1}{2} \sin^2\theta_\pi [ |T_1|^2 + |T_2|^2 + |T_7|^2 + |T_8|^2 ] + \frac{1}{6} (1 + 3 \cos^2\theta_\pi) [ |T_3|^2 + |T_4|^2 + |T_5|^2 + |T_6|^2 ] \right. \\ &+ \cos\Phi \frac{1}{\sqrt{3}} \sin(2\theta_\pi) \Re [ T_1 T_3^* + T_2 T_4^* - T_7 T_5^* - T_8 T_6^* ] - \cos(2\Phi) \frac{1}{\sqrt{3}} \sin^2\theta_\pi \Re [ T_1 T_5^* + T_2 T_6^* + T_7 T_3^* + T_8 T_4^* ] \\ &\left. + \sin\Phi \frac{1}{\sqrt{3}} \sin(2\theta_\pi) \Im [ T_1 T_3^* + T_2 T_4^* + T_7 T_5^* + T_8 T_6^* ] - \sin(2\Phi) \frac{1}{\sqrt{3}} \sin^2\theta_\pi \Im [ T_1 T_5^* + T_2 T_6^* - T_7 T_3^* - T_8 T_4^* ] \right\}. \end{aligned}$$

# analysis beyond one-photon exchange

$$\sigma_0 = A_0 + \frac{1}{2}(3 \cos^2 \theta_\pi - 1) A_2$$

$$\sigma_{TT} = \sin^2 \theta_\pi C_0 \qquad \sigma_{LT} = \frac{1}{2} \sin(2\theta_\pi) D_1$$

$$\sigma_{TTi} = \sin^2 \theta_\pi C_{0i} \qquad \sigma_{LTi} = \frac{1}{2} \sin(2\theta_\pi) D_{1i}$$

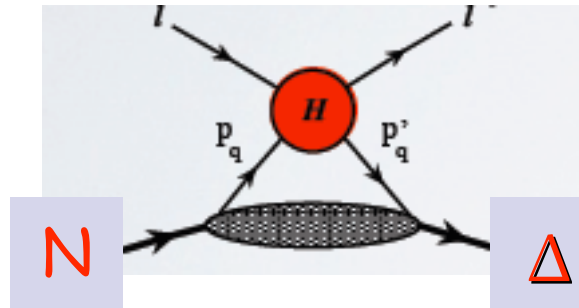
$$A_0 = \mathcal{I} \frac{e^2}{4\pi} \frac{Q_-^2}{4M_N^2} \frac{(M_\Delta + M_N)}{(M_\Delta - M_N)} \frac{1}{M_\Delta \Gamma_\Delta} (G_M^*)^2 \sigma_R$$

$$R_{EM}^{exp,I} = \frac{3A_2 - 2C_0}{12A_0} \stackrel{1\gamma}{=} R_{EM} + \varepsilon \frac{4M_\Delta^2 Q^2}{Q_+^2 Q_-^2} R_{SM}^2 + \dots$$

$$R_{SM}^{exp} = \frac{Q_+ Q_-}{Q M_\Delta} \frac{D_1}{6A_0} \stackrel{1\gamma}{=} R_{SM} - R_{SM} R_{EM} + \dots$$

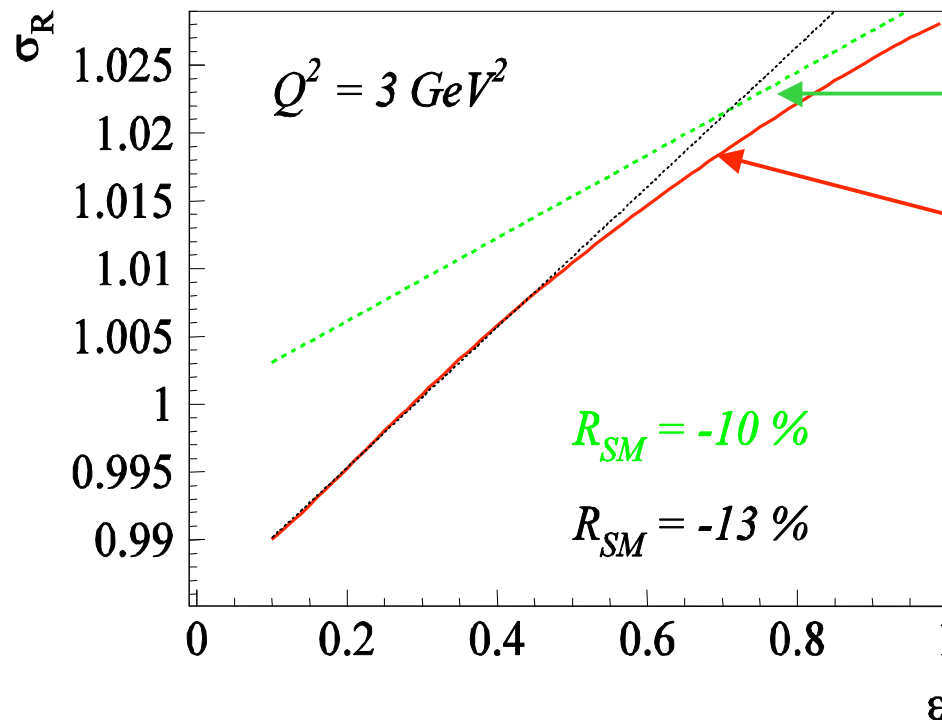
$$R_{EM}^{exp,II} = \frac{-(A_0 - A_2) - 2C_0}{3(A_0 - A_2) - 2C_0} \stackrel{1\gamma}{=} R_{EM}$$

# Two-photon exchange in $N \rightarrow \Delta$ transition



- ➔ General formalism for  $eN \rightarrow e \Delta$  has been worked out
- ➔ Model calculation for large  $Q^2$  in terms of  $N \rightarrow \Delta$  GPDs

Pascalutsa, Carlson, Vdh (2006)



- ➔  $1\gamma$  result
- ➔  $1\gamma + 2\gamma$  result
- ★  $R_{EM}$  little affected  $< 1\%$
- ★  $R_{SM}$  mainly affected when extracted through Rosenbluth method

# Summary

- $\gamma N \Delta$  form factors :
  - > large  $N_c$  :  $G_M^*$  : relation with  $F_2$  ,  $G_E^*$  and  $G_C^*$  related with  $G_{En}$
  - > large  $Q^2$  : allows to compare **scaling behavior** for N with N  $\rightarrow \Delta$
  - > 2D Fourier transform of  $\gamma NN^*$  FFs in light-front frame :  
maps out **transition charge densities**
- multipole extractions at larger  $Q^2$  :
  - > **sp-multipole truncation** + M1 dominance does **not** work well for N  $\rightarrow \Delta$
  - > **models** of reaction mechanism : unitary isobar, dynamical, DR (?), ...
  - > Complete experiments (incl. double pol.) can reduce the model dependence in the multipole extraction (e.g as shown by Jlab/Hall A expt.)  
practical : use as check at intermediate  $Q^2$  values
- **$2\gamma$ -exchange** effects at larger  $Q^2$ 
  - > formalism developed for  $e N \rightarrow e \Delta \rightarrow e \pi N$ , leads to new angular dependencies
  - >  **$\gamma N \Delta$  transition** : effect on  $R_{SM}$  when using Rosenbluth method
  - > polarization observables allow to constrain the  $2\gamma$ -effects further